

BINDER SCOTT S
Form 3
June 03, 2009

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

^ BINDER SCOTT S

(Last) (First) (Middle)

1919 PENNSYLVANIA AVENUE, NW

(Street)

WASHINGTON, ^ DC ^ 20006

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/26/2009

3. Issuer Name and Ticker or Trading Symbol
ALLIED CAPITAL CORP [ALD]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner

Officer Other
(give title below) (specify below)

Managing Director

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person

Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

252,535

D

^

Common Stock

15,273

I

by IRA

Common Stock

20,000

I

by Charitable Remainder Trust

Common Stock

3,706

I

by 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: BINDER SCOTT S - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Incentive Stock Option (right to buy)	Â (1)	03/11/2014	Common Stock	6,900	\$ 28.98	D	Â
Non-Qualified Stock Option (right to buy)	Â (1)	03/11/2014	Common Stock	43,100	\$ 28.98	D	Â
Incentive Stock Option (right to buy)	Â (2)	08/03/2015	Common Stock	3,635	\$ 27.51	D	Â
Non-Qualified Stock Option (right to buy)	Â (2)	08/03/2015	Common Stock	46,365	\$ 27.51	D	Â
Incentive Stock Option (right to buy)	Â (3)	05/15/2014	Common Stock	3,380	\$ 29.58	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	05/15/2014	Common Stock	136,120	\$ 29.58	D	Â
Incentive Stock Option (right to buy)	Â (4)	02/01/2015	Common Stock	8,710	\$ 22.96	D	Â
Non-Qualified Stock Option (right to buy)	Â (4)	02/01/2015	Common Stock	241,290	\$ 22.96	D	Â
Incentive Stock Option (right to buy)	Â (5)	03/03/2016	Common Stock	50	\$ 0.73	D	Â
Non-Qualified Stock Option (right to buy)	Â (5)	03/03/2016	Common Stock	799,950	\$ 0.73	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BINDER SCOTT S 1919 PENNSYLVANIA AVENUE, NW WASHINGTON, Â DCÂ 20006	Â	Â	Â Managing Director	Â

Signatures

s/s Scott S.
Binder 06/02/2009

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The March 11, 2004 option grant vested evenly over a four-year period commencing on June 30, 2004.
- (2) The August 3, 2005 option grant vested in three equal installments on 6/30/06, 6/30/07 and 6/30/08
- (3) The May 15, 2007 option grant vests in three equal installments on 06/30/07, 06/30/08 and 06/30/09.
- (4) The February 1, 2008 option grant vests in three equal installments on 06/30/09, 06/30/10 and 06/30/11.
- (5) The March 3, 2009 option grant vests in three equal installments on 06/30/09, 06/30/10 and 06/30/11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.