

ESL INVESTORS LLC  
Form 4  
January 03, 2012

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LAMPERT EDWARD S

(Last) (First) (Middle)

200 GREENWICH AVENUE

(Street)

GREENWICH, CT 06830

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
AUTONATION, INC. [AN]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/29/2011

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock, par value \$0.01 per share	12/30/2011		J <sup>(1)</sup>	V	5,560,368 <u>(1)</u>	D	\$ 0 <u>(1)</u>	36,630,644	I	See Footnotes <u>(2)</u> <u>(3)</u>
Common Stock, par value \$0.01 per share	12/29/2011		J <sup>(4)</sup>		190,285 <u>(4)</u>	D	\$ 0 <u>(4)</u>	12,692,116	I	See Footnotes <u>(3)</u> <u>(5)</u>
Common Stock, par	12/29/2011		J <sup>(6)</sup>		190,285 <u>(6)</u>	A	\$ 0 <u>(6)</u>	190,285	I	See Footnotes

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value									(3) (7)
\$0.01 per share									
Common Stock, par value \$0.01 per share	12/29/2011	J <sup>(8)</sup>	190,285 <sup>(8)</sup>	D	\$ 0 <sup>(8)</sup>	0		I	See Footnotes (3) (7)
Common Stock, par value \$0.01 per share						6,526		I	See Footnotes (3) (9)
Common Stock, par value \$0.01 per share						5,080,682		I	See Footnotes (3) (10)
Common Stock, par value \$0.01 per share	12/29/2011	J <sup>(11)</sup>	186,939 <sup>(11)</sup>	A	\$ 0 <sup>(11)</sup>	18,627,332		D <sup>(3) (12)</sup>	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V (A) (D)		



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Executive Officer and Director of Investments.

- (4) ESL Investors, L.L.C. ("Investors") distributed these Shares on a pro rata basis to the managing member of Investors in connection with the restructuring of Investors and the termination of the managing member's pecuniary interest in the Shares held by Investors.
- (5) These Shares are held in an account established by the investment member of Investors.
- (6) RBS Partners, L.P. ("RBS"), the managing member of Investors, acquired these Shares in a pro rata distribution from Investors in connection with the restructuring of Investors and the termination of its pecuniary interest in the Shares held by Investors.
- (7) These Shares are held by RBS.
- (8) RBS distributed these Shares, which were received in connection with the termination of its pecuniary interest in the Shares held by Investors, on a pro rata basis to its partners.
- (9) These Shares are held by ESL Institutional Partners, L.P. ("Institutional").
- (10) These Shares are held by CBL Partners, L.P. ("CBL").
- (11) These Shares were received in a pro rata distribution from RBS to its partners.
- (12) These Shares are held by Edward S. Lampert, and include 18,133 Shares that were originally reported as owned indirectly through a grantor retained annuity trust, which distributed the 18,133 Shares on January 3, 2012 to Mr. Lampert.

### Remarks:

Pursuant to Rule 16a-1(a)(2)(ii)(B) under the Securities Exchange Act of 1934, as amended (the "Act"), each of the Reporting

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