Edgar Filing: WINN STEPHEN T - Form 4

WINN STE Form 4	PHEN	Т										
January 07, 1	2013											
					CURITIES AND EXCHANGE COMMISSION						PPROVAL	
Check th	nis box	Washington, D.C. 20549								Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Expires: January 3 20 Estimated average burden hours per response 0		
See Instr 1(b).	ruction		50(II)		vestment	Compan	iy At	1 01 194				
(Print or Type	Respons	ses)										
WINN STEPHEN T S ₂			Symbol	Name and		Tradi	ng	5. Relationship of Reporting Person(s) to Issuer				
				3. Date of Earliest Transaction					(Check all applicable)			
				(Month/D 01/03/20	-				_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) below) Chairman and CEO			
CARROLL	,	treet)	77		ndment, Da hth/Day/Year	-	1		6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by M		rson	
CARROLL									Person			
(City)	· ·	tate)	(Zip)					-	uired, Disposed of		•	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			Code	Insaction(A) or Disposed of (D) S de (Instr. 3, 4 and 5) E str. 8) G (A) F (A) T			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial		
					Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	01/0	3/2013			S	1,500 (1)	D	\$ 21.63 (2)	4,924,592	D		
Common Stock	01/0	4/2013			S	2,102 (1)	D	\$ 21.81 (3)	4,922,490	D		
Common Stock	01/0	3/2013			S	1,500 (1)	D	\$ 21.63 (2)	20,321,997	I	By Seren Capital Ltd. <u>(4)</u>	
Common Stock	01/0	4/2013			S	2,102 (1)	D	\$ 21.81	20,319,895	Ι	By Seren Capital	

				(3)			Ltd. (4)
Common Stock	01/03/2013	S	250 <u>(1)</u> D	\$ 21.63 (2)	54,921	Ι	By Seren Catalyst, L.P. <u>(4)</u>
Common Stock	01/04/2013	S	352 <u>(1)</u> D	\$ 21.81 (<u>3)</u>	54,569	Ι	By Seren Catalyst, L.P. <u>(4)</u>
Common Stock	01/03/2013	S	750 <u>(1)</u> D	\$ 21.63 (2)	992,261	I	By Melinda G. Winn 2010 QTIP Trust (5)
Common Stock	01/04/2013	S	1,053 (1) D	\$ 21.81 (<u>3</u>)	991,208	Ι	By Melinda G. Winn 2010 QTIP Trust (5)
Common Stock	01/03/2013	S	1,000 (1) D	\$ 21.63 (2)	385,306	Ι	By Stephen T. Winn 1996 Family LPA <u>(6)</u>
Common Stock	01/04/2013	S	1,402 (1) D	\$ 21.81 (<u>3</u>)	383,904	I	By Stephen T. Winn 1996 Family LPA <u>(6)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	3	(Instr. 3 and 4)		Owne
	Security				Acquired				Follo
					(A) or				Repo

Disposed

(Instr. 3,

of (D)

Trans (Instr

4, and 5) Code V (A) (D) Date Expiration Title Amount Exercisable Date or Number of Shares

Reporting Owners

Reporting Owner Name / Address		Relationships						
For	Director	10% Owner	Officer	Other				
WINN STEPHEN T 4000 INTERNATIONAL PARKWAY CARROLLTON, TX 75007		Х	Х	Chairman and CEO				
Signatures								
/s/ Stephen T. Winn	01/07/2013							
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 14, 2012.
- The price reported is a weighted average sale price. The sale prices ranged from \$21.39 to \$21.83. Reporting Person provided Issuer full (2)information regarding the number of shares sold at each separate price. Issuer will provide, upon receipt of a request, a copy of this information to the SEC staff or a security holder of the issuer.

The price reported is a weighted average sale price. The sale prices ranged from \$21.39 to \$22.01. Reporting Person provided Issuer full (3) information regarding the number of shares sold at each separate price. Issuer will provide, upon receipt of a request, a copy of this information to the SEC staff or a security holder of the issuer.

The reporting person is the sole manager and president of Seren Capital Management, L.L.C., which is the general partner of the partnership that directly owns the reported securities. The reporting person disclaims beneficial ownership of the securities reported (4) except to the extent of his pecuniary interest, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of all the reported securities for purposes of Section 16 or for any other purpose.

These securities are held in trust for the benefit of the reporting person's spouse. The reporting person is a trustee of the trust. The reporting person disclaims beneficial ownership of the reported securities and the inclusion of these securities in this report shall not be (5) deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

The reporting person is the manager of Stephen T. Winn Management, LLC, which is the general partner of the partnership that directly owns the reported securities. The reporting person disclaims beneficial ownership of the securities reported except to the extent of his

(6) pecuniary interest, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of all the reported securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.