

PEABODY ENERGY CORP
Form 4
April 08, 2008

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
JAMES WILLIAM E

(Last) (First) (Middle)

C/O ROCKPORT CAPITAL PARTNERS, LLC, 160 FEDERAL STREET, 18TH FLOOR

(Street)

BOSTON, MA 02110-1776

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PEABODY ENERGY CORP [BTU]

3. Date of Earliest Transaction (Month/Day/Year)
04/07/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	04/07/2008		M ⁽¹⁾		15,000	A	\$ 3.3001
Common Stock	04/07/2008		S ⁽¹⁾		400	D	\$ 59 17,896
Common Stock	04/07/2008		S ⁽¹⁾		500	D	\$ 59.27 17,396
Common Stock	04/07/2008		S ⁽¹⁾		500	D	\$ 59.285 16,896
Common Stock	04/07/2008		S ⁽¹⁾		400	D	\$ 59.29 16,496

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Common Stock	04/07/2008	<u>S(1)</u>	100	D	\$ 59.295	16,396	D	
Common Stock	04/07/2008	<u>S(1)</u>	300	D	\$ 59.3	16,096	D	
Common Stock	04/07/2008	<u>S(1)</u>	1,500	D	\$ 59.31	14,596	D	
Common Stock	04/07/2008	<u>S(1)</u>	200	D	\$ 59.32	14,396	D	
Common Stock	04/07/2008	<u>S(1)</u>	100	D	\$ 59.33	14,296	D	
Common Stock	04/07/2008	<u>S(1)</u>	300	D	\$ 59.337	13,996	D	
Common Stock	04/07/2008	<u>S(1)</u>	1,200	D	\$ 59.46	12,796	D	
Common Stock	04/07/2008	<u>S(1)</u>	900	D	\$ 59.47	11,896	D	
Common Stock	04/07/2008	<u>S(1)</u>	650	D	\$ 59.57	11,246	D	
Common Stock	04/07/2008	<u>S(1)</u>	1,200	D	\$ 59.58	10,046	D	
Common Stock	04/07/2008	<u>S(1)</u>	4,450	D	\$ 59.59	5,596	D	
Common Stock	04/07/2008	<u>S(1)</u>	200	D	\$ 59.6	5,396	D	
Common Stock	04/07/2008	<u>S(1)</u>	200	D	\$ 59.61	5,196	D	
Common Stock	04/07/2008	<u>S(1)</u>	1,100	D	\$ 59.62	4,096	D	
Common Stock	04/07/2008	<u>S(1)</u>	500	D	\$ 59.63	3,596	D	
Common Stock	04/07/2008	<u>S(1)</u>	200	D	\$ 59.64	3,396	D	
Common Stock	04/07/2008	<u>S(1)</u>	100	D	\$ 59.65	3,296	D	
Common Stock						7,520	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
Employee Stock Option (right to buy)	\$ 3.3001	04/07/2008		M ⁽¹⁾	15,000	11/19/2007 05/19/2008	Common Stock 15,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
JAMES WILLIAM E C/O ROCKPORT CAPITAL PARTNERS, LLC 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110-1776	X			

Signatures

William E. James By: Kenneth L. Wagner
Attorney-in-Fact
Date: 04/08/2008

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This exercise/sale was effected pursuant to a pre-existing Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Not applicable.
- (3) Does not include an additional 31,745 options with different expiration dates and exercise prices.

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