

Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

SWISS HELVETIA FUND, INC.

Form N-PX

August 12, 2016

=====

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

-----

FORM N-PX

-----

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number: 811-05128

-----

The Swiss Helvetia Fund, Inc.  
(Exact name of registrant as specified in charter)

-----

875 Third Avenue, 22/nd/ Floor  
New York, New York 10022  
(Address of principal executive offices) (Zip code)

With copy to:  
Mark Hemenetz  
Schroders Investment Management North America Inc.  
875 Third Avenue, 22/nd/ Floor  
New York, New York 10022  
(Name and Address of Agent for Service)

-----

Registrant's telephone number, including area code: (800) 730-2932

Date of fiscal year end: December 31

Date of reporting period: July 1, 2015 to June 30, 2016

-----

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 ((S)239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. (S) 3507.

=====

### Item 1. Proxy Voting Record

FORM N-PX

ICA File Number: 811-05128  
 Registrant Name: Swiss Helvetia Fund, Inc  
 Reporting Period: 07/01/2015 - 06/30/2016

Swiss Helvetia Fund, Inc

ACTELION LTD.

Ticker: ATLN Security ID: H0032X135  
 Meeting Date: MAY 04, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Accept Financial Statements and Statutory Reports	Management	For	For
1.2	Approve Remuneration Report	Management	For	For
2	Approve Allocation of Income and Dividends of CHF 1.50 per Share from Capital Contribution Reserves	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4	Approve CHF 3.2 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Management	For	For
5	Extend Duration of CHF 6.5 Million Pool of Capital without Preemptive Rights	Management	For	For
6.1a	Reelect Jean-Pierre Garnier as Director	Management	For	For
6.1b	Reelect Jean-Paul Clozel as Director	Management	For	For
6.1c	Reelect Juhani Anttila as Director	Management	For	For
6.1d	Reelect Robert Bertolini as Director	Management	For	For
6.1e	Reelect John J. Greisch as Director	Management	For	For
6.1f	Reelect Peter Gruss as Director	Management	For	For
6.1g	Reelect Michael Jacobi as Director	Management	For	For
6.1h	Reelect Jean Malo as Director	Management	For	For
6.1i	Reelect David Stout as Director	Management	For	For
6.1j	Reelect Herna Verhagen as Director	Management	For	For
6.2	Reelect Jean-Pierre Garnier as Board Chairman	Management	For	For
6.3a	Appoint Herna Verhagen as Member of the Compensation Committee	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

6.3b	Appoint Jean-Pierre Garnier as Member of the Compensation Committee	Management	For	For
6.3c	Appoint John Greisch as Member of the Compensation Committee	Management	For	For
7.1	Approve Remuneration of Directors in the Amount of CHF 2.4 Million	Management	For	For
7.2	Approve Remuneration of Executive Committee in the Amount of CHF 23 Million	Management	For	For
8	Designate BDO AG as Independent Proxy	Management	For	For
9	Ratify Ernst & Young AG as Auditors	Management	For	For
10	Transact Other Business (Voting)	Management	For	Against

### AIROPACK TECHNOLOGY GROUP AG

Ticker: AIRN Security ID: H0107G108  
 Meeting Date: JUN 23, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Treatment of Net Loss	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4.1.1	Reelect Antoine Kohler as Director	Management	For	For
4.1.2	Reelect Quint Kelders as Director	Management	For	For
4.1.3	Reelect Attila Tamer as Director	Management	For	For
4.2.1	Elect Okko Filius as Director	Management	For	For
4.2.2	Elect Robert Seminara as Director (Contingent Upon Approval of Item 9)	Management	For	For
4.2.3	Elect Ralf Ackermann as Director (Contingent Upon Approval of Item 9)	Management	For	For
4.2.4	Elect Lorenzo Levi as Director (Contingent Upon Approval of Item 9)	Management	For	For
4.3	Reelect Antoine Kohler as Board Chairman	Management	For	For
4.4.1	Appoint Antoine Kohler as Member of the Compensation Committee	Management	For	For
4.4.2	Appoint Okko Filius as Member of the Compensation Committee	Management	For	For
4.4.3	Appoint Lorenzo Levi as Member of the Compensation Committee (Contingent Upon Approval of Item 9)	Management	For	For
5	Approve Remuneration of Directors in the Amount of CHF 200,000	Management	For	Against
6	Approve Remuneration of Executive Committee in the Amount of CHF 1.5 Million	Management	For	Against
7	Ratify BDO AG as Auditors	Management	For	For
8	Designate Daniel Bill as Independent Proxy	Management	For	For
9	Approve CHF 20.7 Million Share Capital Increase for a Private Placement with Apollo Global Management, LLC	Management	For	For
10	Approve CHF 9.3 Million Pool of	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

	Conditional Capital without Preemptive Rights for Option Rights Granted to Apollo Global Management, LLC			
11	Amend Articles Re: Board Quorum Requirement	Management	For	For
12	Transact Other Business (Voting)	Management	For	Against

### ARYZTA AG

Ticker: YZA Security ID: H0336B110  
 Meeting Date: DEC 08, 2015 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Accept Financial Statements and Statutory Reports	Management	For	For
1.2	Approve Remuneration Report	Management	For	For
2.1	Approve Allocation of Income	Management	For	For
2.2	Approve Dividends of CHF 0.66 per Share	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	Against
4.1.1	Reelect Denis Lucey as Director and Chairman	Management	For	Against
4.1.2	Reelect Charles Adair as Director	Management	For	Against
4.1.3	Reelect Annette Flynn as Director	Management	For	For
4.1.4	Reelect Shaun Higgins as Director	Management	For	For
4.1.5	Reelect Owen Killian as Director	Management	For	Against
4.1.6	Reelect Andrew Morgan as Director	Management	For	For
4.1.7	Reelect Wolfgang Werle as Director	Management	For	For
4.1.8	Elect Dan Flinter as Director	Management	For	Against
4.2.1	Appoint Charles Adair as Member of the Compensation Committee	Management	For	Against
4.2.2	Appoint Shaun Higgins as Member of the Compensation Committee	Management	For	For
4.2.3	Appoint Denis Lucey as Member of the Compensation Committee	Management	For	Against
4.3	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
4.4	Designate Ines Poeschel as Independent Proxy	Management	For	For
5.1	Approve Maximum Remuneration of Board Directors in the Amount of CHF 1 Million	Management	For	For
5.2	Approve Maximum Remuneration of Executive Committee in the Amount of CHF 17.75 Million	Management	For	Against
6	Approve Creation of CHF 183,621 Pool of Capital without Preemptive Rights	Management	For	Against
7	Transact Other Business (Voting)	Management	For	Against

### BASILEA PHARMACEUTICA AG

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

Ticker: BSLN Security ID: H05131109  
 Meeting Date: APR 21, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1a	Accept Financial Statements and Statutory Reports	Management	For	For
1b	Approve Variable Remuneration of Executive Committee in the Amount of CHF 5.46 Million for Fiscal 2015 (Non-Binding)	Management	For	For
2	Approve Treatment of Net Loss	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4a	Reelect Daniel Lew as Director	Management	For	For
4b	Reelect Martin Nicklasson as Director	Management	For	For
4c	Reelect Thomas Rinderknecht as Director	Management	For	For
4d	Reelect Domenico Scala as Director	Management	For	For
4e	Reelect Steven Skolsky as Director	Management	For	For
4f	Reelect Thomas Werner as Director	Management	For	For
5	Elect Domenico Scala as Board Chairman	Management	For	For
6a	Appoint Martin Nicklasson as Member of the Compensation Committee	Management	For	For
6b	Appoint Steven Skolsky as Member of the Compensation Committee	Management	For	For
6c	Appoint Thomas Werner as Member of the Compensation Committee	Management	For	For
7a	Approve Maximum Remuneration of Board of Directors in the Amount of CHF 1.4 Million	Management	For	For
7b	Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 3.3 Million	Management	For	For
7c	Approve Variable Remuneration of Executive Committee in the Amount of CHF 5.2 Million	Management	For	For
8	Designate Caroline Cron as Independent Proxy	Management	For	For
9	Ratify PricewaterhouseCoopers Ltd as Auditors	Management	For	For
10a	Amend Article 18 Para. 4: Remove Possibility of Granting Stock Options to Members of the Board of Directors	Management	For	For
10b	Approve Creation of CHF 1 Million Pool of Capital without Preemptive Rights	Management	For	For
11	Transact Other Business (Voting)	Management	For	Against

