#### STONE WILLIAM C Form 3

March 30, 2010

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

À STONE WILLIAM C

HOLDINGS, INC., Â 80 LAMBERTON ROAD

(Last) (First) (Middle)

(Street)

C/O SS&C TECHNOLOGIES

Statement

(Month/Day/Year)

03/30/2010

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol SS&C Technologies Holdings Inc [SSNC]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

\_X\_ Director \_X\_\_ Officer

\_X\_ 10% Owner Other

(give title below) (specify below) Chairman of the Board & CEO

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

WINDSOR. CTÂ 06095

(City) (State) (Zip)

Class A Non-Voting Common Stock

#### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect

Â

(Instr. 5)

(I)

Common Stock

16,668,313

D

Â

637,500

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

Ownership Ownership Form of

6. Nature of Indirect Beneficial

1

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	Date Exercisable	Expiration Date	(Instr. 4)		Price of	Derivative	(Instr. 5)
			Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	(1)	05/31/2011	Common Stock	637,500	\$ 0.78	D	Â
Stock Option (right to buy)	(1)	04/08/2013	Common Stock	1,275,000	\$ 1.89	D	Â
Stock Option (right to buy)	(2)	08/09/2016	Common Stock	603,439	\$ 8.77	D	Â
Stock Option (right to buy)	(3)	08/09/2016	Common Stock	603,439	\$ 8.77	D	Â
Stock Option (right to buy)	(4)	08/09/2016	Common Stock	301,719	\$ 8.77	D	Â
Stock Option (right to buy)	(5)	02/04/2020	Common Stock	127,500	\$ 14.53	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
STONE WILLIAM C C/O SS&C TECHNOLOGIES HOLDINGS, INC. 80 LAMBERTON ROAD WINDSOR, CT 06095	ÂX	ÂX	Chairman of the Board & CEO	Â		

# **Signatures**

/s/ Stephen V.R. Whitman, attorney-in-fact for William C. Stone 03/30/2010

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested as to 25% of the shares on the first anniversary of the date of grant and 1/36 of the remaining number of shares monthly thereafter until November 23, 2005 when the option became fully vested as to 100% of the shares to the extent it was not fully vested.
- (2) The option is a "time-based" option that vested as to 25% of the shares on November 23, 2006 and as to 1/36 of the shares each month thereafter until fully vested on November 23, 2009.
  - The option is a "performance-based" option that vests based on the determination by the Issuer's board of directors or compensation committee as to the satisfaction of certain performance criteria for each fiscal year 2006 through 2010. In February 2009, the board of
- (3) directors approved the immediate vesting of the 2006, 2007 and 2008 performance-based options that did not otherwise vest during 2006, 2007 and 2008. In addition, the performance criteria for 2009 were met, resulting in the vesting of 100% of the 2009 performance options.
- (4) The option is a "superior" option, which will become a performance-based option upon the closing of the initial public offering of the Issuer that vests based on the determination by the Issuer's board of directors or compensation committee as to the satisfaction of certain

Reporting Owners 2

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performance criteria for fiscal years 2010 and 2011.

(5) The option vests as to 25% of the shares on February 4, 2011 and 1/36 of the remaining number of shares monthly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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