

NOMURA HOLDINGS INC
Form 6-K
October 10, 2018
Table of Contents

FORM 6-K

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of October 2018

NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F X Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Table of Contents

Information furnished on this form:

EXHIBIT

Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from September 1, 2018 to September 30, 2018.

Table of Contents

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: October 10, 2018

By: /s/ Hajime Ikeda
Hajime Ikeda
Senior Managing Director

Table of Contents

[Translation of Share Buyback Report for the reporting month from September 1, 2018 to September 30, 2018 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on October 10, 2018]

Class of Shares: Common Stock

1. Status of repurchase

(1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders
Not applicable

(2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors (Board)
as of September 30, 2018

	Number of Shares	Total Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 26, 2018)		
(Period of repurchase: from May 16, 2018 to March 29, 2019 (excluding the ten business days following the announcement of each quarterly financial results))	100,000,000	70,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)	1,170,000
	September 3	1,170,000
	September 4	1,170,000
	September 5	1,170,000
	September 6	1,170,000
	September 7	1,140,000
	September 10	1,140,000
	September 11	1,140,000
	September 12	1,140,000

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

September 13	1,140,000	596,767,470
September 14	1,200,000	639,424,950
September 18	1,200,000	653,050,130
September 19	1,200,000	661,835,090
September 20		

Total	15,150,000	7,871,748,700
Aggregate shares repurchased as of the end of this reporting month	19,150,000	9,927,696,620
Progress of share repurchase (%)	19.2	14.2

Table of Contents**2. Status of disposition**

as of September 30, 2018

	Number of shares disposed in the reporting month (Date)	Aggregate amount of disposition (JPY)
Treasury shares disposed subject to procedures for issuance of new shares		
Subtotal		
Treasury shares canceled	(Date)	
Subtotal		
Treasury shares transferred upon merger, share exchange or demerger	(Date)	
Subtotal		
Other (upon request for purchasing less-than-a-full-unit shares)	(Date)	
Subtotal		
Other (exercise of stock acquisition rights)	(Date)	7,700 305,700
	September 3	5,000 1,495,000
	September 4	53,700 2,433,700
	September 5	24,000 3,596,000
	September 6	20,000 5,970,000
	September 7	355,500 2,438,500
	September 11	15,700 2,099,700
	September 12	28,100 4,790,100
	September 13	34,000 3,014,000
	September 14	61,800 2,740,800
	September 18	140,600 1,928,600
	September 19	47,900 8,977,900

Edgar Filing: NOMURA HOLDINGS INC - Form 6-K

	September 20	157,600	6,109,600
	September 21	6,000	1,793,000
	September 25		
Subtotal		957,600	47,692,600
Total		957,600	47,692,600

3. Status of shares held in treasury

as of September 30, 2018

As of the end of the reporting month	Number of Shares
Total number of issued shares	3,643,562,601
Number of shares held in treasury	260,740,989