BELIMO HOLDING AG

Ticker: BEAN Security ID: H07171103

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

Meeting Date: APR 25, 2016 Meeting Type: ANNUAL  
Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Allocation of Income and Dividends of CHF 65 per Share	Management	For	For
3	Approve Remuneration Report	Management	For	For
4	Approve Discharge of Board and Senior Management	Management	For	For
5.1.1	Reelect Adrian Altenburger as Director	Management	For	For
5.1.2	Reelect Patrick Burkhalter as Director	Management	For	For
5.1.3	Reelect Martin Hess as Director	Management	For	For
5.1.4	Reelect Hans Peter Wehrli as Director	Management	For	For
5.1.5	Reelect Martin Zwyssig as Director	Management	For	For
5.2.1	Reelect Hans Peter Wehrli as Board Chairman	Management	For	For
5.2.2	Reelect Martin Zwyssig as Deputy Chairman	Management	For	For
5.3.1	Appoint Martin Hess as Member and Chairman of the Compensation Committee	Management	For	For
5.3.2	Appoint Adrian Altenburger as Member of the Compensation Committee	Management	For	For
5.3.3	Appoint Patrick Burkhalter as Member of the Compensation Committee	Management	For	Against
5.4	Designate Proxy Voting Services GmbH as Independent Proxy	Management	For	For
5.5	Ratify KPMG AG as Auditors	Management	For	For
6.1	Approve Fixed Maximum Remuneration of Directors in the Amount of CHF 770,000	Management	For	For
6.2	Approve Remuneration of Executive Committee in the Amount of CHF 4.2 Million	Management	For	For
7	Transact Other Business (Voting)	Management	For	Against

BUCHER INDUSTRIES AG

Ticker: BUCN Security ID: H10914176  
Meeting Date: APR 15, 2016 Meeting Type: ANNUAL  
Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Discharge of Board and Senior Management	Management	For	For
3	Approve Allocation of Income and Dividends of CHF 5.50 per Share	Management	For	For
4.1a	Reelect Ernst Baertschi as Director	Management	For	For
4.1b	Reelect Claude R. Cornaz as Director	Management	For	Against

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

4.1c	Reelect Anita Hauser as Director	Management	For	For
4.1d	Reelect Michael Hauser as Director	Management	For	For
4.1e	Reelect Heinrich Spoerry as Director	Management	For	For
4.1f	Reelect Valentin Vogt as Director	Management	For	For
4.2	Elect Philip Moslmann as Director and Board Chairman	Management	For	For
4.3a	Appoint Claude R. Cornaz as Member of the Compensation Committee	Management	For	Against
4.3b	Appoint Anita Hauser as Member of the Compensation Committee	Management	For	For
4.3c	Appoint Valentin Vogt as Member of the Compensation Committee	Management	For	For
4.4	Designate Mathe & Partner as Independent Proxy	Management	For	For
4.5	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
5.1	Approve Variable Remuneration of Executive Committee in the Amount of CHF 2.7 Million	Management	For	For
5.2	Approve Remuneration Report	Management	For	For
5.3	Approve Remuneration of Directors in the Amount of CHF 1.2 Million	Management	For	For
5.4	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 5 Million	Management	For	For
6	Transact Other Business (Voting)	Management	For	Against

### CEMBRA MONEY BANK AG

Ticker: CMBN Security ID: H3119A101  
 Meeting Date: APR 27, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Remuneration Report	Management	For	For
3.1	Approve Allocation of Income	Management	For	For
3.2	Approve Dividends of CHF 3.35 per Share from Capital Contribution Reserves	Management	For	For
4	Approve Discharge of Board and Senior Management	Management	For	For
5.1.1	Reelect Felix Weber as Director	Management	For	For
5.1.2	Reelect Peter Athanas as Director	Management	For	For
5.1.3	Reelect Urs Baumann as Director	Management	For	For
5.1.4	Reelect Denis Hall as Director	Management	For	For
5.1.5	Elect Monica Maechler as Director	Management	For	For
5.2.1	Elect Katrina Machin as Director	Management	For	For
5.2.2	Elect Ben Tellings as Director	Management	For	For
5.3	Reelect Felix Weber as Board Chairman	Management	For	For
5.4.1	Appoint Urs Baumann as Member of the Compensation and Nomination Committee	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

5.4.2	Appoint Katrina Machin as Member of the Compensation and Nomination Committee	Management	For	For
5.4.3	Appoint Ben Tellings as Member of the Compensation and Nomination Committee	Management	For	For
5.5	Designate Andreas Keller as Independent Proxy	Management	For	For
5.6	Ratify KPMG AG as Auditors	Management	For	For
6.1	Amend Articles Re: Tasks of Compensation and Nomination Committee	Management	For	For
6.2	Amend Articles Re: Prohibition of Competition Agreements in Employment Agreements	Management	For	For
6.3	Amend Articles Re: Compensation of the Board of Directors	Management	For	For
6.4	Amend Articles Re: Compensation of the Executive Committee	Management	For	For
6.5	Approve Possibility to Introduce Employee Stock Purchase Plan	Management	For	For
7.1	Approve Maximum Remuneration of Directors in the Amount of CHF 1.4 Million	Management	For	For
7.2	Approve Maximum Fixed and Variable Remuneration of Executive Committee in the Amount of CHF 5.3 Million	Management	For	For
8	Transact Other Business (Voting)	Management	For	Against

### CREDIT SUISSE GROUP AG

Ticker: CSGN Security ID: H3698D419  
 Meeting Date: NOV 19, 2015 Meeting Type: SPECIAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Approve CHF 2.3 Million Share Capital Increase without Preemptive Rights for Private Placement	Management	For	For
2	Approve Share Capital Increase of Up to CHF 10.4 Million with Preemptive Rights	Management	For	For
3.1	Additional Voting Instructions - Shareholder Proposals (Voting)	Management	None	Against
3.2	Additional Voting Instructions - Board of Directors Proposals (Voting)	Management	None	Against

### CREDIT SUISSE GROUP AG

Ticker: CSGN Security ID: H3698D419  
 Meeting Date: APR 29, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Receive Financial Statements and	Management	None	None

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

	Statutory Reports (Non-Voting)			
1.2	Approve Remuneration Report	Management	For	For
1.3	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Discharge of Board and Senior Management	Management	For	For
3.1	Approve Allocation of Income	Management	For	For
3.2	Approve Dividends of CHF 0.70 per Share from Capital Contribution Reserves	Management	For	For
4.1	Approve Maximum Remuneration of Directors in the Amount of CHF 12 Million	Management	For	For
4.2.1	Approve Short-Term Variable Remuneration of Executive Committee in the Amount of CHF 34.6 Million	Management	For	For
4.2.2	Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 33 Million	Management	For	For
4.2.3	Approve Maximum Long-Term Variable Remuneration of Executive Committee in the Amount of CHF 49 Million	Management	For	For
5.1	Approve Amendment of Pool of Authorized Capital with or without Preemptive Rights for Script Dividends	Management	For	For
5.2	Approve Amendment of Pool of Authorized Capital with or without Preemptive Rights for Future Acquisitions	Management	For	For
6.1a	Reelect Urs Rohner as Director and Board Chairman	Management	For	Against
6.1b	Reelect Jassim Al Thani as Director	Management	For	For
6.1c	Reelect Iris Bohnet as Director	Management	For	For
6.1d	Reelect Noreen Doyle as Director	Management	For	For
6.1e	Reelect Andreas Koopmann as Director	Management	For	For
6.1f	Reelect Jean Lanier as Director	Management	For	For
6.1g	Reelect Seraina Maag as Director	Management	For	For
6.1h	Reelect Kai Nargolwala as Director	Management	For	For
6.1i	Reelect Severin Schwan as Director	Management	For	For
6.1j	Reelect Richard Thornburgh as Director	Management	For	For
6.1k	Reelect John Tiner as Director	Management	For	For
6.1l	Elect Alexander Gut as Director	Management	For	For
6.1m	Elect Joaquin J. Ribeiro as Director	Management	For	For
6.2a	Appoint Iris Bohnet as Member of the Compensation Committee	Management	For	For
6.2b	Appoint Andreas Koopmann as Member of the Compensation Committee	Management	For	For
6.2c	Appoint Jean Lanier as Member of the Compensation Committee	Management	For	For
6.2d	Appoint Kai Nargolwala as Member of the Compensation Committee	Management	For	For
6.3	Ratify KPMG AG as Auditors	Management	For	For
6.4	Ratify BDO AG as Special Auditor	Management	For	For
6.5	Designate Andreas Keller as Independent Proxy	Management	For	For
7.1	Transact Other Business: Proposals by Shareholders (Voting)	Management	None	Against
7.2	Transact Other Business: Proposals by	Management	None	Against

