

Edgar Filing: LANTRONIX INC - Form 4

LANTRONIX INC  
Form 4  
November 20, 2002

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OMB APPROVAL  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(h) of the Investment Company Act of 1940

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person\*

Kerrigan

James

W

-----  
(Last)

(First)

(Middle)

15353 Barranca Parkway

-----  
(Street)

Irvine

California

92618

-----  
(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Lantronix, Inc., LTRX

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

4. Statement for Month/Day/Year

11/19/02

5. If Amendment, Date of Original (Month/Day/Year)

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## 6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Interim Chief Financial Officer

## 7. Individual or Joint/Group Filing (Check Applicable line)

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Trans-<br>action<br>Date<br>(mm/dd/yy) | 2A.<br>Deemed<br>Execution<br>Date, if<br>any<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8)<br>-----<br>Code V |  | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5)<br>-----<br>(A)<br>or<br>(D) Price |   |        |
|---------------------------------------|----------------------------------------------|-------------------------------------------------------------|------------------------------------------------------------|--|---------------------------------------------------------------------------------------------------------------|---|--------|
|                                       |                                              |                                                             |                                                            |  | Amount                                                                                                        |   |        |
| Common Stock                          | 11/19/02                                     |                                                             | P                                                          |  | 86,944                                                                                                        | A | \$0.73 |

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

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This image shows a full page of white paper with horizontal dashed lines, typical of primary school handwriting practice paper. The lines are evenly spaced and run across the entire width of the page. There are no margins, text, or other markings present.

|                                 |          |
|---------------------------------|----------|
| /s/ James W. Kerrigan           | 11/19/02 |
| -----                           | -----    |
| **Signature of Reporting Person | Date     |

\*\* Intentional misstatements or omissions of facts constitute Federal

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Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space is insufficient, see Instruction 6 for procedure.

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