Identiv, Inc. Form 4 September 10, 2014

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

3235-0287 Number: January 31, Expires:

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** Hart Jason			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			Identiv, Inc. [INVE]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
39300 CIVIC CENTER DRIVE, STE 140			(Month/Day/Year) 09/08/2014	_X_ Director 10% OwnerX_ Officer (give title Other (specify below) CEO		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
FREMONT, CA 94538			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Ac	ravired Disposed of or Reneficially Owner		

(City)	(State) (	Table Table	e I - Non-D	erivative Se	curitie	es Acqu	iired, Disposed of	, or Beneficiall	y Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securitie	s Acqu	iired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	n(A) or Disp	osed o	f (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 a	and 5)		Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(4)		Reported		
					(A)		Transaction(s)		
			Code V	A	or (D)	Price	(Instr. 3 and 4)		
C			Code V	Amount	(D)	Price			
Common	09/08/2014		A	150,000	Α	\$0	210,500 (2)	D	
Stock	07/00/2014			(1)	4.1	ΨΟ	210,500	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Identiv, Inc. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) Disposed of (Instr. 3, 4, at 5)	<b>D</b> )		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration e Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 8.8	09/08/2014		D	300,0	00 (3)	<u>(3)</u>	Common Stock	300,000
Restricted Stock Unit	<u>(4)</u>	09/08/2014		D	50,00	0 (3)	(3)	Common Stock	50,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Hart Jason 39300 CIVIC CENTER DRIVE, STE 140 FREMONT, CA 94538	X		CEO			

#### **Signatures**

/s/ Jason Hart 09/10/2014

\*\*Signature of Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted Stock Units granted pursuant to Issuer's 2011 Incentive Compensation Plan. Restricted Stock Units will vest in equal quarterly installments (1/12) over a three year period from the grant date. Represents Restricted Stock Unit with a contingent right to receive one share of Issuer's common stock. Vested shares will be delivered to the reporting person on the vesting date.
- (2) The number of common shares reported includes 150,000 Restricted Stock Units awarded in this grant.
- On September 8, 2014, the reporting person and the Issuer agreed to rescind the awards granted to the reporting person on March 13, 2014. The number of securities rescinded reflect the Issuer's one-for-ten reverse stock split effected on May 27, 2014.
- (4) Each Restricted Stock Unit represents a contingent right to receive one share of Issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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