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

the Board of Directors (Voting)

DKSH HOLDING AG

Ticker: DKSH Security ID: H2012M121  
 Meeting Date: MAR 30, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Allocation of Income and Dividends of CHF 1.30 per Share	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4.1	Approve Remuneration of Directors in the Amount of CHF 2.6 Million	Management	For	For
4.2	Approve Remuneration of Executive Committee in the Amount of CHF 16.5 Million	Management	For	For
5.1.1	Elect Adrian Keller as Director	Management	For	For
5.1.2	Elect Rainer-Marc Frey as Director	Management	For	For
5.1.3	Elect Frank Gulich as Director	Management	For	For
5.1.4	Elect David Kemenetzky as Director	Management	For	For
5.1.5	Elect Andreas Keller as Director	Management	For	For
5.1.6	Elect Robert Peugeot as Director	Management	For	For
5.1.7	Elect Theo Siegert as Director	Management	For	For
5.1.8	Elect Hans Tanner as Director	Management	For	For
5.1.9	Elect Joerg Wolle as Director	Management	For	For
5.2	Elect Adrian Keller as Board Chairman	Management	For	For
5.3.1	Appoint Andreas Keller as Member of the Compensation Committee	Management	For	For
5.3.2	Appoint Frank Gulich as Member of the Compensation Committee	Management	For	For
5.3.3	Appoint Robert Peugeot as Member of the Compensation Committee	Management	For	For
5.4	Ratify Ernst & Young AG as Auditors	Management	For	For
5.5	Designate Ernst Widmer as Independent Proxy	Management	For	For
6	Transact Other Business (Voting)	Management	For	Against

DUFREY AG

Ticker: DUFN Security ID: H2082J107  
 Meeting Date: APR 28, 2016 Meeting Type: ANNUAL  
 Record Date:

Management

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

Proposal No	Proposal	Proposed By	Recommendation	Vote Cast
1.1	Accept Financial Statements and Statutory Reports	Management	For	For
1.2	Approve Remuneration Report	Management	For	For
2	Approve Allocation of Income	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4.1	Reelect Juan Carlos Torres Carretero as Director and Board Chairman	Management	For	Against
4.2.1	Reelect Andres Holzer Neumann as Director	Management	For	For
4.2.2	Reelect Jorge Born as Director	Management	For	For
4.2.3	Reelect Xavier Bouton as Director	Management	For	For
4.2.4	Reelect Julian Diaz Gonzalez as Director	Management	For	Against
4.2.5	Reelect George Koutsolioutsos as Director	Management	For	For
4.2.6	Reelect Joaquin Moya-Angeler Cabrera as Director	Management	For	For
4.3.1	Elect Heekyung Min as Director	Management	For	For
4.3.2	Elect Claire Chiang as Director	Management	For	For
5.1	Appoint Jorge Born as Member of the Compensation Committee	Management	For	For
5.2	Appoint Xavier Bouton as Member of the Compensation Committee	Management	For	For
5.3	Appoint Heekyung Min as Member of the Compensation Committee	Management	For	For
6	Ratify Ernst & Young Ltd. as Auditors	Management	For	For
7	Designate Altenburger Ltd. as Independent Proxy	Management	For	For
8.1	Approve Maximum Remuneration of Directors in the Amount of CHF 7.7 Million	Management	For	Against
8.2	Approve Maximum Remuneration of Executive Committee in the Amount of CHF 49 Million	Management	For	Against
9	Transact Other Business (Voting)	Management	For	Against

FEINTOOL INTERNATIONAL HOLDING AG

Ticker: FTON Security ID: H24510135

Meeting Date: APR 19, 2016 Meeting Type: ANNUAL

Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2a	Approve Allocation of Income	Management	For	For
2b	Approve Allocation of Dividends of CHF 1.50 per Share	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4a	Approve Remuneration of Directors in the Amount of CHF 1.5 Million	Management	For	Against
4b	Approve Remuneration of Executive	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

	Committee in the Amount of CHF 1.8 Million			
5a1	Reelect Alexander von Witzleben as Director	Management	For	For
5a2	Reelect Michael Soormann as Director	Management	For	For
5a3	Reelect Thomas A. Erb as Director	Management	For	For
5a4	Reelect Wolfgang Feil as Director	Management	For	For
5a5	Reelect Thomas Muhr as Director	Management	For	For
5a6	Reelect Kurt E. Stirnemann as Director	Management	For	For
5a7	Elect Rolf-Dieter Kempis as Director	Management	For	For
5b	Reelect Alexander von Witzleben as Board Chairman	Management	For	For
5c1	Appoint Alexander von Witzleben as Member of the Compensation and Nomination Committee	Management	For	For
5c2	Appoint Michael Soormann as Member of the Compensation and Nomination Committee	Management	For	For
5c3	Appoint Wolfgang Feil as Member of the Compensation and Nomination Committee	Management	For	For
5d	Designate COT Treuhand AG as Independent Proxy	Management	For	For
5e	Ratify KPMG AG as Auditors	Management	For	For
6	Approve Creation of CHF 6 Million Pool of Capital without Preemptive Rights	Management	For	For
7	Transact Other Business (Voting)	Management	For	Against

### FORBO HOLDING AG

Ticker: FORN Security ID: H26865214  
 Meeting Date: APR 29, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Discharge of Board and Senior Management	Management	For	For
3	Approve Allocation of Income and Dividends of CHF 17 per Share	Management	For	Against
4	Approve CHF 19,000 Reduction in Share Capital via Cancellation of Repurchased Shares	Management	For	For
5.1	Approve Remuneration Report	Management	For	Against
5.2	Approve Variable Remuneration of Executive Committee in the Amount of CHF 830,000	Management	For	For
5.3	Approve Maximum Remuneration of Directors in the Amount of CHF 4 Million	Management	For	For
5.4	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 2.3	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

	Million			
6.1	Reelect This E. Schneider as Director and Chairman	Management	For	For
6.2	Reelect Peter Altorfer as Director	Management	For	For
6.3	Reelect Michael Pieper as Director	Management	For	For
6.4	Reelect Claudia Coninx-Kaczynski as Director	Management	For	For
6.5	Reelect Reto Mueller as Director	Management	For	For
6.6	Reelect Vincent Studer as Director	Management	For	For
7.1	Appoint Peter Altorfer as Member of the Compensation Committee	Management	For	For
7.2	Appoint Claudia Coninx-Kaczynski as Member of the Compensation Committee	Management	For	For
7.3	Appoint Michael Pieper as Member of the Compensation Committee	Management	For	For
8	Ratify KPMG AG as Auditors	Management	For	For
9	Designate Rene Peyer as Independent Proxy	Management	For	For
10	Transact Other Business (Voting)	Management	For	Against

GAM HOLDING LTD.

Ticker: GAM Security ID: H2878E106  
 Meeting Date: APR 27, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Accept Financial Statements and Statutory Reports	Management	For	For
1.2	Approve Remuneration Report	Management	For	Against
2	Approve Allocation of Income and Dividends of CHF 0.65 per Share from Capital Contribution Reserves	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4	Approve CHF 155,000 Reduction in Share Capital via Cancellation of Repurchased Shares	Management	For	For
5	Approve Creation of CHF 816,973 Pool of Capital without Preemptive Rights	Management	For	For
6.1	Reelect Johannes de Gier as Director and Board Chairman	Management	For	For
6.2	Reelect Diego du Monceau as Director	Management	For	For
6.3	Reelect Hugh Scott-Barrett as Director	Management	For	For
6.4	Elect Nancy Mistretta as Director	Management	For	For
6.5	Elect Ezra S. Field as Director	Management	For	For
6.6	Elect Benjamin Meuli as Director	Management	For	For
7.1	Appoint Diego du Monceau as Member of the Compensation Committee	Management	For	For
7.2	Appoint Nancy Mistretta as Member of the Compensation Committee	Management	For	For
7.3	Appoint Benjamin Meuli as Member of the Compensation Committee	Management	For	For
8.1	Approve Remuneration of Directors in the Amount of CHF 3 Million	Management	For	Against

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

8.2	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 8 Million	Management	For	Against
8.3	Approve Variable Remuneration of Executive Committee in the Amount of CHF 15 Million	Management	For	Against
9	Ratify KPMG AG as Auditors	Management	For	For
10	Designate Tobias Rohner as Independent Proxy	Management	For	For
11	Transact Other Business (Voting)	Management	For	Against

