

Eaton Corp plc

Form 3

November 05, 2013

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

SEMELSBERGER KEN D

(Last) (First) (Middle)

1000 EATON BOULEVARD

(Street)

CLEVELAND, OH 44122

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

11/01/2013

3. Issuer Name and Ticker or Trading Symbol
Eaton Corp plc [ETN]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)

See Remarks below.

5. If Amendment, Date Original
Filed(Month/Day/Year)6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Ordinary Shares

69,689 ⁽¹⁾

D

SEMELSBERGER

Ordinary Shares

4,099.1484 ⁽²⁾

I

by trustee of ESP

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â (3)	Â (3)	Ordinary Shares	1,668	\$ 0 (4)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Ordinary Shares	2,255	\$ 0 (4)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Ordinary Shares	3,196	\$ 0 (4)	D	Â
Restricted Stock Units	Â (3)	Â (3)	Ordinary Shares	4,025	\$ 0 (4)	D	Â
Stock Option	02/27/2008(5)	02/27/2017	Ordinary Shares	22,400	\$ 40.405	D	Â
Stock Option	02/26/2009(5)	02/26/2018	Ordinary Shares	18,000	\$ 41.565	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SEMELSBERGER KEN D 1000 EATON BOULEVARD CLEVELAND,Â OHÂ 44122	Â	Â	Â See Remarks below.	Â

Signatures

/s/ Elizabeth K. Riotte, as
Attorney-in-Fact

11/05/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Certain of these ordinary shares represent restricted shares issued under an Eaton Corporation plc stock plan and are subject to risk of forfeiture.
- (2) These ordinary shares are held in the Eaton Savings Plan.
- (3) These restricted stock units vest as follows: 33% of the total shares granted vest on the first and second anniversary of the date of the grant and the remaining 34% of the total shares granted vest on the third anniversary of the date of the grant.
- (4) Each restricted stock unit represents a contingent right to receive one common share of the issuer.
- (5) Thirty-three percent (33%) of these options become exercisable on the first and second anniversaries of the date granted and thirty-four percent (34%) on the third anniversary of the date granted.

Â

Remarks:

SeniorÂ ViceÂ PresidentÂ andÂ ControllerÂ ofÂ EatonÂ Corporation,Â aÂ subsidiaryÂ ofÂ theÂ Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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