

UNIVERSAL TECHNICAL INSTITUTE INC  
 Form 5  
 November 15, 2004

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL  
 OMB Number: 3235-0362  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**HARTMAN ROBERT D**

2. Issuer Name and Ticker or Trading Symbol  
**UNIVERSAL TECHNICAL INSTITUTE INC [UTI]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 09/30/2004

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Chairman of the Board

20410 NORTH 19TH AVENUE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting (check applicable line)

PHOENIX, AZ 85027

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, 0.0001 par value	09/30/2004	Â	J	18,844 D	\$ <sup>(1)</sup> 0	I	see footnote no. 1
Common Stock, 0.0001 par value	09/30/2004	Â	J	5,409 <sup>(2)</sup> A	\$ 30.1 5,409	D	Â
	10/01/2004	Â	J	5,409 D	\$ <sup>(3)</sup> 1,294,778	I	

Common  
Stock,  
0.0001 par  
value

Hartman  
Family  
Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D Se B O E Is FI (I
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares		

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARTMAN ROBERT D 20410 NORTH 19TH AVENUE PHOENIX, AZ 85027	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Chairman of the Board

## Signatures

/s/ Robert D.  
Hartman

11/12/2004

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On September 30, 2004, the UTI Tax Deferred Trust, of which the reporting person was a trustee, terminated. Among the assets distributed from the trust were 18,844 shares of UTI common stock.
  - (2) Reporting person received 5,409 shares from the UTI Tax Deferred Trust which terminated on September 30, 2004.
  - (3) Reflects the transfer of shares from the reporting person's direct holdings to the family trust of which the reporting person is a trustee. The reporting person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein. The inclusion of

## Edgar Filing: UNIVERSAL TECHNICAL INSTITUTE INC - Form 5

these shares shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 of any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.