### GATEGROUP HOLDING AG

Ticker: GATE Security ID: H30145108  
 Meeting Date: APR 14, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Accept Annual Report and Consolidated Financial Statements	Management	For	For
1.2	Accept Financial Statements and Statutory Reports	Management	For	For
1.3	Approve Remuneration Report	Management	For	Against
2.1	Approve Allocation of Income	Management	For	For
2.2	Approve Dividends of CHF 0.30 per Share	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4.1	Reelect Andreas Schmid as Director and as Board Chairman	Management	For	Against
4.2	Reelect David Barger as Director	Management	For	For
4.3	Reelect Remo Brunschwiler as Director	Management	For	For
4.4	Reelect Gerard van Kesteren as Director	Management	For	For
4.5	Reelect Frederick Reid as Director	Management	For	For
4.6	Reelect Julie Southern as Director	Management	For	For
4.7	Reelect Anthonie Stal as Director	Management	For	For
4.8	Elect Rudolf Bohli as Director	Shareholder	Against	Against
4.9	Elect Nils Engel as Director	Shareholder	Against	Against
5.1	Appoint Julie Southern as Member of the Compensation Committee	Management	For	For
5.2	Appoint Frederick Reid as Member of the Compensation Committee	Management	For	For
5.3	Appoint Anthonie Stal as Member of the Compensation Committee	Management	For	For
5.4	Appoint Nils Engel as Member of the Compensation Committee	Shareholder	Against	Against
6	Designate Andreas Keller as Independent Proxy	Management	For	For
7	Ratify Ernst & Young AG as Auditors	Management	For	For
8.1	Extend Duration of Existing CHF 13.3 Million Pool of Capital without Preemptive Rights	Management	For	For
8.2	Amend Articles Re: Long-Term Incentives	Management	For	For
9.1	Approve Remuneration of Directors in the Amount of CHF 1.4 Million	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

9.2	Approve Remuneration of Executive Committee in the Amount of CHF 17.8 Million	Management	For	For
10	Transact Other Business (Voting)	Management	For	Against

HELVETIA HOLDING AG

Ticker: HELN Security ID: H3701H100

Meeting Date: APR 22, 2016 Meeting Type: ANNUAL

Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Discharge of Board and Senior Management	Management	For	For
3	Approve Allocation of Income and Dividends of CHF 19.00 per Share	Management	For	For
4.1	Elect Pierin Vincenz as Director and Board Chairman	Management	For	Against
4.2.1	Reelect Hans-Juerg Bernet as Director	Management	For	For
4.2.2	Reelect Jean-Rene Fournier as Director	Management	For	Against
4.2.3	Reelect Patrik Gisela as Director	Management	For	Against
4.2.4	Reelect Hans Kuenzle as Director	Management	For	Against
4.2.5	Reelect Christoph Lechner as Director	Management	For	For
4.2.6	Reelect Gabriela Payer as Director	Management	For	For
4.2.7	Reelect Doris Russi Schurter as Director	Management	For	Against
4.2.8	Reelect Herbert J. Scheidt as Director	Management	For	Against
4.2.9	Reelect Andreas von Planta as Director	Management	For	For
4.3.1	Appoint Hans-Juerg Bernet as Member of the Compensation Committee	Management	For	For
4.3.2	Appoint Gabriela Payer as Member of the Compensation Committee	Management	For	For
4.3.3	Appoint Doris Russi Schurter as Member of the Compensation Committee	Management	For	Against
4.3.4	Appoint Andreas von Planta as Member of the Compensation Committee	Management	For	For
5.1	Approve Fixed Remuneration of Directors in the Amount of CHF 2.74 Million	Management	For	For
5.2	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 5.06 Million	Management	For	For
5.3	Approve Variable Remuneration of Directors in the Amount of CHF 392,000	Management	For	For
5.4	Approve Variable Remuneration of Executive Committee in the Amount of CHF 3.36 Million	Management	For	For
6	Designate Schmuki Bachmann Rechtsanwaelte as Independent Proxy	Management	For	For
7	Ratify KPMG AG as Auditors	Management	For	For
8	Transact Other Business (Voting)	Management	For	Against

IMPLENIA AG

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

Ticker: IMPN Security ID: H41929102  
 Meeting Date: MAR 22, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Accept Financial Statements and Statutory Reports	Management	For	For
1.2	Approve Remuneration Report	Management	For	Against
2	Approve Allocation of Income and Dividends of CHF 1.90 per Share	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4.1	Approve Maximum Remuneration of Directors in the Amount of CHF 1.5 Million	Management	For	For
4.2	Approve Maximum Remuneration of Executive Committee in the Amount of CHF 10 Million	Management	For	Against
5.1a	Reelect Hans-Ulrich Meister as Director and as Chairman of the Board	Management	For	Against
5.1b	Reelect Chantal Emery as Director	Management	For	For
5.1c	Reelect Calvin Grieder as Director	Management	For	For
5.1d	Reelect Henner Mahlstedt as Director	Management	For	For
5.1e	Elect Ines Poeschel as Director	Management	For	For
5.1f	Elect Kyrre Johansen as Director	Management	For	For
5.1g	Elect Laurent Vulliet as Director	Management	For	For
5.2a	Appoint Calvin Grieder as Member of the Compensation Committee	Management	For	For
5.2b	Appoint Ines Poeschel as Member of the Compensation Committee	Management	For	For
5.2c	Appoint Kyrre Johansen as Member of the Compensation Committee	Management	For	For
5.3	Designate Andreas Keller as Independent Proxy	Management	For	For
5.4	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
6	Transact Other Business (Voting)	Management	For	Against

### JULIUS BAER GRUPPE AG

Ticker: BAER Security ID: H4414N103  
 Meeting Date: APR 13, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Accept Financial Statements and Statutory Reports	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

1.2	Approve Remuneration Report	Management	For	For
2	Approve Allocation of Income and Dividends of CHF 1.10 per Share	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4.1	Approve Maximum Remuneration of Board of Directors in the Amount of CHF 3.16 Million	Management	For	For
4.2.1	Approve Variable Cash-Based Remuneration of Executive Committee for Fiscal 2015 in the Amount of CHF 6.2 Million	Management	For	Against
4.2.2	Approve Variable Share-Based Remuneration of Executive Committee for Fiscal 2016 in the Amount of CHF 5.52 Million	Management	For	Against
4.2.3	Approve Maximum Fixed Remuneration of Executive Committee for Fiscal 2017 in the Amount of CHF 6.63 Million	Management	For	Against
5.1.1	Reelect Daniel Sauter as Director	Management	For	For
5.1.2	Reelect Gilbert Achermann as Director	Management	For	For
5.1.3	Reelect Andreas Amschwand as Director	Management	For	For
5.1.4	Reelect Heinrich Baumann as Director	Management	For	For
5.1.5	Reelect Paul Chow as Director	Management	For	For
5.1.6	Reelect Claire Giraut as Director	Management	For	For
5.1.7	Reelect Gareth Penny as Director	Management	For	For
5.1.8	Reelect Charles Stonehill as Director	Management	For	For
5.2	Elect Ann Almeida as Director	Management	For	For
5.3	Elect Daniel Sauter as Board Chairman	Management	For	For
5.4.1	Appoint Ann Almeida as Member of the Compensation Committee	Management	For	For
5.4.2	Appoint Gilbert Achermann as Member of the Compensation Committee	Management	For	For
5.4.3	Appoint Heinrich Baumann as Member of the Compensation Committee	Management	For	For
5.4.4	Appoint Gareth Penny as Member of the Compensation Committee	Management	For	For
6	Ratify KPMG AG as Auditors	Management	For	For
7	Designate Marc Nater as Independent Proxy	Management	For	For
8	Transact Other Business (Voting)	Management	For	Against

### KUROS BIOSCIENCES LTD.

Ticker: KURN Security ID: H1754L101  
 Meeting Date: JUN 16, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For

Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

2	Approve Treatment of Net Loss	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4a	Approve Fixed Remuneration of Directors in the Amount of CHF 575,000	Management	For	For
4b	Approve Allocation of 1.3 Million Options as Variable Remuneration to Directors	Management	For	Against
4c	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 1.85 Million	Management	For	For
4d	Approve Variable Remuneration of Executive Committee in the Amount of CHF 765,000	Management	For	For
4e	Approve Allocation of 10.82 Million Options as Variable Remuneration to Executive Committee	Management	For	Against
5a	Reelect Christian Itin as Director and Chairman of the Board	Management	For	For
5b	Reelect Didier Cowling as Director	Management	For	Against
5c	Reelect Arnd Kaltofen-Ehrmann as Director	Management	For	For
5d	Reelect Joerg Neermann as Director	Management	For	For
5e	Reelect Gerhard Ries as Director	Management	For	For
5f	Reelect Harry Welten as Director	Management	For	Against
5g	Elect Leanna Caron as Director	Management	For	For
6a	Appoint Arnd Kaltofen-Ehmann as Member of the Compensation Committee	Management	For	For
6b	Appoint Gerhard Ries as Member of the Compensation Committee	Management	For	For
7	Designate Andreas Keller as Independent Proxy	Management	For	For
8	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
9	Approve 100:1 Reverse Stock Split and Amend Articles of Association	Management	For	For
10	Amend Stock Option Plan	Management	For	For
11	Approve Creation of CHF 2.5 Million Pool of Capital without Preemptive Rights	Management	For	For
12	Transact Other Business (Voting)	Management	For	For

LEONTEQ AG

Ticker: LEON Security ID: G3082X113  
 Meeting Date: MAR 24, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2.1	Approve Allocation of Income	Management	For	For
2.2	Approve Dividends of CHF 1.75 per Share	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

4.1	Reelect Pierin Vincenz as Director and Board Chairman	Management	For	Against
4.2	Reelect Joerg Behrens as Director	Management	For	For
4.3	Reelect Vince Chandler as Director	Management	For	Against
4.4	Reelect Patrick de Figueiredo as Director	Management	For	Against
4.5	Reelect Patrik Gisel as Director	Management	For	Against
4.6	Reelect Hans Isler as Director	Management	For	For
4.7	Reelect Lukas Ruflin as Director	Management	For	Against
5.1	Appoint Vince Chandler as Member of the Compensation Committee	Management	For	Against
5.2	Appoint Pierin Vincenz as Member of the Compensation Committee	Management	For	Against
5.3	Appoint Lukas Ruflin as Member of the Compensation Committee	Management	For	Against
5.4	Appoint Hans Isler as Member of the Compensation Committee	Management	For	For
6	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
7	Designate Proxy Voting Services GmbH as Independent Proxy	Management	For	For
8.1	Approve Remuneration of Directors in the Amount of CHF 4.1 Million	Management	For	Against
8.2	Approve Remuneration of Executive Committee in the Amount of CHF 24 Million for Fiscal Year 2017	Management	For	Against
8.3	Approve Remuneration Report	Management	For	Against
9	Transact Other Business (Voting)	Management	For	Against

LINDT & SPRUENGLI AG

Ticker: LISN Security ID: H49983176

Meeting Date: APR 21, 2016 Meeting Type: ANNUAL

Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	Did Not Vote
2	Approve Remuneration Report	Management	For	Did Not Vote
3	Approve Discharge of Board and Senior Management	Management	For	Did Not Vote
4.1	Approve Allocation of Income and Dividends of CHF 400 per Registered Share and CHF 40 per Participation Certificate	Management	For	Did Not Vote
4.2	Approve Dividends from Capital Contribution Reserves of CHF 400 per Registered Share and CHF 40 per Participation Certificate	Management	For	Did Not Vote
5.1	Reelect Ernst Tanner as Director and Board Chairman	Management	For	Did Not Vote

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

5.2	Reelect Antonio Bulgheroni as Director	Management	For	Did Not Vote
5.3	Reelect Rudolf Spruengli as Director	Management	For	Did Not Vote
5.4	Reelect Elisabeth Guertler as Director	Management	For	Did Not Vote
5.5	Reelect Petra Schadeberg-Herrmann as Director	Management	For	Did Not Vote
5.6	Elect Thomas Rinderknecht as Director	Management	For	Did Not Vote
6.1	Appoint Rudolf Spruengli as Member of the Compensation Committee	Management	For	Did Not Vote
6.2	Appoint Antonio Bulgheroni as Member of the Compensation Committee	Management	For	Did Not Vote
6.3	Appoint Elisabeth Guertler as Member of the Compensation Committee	Management	For	Did Not Vote
7	Designate Patrick Schleiffer as Independent Proxy	Management	For	Did Not Vote
8	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	Did Not Vote
9.1	Approve Remuneration of Directors in the Amount of CHF 1.1 Million	Management	For	Did Not Vote
9.2	Approve Remuneration of Executive Committee in the Amount of CHF 28 Million	Management	For	Did Not Vote
10	Transact Other Business (Voting)	Management	For	Did Not Vote

LONZA GROUP LTD.

Ticker: LONN Security ID: H50524133  
 Meeting Date: APR 22, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Remuneration Report	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4	Approve Allocation of Income and Dividends of CHF 2.50 per Share	Management	For	For
5.1a	Reelect Patrick Aebischer as Director	Management	For	For
5.1b	Reelect Werner Bauer as Director	Management	For	For
5.1c	Reelect Thomas Ebeling as Director	Management	For	For
5.1d	Reelect Jean-Daniel Gerber as Director	Management	For	For
5.1e	Reelect Barbara Richmond as Director	Management	For	For
5.1f	Reelect Margot Scheltema as Director	Management	For	For
5.1g	Reelect Rolf Soiron as Director	Management	For	For
5.1h	Reelect Juergen Steinemann as Director	Management	For	For
5.1i	Reelect Antonio Trius as Director	Management	For	For
5.2	Elect Christoph Maeder as Director	Management	For	For
5.3	Reelect Rolf Soiron as Board Chairman	Management	For	For
5.4a	Appoint Thomas Ebeling as Member of the Nomination and Compensation Committee	Management	For	For
5.4b	Appoint Jean-Daniel Gerber as Member of the Nomination and Compensation Committee	Management	For	For
5.4c	Appoint Christoph Maeder as Member of the Nomination and Compensation Committee	Management	For	For
5.4d	Appoint Juergen Steinemann as Member of	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

the Nomination and Compensation  
Committee

6	Ratify KPMG AG as Auditors	Management	For	For
7	Designate Daniel Pluess as Independent Proxy	Management	For	For
8	Approve Remuneration of Directors in the Amount of CHF 2.96 Million	Management	For	For
9.1	Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 4.02 Million	Management	For	For
9.2	Approve Maximum Short-Term Variable Remuneration of Executive Committee in the Amount of CHF 3.31 Million	Management	For	For
9.3	Approve Maximum Long-Term Variable Remuneration of Executive Committee in the Amount of CHF 5.67 Million	Management	For	For
10	Transact Other Business (Voting)	Management	For	Against

NESTLE SA

Ticker: NESN Security ID: H57312649  
Meeting Date: APR 07, 2016 Meeting Type: ANNUAL  
Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Accept Financial Statements and Statutory Reports	Management	For	For
1.2	Approve Remuneration Report (Non-binding)	Management	For	For
2	Approve Discharge of Board and Senior Management	Management	For	For
3	Approve Allocation of Income and Dividends of CHF 2.25 per Share	Management	For	For
4.1a	Reelect Peter Brabeck-Letmathe as Director	Management	For	For
4.1b	Reelect Paul Bulcke as Director	Management	For	For
4.1c	Reelect Andreas Koopmann as Director	Management	For	For
4.1d	Reelect Beat Hess as Director	Management	For	For
4.1e	Reelect Renato Fassbind as Director	Management	For	For
4.1f	Reelect Steven G. Hoch as Director	Management	For	For
4.1g	Reelect Naina Lal Kidwai as Director	Management	For	For
4.1h	Reelect Jean-Pierre Roth as Director	Management	For	For
4.1i	Reelect Ann Veneman as Director	Management	For	For
4.1j	Reelect Henri de Castries as Director	Management	For	For
4.1k	Reelect Eva Cheng as Director	Management	For	For
4.1l	Reelect Ruth K. Oniang'o as Director	Management	For	For
4.1m	Reelect Patrick Aebischer as Director	Management	For	For
4.2	Reelect Peter Brabeck-Letmathe as Board Chairman	Management	For	For
4.3.1	Appoint Beat Hess as Member of the Compensation Committee	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

