

GENWORTH FINANCIAL INC
Form 3
February 03, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Bobitz Ward E.</p> <p>(Last) (First) (Middle)</p> <p>C/O GENWORTH FINANCIAL, INC., Â 6620 WEST BROAD STREET</p> <p>(Street)</p> <p>RICHMOND, Â VA Â 23230</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>01/26/2015</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>GENWORTH FINANCIAL INC [GNW]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) EVP and General Counsel</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Class A Common Stock	8,771	D	Â
Class A Common Stock	163.63	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Restricted Stock Units (RSUs)	Â (1)	Â (1)	Class A Common Stock	5,662	\$ (2)	D	Â
Restricted Stock Units (RSUs)	Â (3)	Â (3)	Class A Common Stock	5,400	\$ (2)	D	Â
Restricted Stock Units (RSUs)	Â (4)	Â (4)	Class A Common Stock	733	\$ (2)	D	Â
Restricted Stock Units (RSUs)	Â (5)	Â (5)	Class A Common Stock	1,700	\$ (2)	D	Â
Stock Settled SARs	Â (6)	02/15/2023	Class A Common Stock	17,000	\$ 9.06	D	Â
Stock Settled SARs	Â (7)	02/20/2024	Class A Common Stock	21,000	\$ 15.23	D	Â
Stock Settled SARs	Â (8)	02/09/2021	Class A Common Stock	13,200	\$ 12.75	D	Â
Stock Settled SARs	Â (9)	02/14/2022	Class A Common Stock	15,300	\$ 8.88	D	Â
Stock Options (right to buy)	02/12/2012	02/12/2019	Class A Common Stock	23,500	\$ 2.46	D	Â
Stock Options (right to buy)	08/19/2012	07/20/2015	Class A Common Stock	2,400	\$ 7.8	D	Â
Stock Options (right to buy)	08/19/2012	08/09/2016	Class A Common Stock	2,100	\$ 7.8	D	Â
Stock Options (right to buy)	08/19/2012	07/31/2017	Class A Common Stock	3,200	\$ 7.8	D	Â
Stock Options (right to buy)	08/19/2013	02/13/2018	Class A Common Stock	4,200	\$ 7.8	D	Â

