DIODES INC /DEL/

Form 4 May 29, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

January 31, Expires:

**OMB APPROVAL** 

2005

0.5

of

response...

Estimated average burden hours per

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Stock Diodes Incorporated

Common Stock

05/28/2014

(Print or Type Responses)

1. Name and Address of Reporting Person * White Richard Dallas			2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer				
			DIODES INC /DEL/ [DIOD]					(Chaok all applicable)				
(Last)	(First) (Mi	ddle) 3	B. Date of E	te of Earliest Transaction				(Check all applicable)				
4949 HEDGCOXE ROAD, SUITE 200			(Month/Day/Year) 05/27/2014					Director  X_ Officer (give tillow)	ttle Other	Owner r (specify		
	,	4.16.4					CFO, Secretary & Treasurer					
	(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
PLANO, TX 7							Form filed by More than One Reporting Person					
(City)	(State) (Z	Zip)	Table I	- Non-Der	ivative Sec	curitie	s Acquir	ed, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	any		med on Date, if Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of 6. Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)			
Diodes Incorporated Common Stock (1)	05/27/2014			A	11,000 (2)	A	\$ 0	67,411	D			
Diodes Incorporated Common	05/28/2014			S(3)	10	D	\$ 27.78	67,401	D			

 $S^{(3)}$ 

1,842

65,559

D

#### Edgar Filing: DIODES INC /DEL/ - Form 4

Diodes

Incorporated Common 05/28/2014  $S_{\underline{(3)}} = 6$  D = 5/28/2014 D = 5/27.85 D = 5/27.85

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of
05/27/14 DIOD NQSO	\$ 27.92	05/27/2014		A	25,000	05/26/2015(4)	05/27/2022	Diodes Incorporated Common Stock - Diodes	25

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

White Richard Dallas 4949 HEDGCOXE ROAD SUITE 200 PLANO, TX 75024

CFO, Secretary & Treasurer

**Signatures** 

Richard D. 05/29/2014 White

\*\*Signature of Date
Reporting Person

Reporting Owners 2

Edgar Filing: DIODES INC /DEL/ - Form 4

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units vest in four equal installments beginning 05/26/2015.
- (2) Granted under Rule 16b-3 Plan.
- (3) Vested restricted stock unit shares automatically sold to cover income tax for the 05/24/2010, 05/26/2011, and 05/21/2012 awards.
- (4) Non-qualified stock options exercisable in four equal annual installments beginning 05/26/2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.