4.3.2	Appoint Andreas Koopmann as Member of the Compensation Committee	Management	For	For
4.3.3	Appoint Jean-Pierre Roth as Member of the Compensation Committee	Management	For	For
4.3.4	Appoint Patrick Aebischer as Member of the Compensation Committee	Management	For	For
4.4	Ratify KPMG AG as Auditors	Management	For	For
4.5	Designate Hartmann Dreyer as Independent Proxy	Management	For	For
5.1	Approve Remuneration of Board of Directors in the Amount of CHF 10.5 Million	Management	For	Against
5.2	Approve Remuneration of Executive Committee in the Amount of CHF 60 Million	Management	For	For
6	Approve CHF 7.6 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Management	For	For
7	Transact Other Business (Voting)	Management	Against	Against

### NOVARTIS AG

Ticker: NOVN Security ID: H5820Q150  
 Meeting Date: FEB 23, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Discharge of Board and Senior Management	Management	For	For
3	Approve Allocation of Income and Dividends of CHF 2.70 per Share	Management	For	For
4	Approve CHF 24.9 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Management	For	For
5	Authorize Repurchase of up to CHF 10 Billion in Issued Share Capital	Management	For	For
6.1	Approve Maximum Remuneration of Board of Directors in the Amount of CHF 8.16 Million	Management	For	For
6.2	Approve Maximum Remuneration of Executive Committee in the Amount of CHF 93 Million	Management	For	For
6.3	Approve Remuneration Report (Non-Binding)	Management	For	For
7.1	Reelect Joerg Reinhardt as Director and Chairman	Management	For	For
7.2	Reelect Nancy Andrews as Director	Management	For	For
7.3	Reelect Dimitri Azar as Director	Management	For	For
7.4	Reelect Srikant Datar as Director	Management	For	For
7.5	Reelect Ann Fudge as Director	Management	For	For
7.6	Reelect Pierre Landolt as Director	Management	For	For
7.7	Reelect Andreas von Planta as Director	Management	For	For
7.8	Reelect Charles Sawyers as Director	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

7.9	Reelect Enrico Vanni as Director	Management	For	For
7.10	Reelect William Winters as Director	Management	For	For
7.11	Elect Tom Buechner as Director	Management	For	For
7.12	Elect Elizabeth Doherty as Director	Management	For	For
8.1	Appoint Srikant Datar as Member of the Compensation Committee	Management	For	For
8.2	Appoint Ann Fudge as Member of the Compensation Committee	Management	For	For
8.3	Appoint Enrico Vanni as Member of the Compensation Committee	Management	For	For
8.4	Appoint William Winters as Member of the Compensation Committee	Management	For	For
9	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
10	Designate Peter Andreas Zahn as Independent Proxy	Management	For	For
11	Transact Other Business (Voting)	Management	For	For

### OC OERLIKON CORPORATION AG

Ticker: OERL Security ID: H59187106  
 Meeting Date: APR 05, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2.1	Approve Allocation of Income	Management	For	For
2.2	Approve Dividends of CHF 0.30 per Share from Capital Contribution Reserves	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4.1.1	Reelect Michael Suess as Director and Board Chairman	Management	For	For
4.1.2	Reelect Gerhard Pegam as Director	Management	For	For
4.1.3	Reelect Hans Ziegler as Director	Management	For	For
4.2.1	Elect Jean Botti as Director	Management	For	For
4.2.2	Elect David Metzger as Director	Management	For	Against
4.2.3	Elect Alexy Moskov as Director	Management	For	Against
5.1.1	Reappoint Michael Suess as Member of the Human Resources Committee	Management	For	Against
5.1.2	Reappoint Hans Ziegler as Member of the Human Resources Committee	Management	For	Against
5.2	Appoint Alexy Moskov as Member of the Human Resources Committee	Management	For	Against
6	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
7	Designate Proxy Voting Services GmbH as Independent Proxy	Management	For	For
8	Approve Remuneration of Board of Directors in the Amount of CHF 2.2 Million	Management	For	Against
9	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 6.9 Million	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

10	Approve Variable Remuneration of Executive Committee in the Amount of CHF 7.0 Million	Management	For	For
11	Transact Other Business (Voting)	Management	For	For

SFS GROUP AG

Ticker: SFSN Security ID: H7482F118  
 Meeting Date: APR 20, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2.1	Approve Additional Remuneration of Board of Directors in the Amount of CHF 104,000 for the Period from January 1, 2016 until the Conclusion of the 2016 AGM	Management	For	Against
2.2	Approve Maximum Remuneration of Board of Directors in the Amount of CHF 736,000 in Cash and CHF 305,000 in Shares for the Period from the 2016 AGM until the 2017 AGM	Management	For	Against
2.3	Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 3.32 Million	Management	For	For
2.4	Approve Maximum Variable Remuneration of Executive Committee in the Amount of CHF 986,000 in Cash and CHF 516,000 in Shares	Management	For	For
2.5	Approve Remuneration Report	Management	For	Against
3	Approve Discharge of Board and Senior Management	Management	For	For
4	Approve Allocation of Income and Dividends of CHF 1.50 per Share	Management	For	For
5.1a	Reelect Ruedi Huber as Director	Management	For	For
5.1b	Reelect Urs Kaufmann as Director	Management	For	For
5.1c	Reelect Thomas Oetterli as Director	Management	For	For
5.1d	Reelect Heinrich Spoerry as Director and Chairman of the Board	Management	For	For
5.1e	Reelect Karl Stadler as Director	Management	For	For
5.1f	Reelect Joerg Walther as Director	Management	For	For
5.2a	Appoint Urs Kaufmann as Member of the Nomination and Compensation Committee	Management	For	For
5.2b	Appoint Karl Stadler as Member of the Nomination and Compensation Committee	Management	For	Against
5.2c	Appoint Heinrich Spoerry as Member of the Compensation Committee	Management	For	Against
5.3	Designate buerki bolt nemeth Rechtsanwaelte as Independent Proxy	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

5.4	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
6	Transact Other Business (Voting)	Management	For	Against

### SONOVA HOLDING AG

Ticker: SOON Security ID: H8024W106  
 Meeting Date: JUN 14, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Accept Financial Statements and Statutory Reports	Management	For	For
1.2	Approve Remuneration Report (Non-Binding)	Management	For	For
2	Approve Allocation of Income and Dividends of CHF 2.10 per Share	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4.1.1	Reelect Robert Spoerry as Director and Board Chairman	Management	For	For
4.1.2	Reelect Beat Hess as Director	Management	For	For
4.1.3	Reelect Stacy Enxing Seng as Director	Management	For	For
4.1.4	Reelect Michael Jacobi as Director	Management	For	For
4.1.5	Reelect Anssi Vanjoki as Director	Management	For	For
4.1.6	Reelect Roland van der Vis as Director	Management	For	For
4.1.7	Reelect Jinlong Wang as Director	Management	For	For
4.2	Elect Lynn Dorsey Bleil as Director	Management	For	For
4.3.1	Appoint Robert Spoerry as Member of the Compensation Committee	Management	For	For
4.3.2	Appoint Beat Hess as Member of the Compensation Committee	Management	For	For
4.3.3	Appoint Stacy Enxing Seng as Member of the Compensation Committee	Management	For	For
4.4	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
4.5	Designate Andreas Keller as Independent Proxy	Management	For	For
5.1	Approve Remuneration of Directors in the Amount of CHF 3 Million	Management	For	For
5.2	Approve Remuneration of Executive Committee in the Amount of CHF 15.4 Million	Management	For	Against
6	Approve CHF 60,175 Reduction in Share Capital via Cancellation of Shares	Management	For	For
7	Transact Other Business (Voting)	Management	For	Against

### SUNRISE COMMUNICATIONS GROUP AG

Ticker: SRCG Security ID: H83659104  
 Meeting Date: APR 15, 2016 Meeting Type: ANNUAL  
 Record Date:

Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2.1	Approve Allocation of Income	Management	For	For
2.2	Approve Dividends of from Capital Contribution Reserves	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4.1.1	Reelect Lorne Somerville as Director	Management	For	For
4.1.2	Reelect Peter Schoepfer as Director	Management	For	For
4.1.3	Reelect Jesper Ovesen as Director	Management	For	For
4.1.4	Elect Peter Kurer as Director	Management	For	For
4.1.5	Elect Robin Bienenstock as Director	Management	For	For
4.1.6	Elect Michael Krammer as Director	Management	For	For
4.1.7	Elect Christoph Vilanek as Director	Management	For	For
4.1.8	Elect Joachim Preisig as Director	Management	For	For
4.1.9	Elect Peter Kurer as Board Chairman	Management	For	For
4.2.1	Appoint Peter Schoepfer as Member of the Compensation Committee	Management	For	For
4.2.2	Appoint Lorne Somerville as Member of the Compensation Committee	Management	For	For
4.2.3	Appoint Peter Kurer as Member of the Compensation Committee	Management	For	For
4.2.4	Appoint Christoph Vilanek as Member of the Compensation Committee	Management	For	For
4.2.5	Appoint Peter Schoepfer as Chairman of the Compensation Committee	Management	For	For
5	Designate Andreas Keller as Independent Proxy	Management	For	For
6	Ratify Ernst & Young as Auditors	Management	For	For
7.1	Approve Remuneration Report	Management	For	For
7.2	Approve Maximum Aggregate Remuneration of Directors in the Amount of CHF 1.5 Million	Management	For	For
7.3	Approve Maximum Aggregate Remuneration of Executive Committee in the Amount of CHF 11 Million	Management	For	For
8.1	Approve CHF 350,000 Pool of Authorized Capital without Preemptive Rights for Employee Equity Participation	Management	For	For
8.2	Amend Articles Re: Deletion of Opting-Out Clause	Management	For	For
9	Transact Other Business (Voting)	Management	For	Against

SWATCH GROUP AG

Ticker: UHR Security ID: H83949133  
 Meeting Date: MAY 11, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Receive 2015 Annual Report (Non-Voting)	Management	None	None
1.2	Receive Financial Statements and Statutory Reports (Non-Voting)	Management	None	None

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

1.3	Receive Statutory Auditors' Report (Non-Voting)	Management	None	None
1.4	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Discharge of Board and Senior Management	Management	For	For
3	Approve Allocation of Income and Dividends of CHF 1.50 per Registered Share and CHF 7.50 per Bearer Shares	Management	For	For
4.1.1	Approve Fixed Remuneration of Non-Executive Directors in the Amount of CHF 1 Million	Management	For	For
4.1.2	Approve Fixed Remuneration of Executive Directors in the Amount of CHF 2.6 Million	Management	For	Against
4.2	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 6.2 Million	Management	For	For
4.3	Approve Variable Remuneration of Executive Directors in the Amount of CHF 7.6 Million	Management	For	Against
4.4	Approve Variable Remuneration of Executive Committee in the Amount of CHF 21.9 Million	Management	For	Against
5.1	Elect Nayla Hayek as Director and Board Chairman	Management	For	Against
5.2	Elect Ernst Tanner as Director	Management	For	Against
5.3	Elect Georges N. Hayek as Director	Management	For	Against
5.4	Elect Claude Nicollier as Director	Management	For	For
5.5	Elect Jean-Pierre Roth as Director	Management	For	For
5.6	Elect Daniela Aeschlimann as Director	Management	For	Against
6.1	Appoint Nayla Hayek as Member of the Compensation Committee	Management	For	Against
6.2	Appoint Ernst Tanner as Member of the Compensation Committee	Management	For	Against
6.3	Appoint Georges N. Hayek as Member of the Compensation Committee	Management	For	Against
6.4	Appoint Claude Nicollier as Member of the Compensation Committee	Management	For	For
6.5	Appoint Jean-Pierre Roth as Member of the Compensation Committee	Management	For	For
6.6	Appoint Daniela Aeschlimann as Member of the Compensation Committee	Management	For	Against
7	Designate Bernhard Lehmann as Independent Proxy	Management	For	For
8	Ratify PricewaterhouseCoopers Ltd as Auditors	Management	For	For
9	Transact Other Business (Voting)	Management	For	Against

SWISS LIFE HOLDING

Ticker: SLHN Security ID: H8404J162  
 Meeting Date: APR 26, 2016 Meeting Type: ANNUAL  
 Record Date:

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Accept Financial Statements and Statutory Reports	Management	For	For
1.2	Approve Remuneration Report (Non-Binding)	Management	For	For
2.1	Approve Allocation of Income	Management	For	For
2.2	Approve Dividends of CHF 8.50 per Share from Capital Contribution Reserves	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4.1	Approve Fixed Remuneration of Directors in the Amount of CHF 3.44 Million	Management	For	For
4.2	Approve Short-Term Variable Remuneration of Executive Committee in the Amount of CHF 4.15 Million	Management	For	For
4.3	Approve Maximum Fixed Remuneration and Long-Term Variable Remuneration of Executive Committee in the Amount of CHF 13.7 Million	Management	For	For
5.1	Reelect Rolf Doerig as Director and Board Chairman	Management	For	For
5.2	Reelect Wolf Becke as Director	Management	For	For
5.3	Reelect Gerold Buehrer as Director	Management	For	For
5.4	Reelect Adrienne Fumagalli as Director	Management	For	For
5.5	Reelect Ueli Dietiker as Director	Management	For	For
5.6	Reelect Damir Filipovic as Director	Management	For	For
5.7	Reelect Frank Keuper as Director	Management	For	For
5.8	Reelect Henry Peter as Director	Management	For	For
5.9	Reelect Frank Schnewlin as Director	Management	For	For
5.10	Reelect Franziska Sauber as Director	Management	For	For
5.11	Reelect Klaus Tschuetscher as Director	Management	For	For
5.12	Appoint Henry Peter as Member of the Compensation Committee	Management	For	For
5.13	Appoint Frank Schnewlin as Member of the Compensation Committee	Management	For	For
5.14	Appoint Franziska Sauber as Member of the Compensation Committee	Management	For	For
6	Designate Andreas Zuercher as Independent Proxy	Management	For	For
7	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
8	Transact Other Business (Voting)	Management	For	Against

SWISS REINSURANCE (SCHWEIZERISCHE RUECKVERSICHERUNGS)

Ticker: SREN Security ID: H8431B109  
 Meeting Date: APR 22, 2016 Meeting Type: ANNUAL  
 Record Date:

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Approve Remuneration Report	Management	For	For
1.2	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Allocation of Income and Dividends of CHF 4.60 per Share	Management	For	For
3	Approve Variable Short-Term Remuneration of Executive Committee in the Amount of CHF 20.3 Million	Management	For	For
4	Approve Discharge of Board and Senior Management	Management	For	For
5.1a	Reelect Walter Kielholz as Director and Board Chairman	Management	For	Against
5.1b	Reelect Raymond Ch'ien as Director	Management	For	For
5.1c	Reelect Renato Fassbind as Director	Management	For	For
5.1d	Reelect Mary Francis as Director	Management	For	For
5.1e	Reelect Rajna Gibson Brandon as Director	Management	For	For
5.1f	Reelect Robert Henrikson as Director	Management	For	For
5.1g	Reelect Trevor Manuel as Director	Management	For	For
5.1h	Reelect Carlos Represas as Director	Management	For	For
5.1i	Reelect Philip Ryan as Director	Management	For	For
5.1j	Reelect Susan Wagner as Director	Management	For	For
5.1k	Elect Paul Tucker as Director	Management	For	For
5.2a	Appoint Renato Fassbind as Member of the Compensation Committee	Management	For	For
5.2b	Appoint Robert Henrikson as Member of the Compensation Committee	Management	For	For
5.2c	Appoint Carlos Represas as Member of the Compensation Committee	Management	For	For
5.2d	Appoint Raymond Ch'ien as Member of the Compensation Committee	Management	For	For
5.3	Designate Proxy Voting Services GmbH as Independent Proxy	Management	For	For
5.4	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
6.1	Approve Maximum Remuneration of Directors in the Amount of CHF 10.1 Million	Management	For	Against
6.2	Approve Maximum Fixed and Variable Long-Term Remuneration of Executive Committee in the Amount of CHF 34 Million	Management	For	For
7	Approve CHF 1.1 Million Reduction in Share Capital via Cancellation of Repurchased Shares	Management	For	For
8	Authorize Repurchase of up to CHF 1 Billion of Issued Share Capital	Management	For	For
9	Amend Articles Re: Financial Markets Infrastructure Act	Management	For	For
10	Transact Other Business (Voting)	Management	For	Against

SYNGENTA AG

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

Ticker: SYNN Security ID: H84140112  
 Meeting Date: APR 26, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Remuneration Report (Non-Binding)	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4	Approve CHF 36,750 Reduction in Share Capital via Cancellation of Treasury Shares	Management	For	For
5.1	Approve Allocation of Income and Dividends of CHF 11.00 per Share	Management	For	For
5.2	Approve Allocation of Special Dividend of CHF 5.00 per Share	Management	For	For
6.1	Reelect Vinita Bali as Director	Management	For	For
6.2	Reelect Stefan Borgas as Director	Management	For	For
6.3	Reelect Gunnar Brock as Director	Management	For	For
6.4	Reelect Michel Demare as Director	Management	For	Against
6.5	Reelect Eleni Gabre-Madhin as Director	Management	For	For
6.6	Reelect David Lawrence as Director	Management	For	For
6.7	Reelect Eveline Saupper as Director	Management	For	For
6.8	Reelect Juerg Witmer as Director	Management	For	For
7	Reelect Michel Demare as Board Chairman	Management	For	Against
8.1	Reelect Eveline Saupper as Member of the Compensation Committee	Management	For	For
8.2	Reelect Juerg Witmer as Member of the Compensation Committee	Management	For	For
8.3	Appoint Stefan Borgas as Member of the Compensation Committee	Management	For	For
9	Approve Remuneration of Directors in the Amount of CHF 4.5 Million	Management	For	For
10	Approve Remuneration of Executive Committee in the Amount of CHF 41 Million	Management	For	For
11	Designate Lukas Handschin as Independent Proxy	Management	For	For
12	Ratify KPMG AG as Auditors	Management	For	For
13	Transact Other Business (Voting)	Management	For	Against

### TECAN GROUP AG

Ticker: TECN Security ID: H84774167  
 Meeting Date: APR 13, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

	Statutory Reports			
2	Approve Allocation of Income and Dividends of CHF 1.75 per Share	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4.1a	Reelect Heinrich Fischer as Director	Management	For	For
4.1b	Reelect Oliver Fetzler as Director	Management	For	For
4.1c	Reelect Lars Holmqvist as Director	Management	For	For
4.1d	Reelect Karen Huebscher as Director	Management	For	For
4.1e	Reelect Christa Kreuzburg as Director	Management	For	For
4.1f	Reelect Gerard Vaillant as Director	Management	For	For
4.1g	Reelect Rolf Classon as Director	Management	For	For
4.2	Elect Rolf Classon as Board Chairman	Management	For	For
4.3a	Appoint Oliver Fetzler as Member of the Compensation Committee	Management	For	For
4.3b	Appoint Christa Kreuzburg as Member of the Compensation Committee	Management	For	For
4.3c	Appoint Gerard Vaillant as Member of the Compensation Committee	Management	For	For
4.4	Ratify Ernst & Young AG as Auditors	Management	For	For
4.5	Designate Proxy Voting Services GmbH as Independent Proxy	Management	For	For
5.1	Approve Remuneration Report	Management	For	For
5.2	Approve Remuneration of Directors in the Amount of CHF 1.45 Million	Management	For	For
5.3	Approve Remuneration of Executive Committee in the Amount of CHF 18.2 Million	Management	For	For
6	Extend Duration of CHF 220,000 Pool of Capital without Preemptive Rights	Management	For	For
7	Transact Other Business (Voting)	Management	For	Against

### UBS GROUP AG

Ticker: UBSG Security ID: H892U1882

Meeting Date: MAY 10, 2016 Meeting Type: ANNUAL

Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1.1	Accept Financial Statements and Statutory Reports	Management	For	For
1.2	Approve Remuneration Report	Management	For	Against
2.1	Approve Allocation of Income and Dividends of 0.60 per Share from Capital Contribution Reserves	Management	For	For
2.2	Approve Supplementary Dividends of CHF 0.25 per Share from Capital Contribution Reserves	Management	For	For
3	Approve Discharge of Board and Senior Management	Management	For	For
4	Approve Variable Remuneration of	Management	For	Against

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

	Executive Committee in the Amount of CHF 71.25 Million			
5	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 28.5 Million	Management	For	For
6.1.1	Reelect Axel Weber as Director and Board Chairman	Management	For	For
6.1.2	Reelect Michel Demare as Director	Management	For	For
6.1.3	Reelect David Sidwell as Director	Management	For	For
6.1.4	Reelect Reto Francioni as Director	Management	For	For
6.1.5	Reelect Ann Godbehere as Director	Management	For	For
6.1.6	Reelect William Parrett as Director	Management	For	For
6.1.7	Reelect Isabelle Romy as Director	Management	For	For
6.1.8	Reelect Beatrice Weder di Mauro as Director	Management	For	For
6.1.9	Reelect Joseph Yam as Director	Management	For	For
6.2.1	Elect Robert Scully as Director	Management	For	For
6.2.2	Elect Dieter Wemmer as Director	Management	For	For
6.3.1	Appoint Ann Godbehere as Member of the Compensation Committee	Management	For	For
6.3.2	Appoint Michel Demare as Member of the Compensation Committee	Management	For	For
6.3.3	Appoint Reto Francioni as Member of the Compensation Committee	Management	For	For
6.3.4	Appoint William Parrett as Member of the Compensation Committee	Management	For	For
7	Approve Maximum Remuneration of Directors in the Amount of CHF 14 Million	Management	For	Against
8.1	Designate ADB Altorfer Duss & Beilstein AG as Independent Proxy	Management	For	For
8.2	Ratify Ernst & Young AG as Auditors	Management	For	For
9	Transact Other Business (Voting)	Management	For	Against

### VALIANT HOLDING AG

Ticker: VATN Security ID: H90203128  
 Meeting Date: MAY 18, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Remuneration Report	Management	For	Against
3	Approve Discharge of Board and Senior Management	Management	For	For
4	Approve Allocation of Income and Dividends of CHF 3.60 per Share	Management	For	For
5.1	Approve Maximum Remuneration of Board of Directors in the Amount of CHF 1.7 Million	Management	For	For
5.2	Approve Maximum Fixed Remuneration of Executive Committee in the Amount of CHF 2.9 Million	Management	For	For
5.3	Approve Maximum Variable Remuneration of Executive Committee in the Amount of CHF 1.9 Million	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

6.1.1	Reelect Juerg Bucher as Director and Board Chairman	Management	For	For
6.1.2	Reelect Ivo Furrer as Director	Management	For	For
6.1.3	Reelect Barbara Artmann as Director	Management	For	For
6.1.4	Reelect Jean-Baptiste Beuret as Director	Management	For	For
6.1.5	Reelect Christoph Buehler as Director	Management	For	For
6.1.6	Reelect Andreas Huber as Director	Management	For	For
6.1.7	Reelect Franziska von Weissenfluh as Director	Management	For	For
6.2	Elect Othmar Stoeckli as Director	Management	For	For
7.1	Appoint Franziska von Weissenfluh as Member of the Compensation Committee	Management	For	For
7.2	Appoint Juerg Bucher as Member of the Compensation Committee	Management	For	For
7.3	Appoint Ivo Furrer as Member of the Compensation Committee	Management	For	For
8	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
9	Designate Fellmann Tschuempferlin Loetscher AG as Independent Proxy	Management	For	For
10	Transact Other Business (Voting)	Management	For	Against

### VZ HOLDING AG

Ticker: VZN Security ID: H9239A103  
 Meeting Date: APR 12, 2016 Meeting Type: ANNUAL  
 Record Date:

Proposal No	Proposal	Proposed By	Management Recommendation	Vote Cast
1	Accept Financial Statements and Statutory Reports	Management	For	For
2	Approve Discharge of Board and Senior Management	Management	For	For
3	Approve Allocation of Income and Dividends of CHF 4.20 per Share	Management	For	For
4.1.1	Reelect Fred Kindle as Director	Management	For	For
4.1.2	Reelect Roland Iff as Director	Management	For	For
4.1.3	Reelect Albrecht Langhart as Director	Management	For	For
4.1.4	Reelect Roland Ledergerber as Director	Management	For	For
4.1.5	Reelect Olivier de Perregaux as Director	Management	For	For
4.2	Reelect Fred Kindle as Board Chairman	Management	For	For
4.3.1	Appoint Fred Kindle as Member of the Compensation Committee	Management	For	For
4.3.2	Appoint Roland Ledergerber as Member of the Compensation Committee	Management	For	For
5	Designate Andreas Keller as Independent Proxy	Management	For	For
6	Ratify PricewaterhouseCoopers AG as Auditors	Management	For	For
7.1	Approve Remuneration of Directors in the Amount of CHF 345,000	Management	For	For

## Edgar Filing: SWISS HELVETIA FUND, INC. - Form N-PX

7.2	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 4.4 Million	Management	For	For
7.3	Approve Variable Remuneration of Executive Committee in the Amount of CHF 2.04 Million	Management	For	For
8	Transact Other Business (Voting)	Management	For	Against

END NPX REPORT

### SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

The Swiss Helvetia Fund, Inc.

By: /s/ William P. Sauer

-----  
William P. Sauer  
Vice President

Date: August 11, 2016