

Ascent Solar Technologies, Inc.  
 Form 3  
 September 14, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |  |   |  |
|---|---------|--|---|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement             | 3. Issuer Name and Ticker or Trading Symbol |  |
| Â Global Ichiban Ltd                      |         | (Month/Day/Year)                                 | Ascent Solar Technologies, Inc. [ASTI]      |  |
| (Last)                                    | (First) | (Middle)   | 09/04/2015                                  |  |
| 20 CROSS STREET #02-18                    |         | 4. Relationship of Reporting Person(s) to Issuer |   | 5. If Amendment, Date Original Filed(Month/Day/Year)       |
| (Street)                                  |         | (Check all applicable)                           |   | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| CHINA SQUARE                              |         | ___ Director                                     |   | ___X___ 10% Owner  |
| CENTRAL,Â U0Â 048422                      |         | ___ Officer                                      |   | ___ Other  |
| (City)                                    | (State) | (Zip)  | (give title below) (specify below)          |  |
|   |         |  |   | ___ Form filed by One Reporting Person                     |
|   |         |  |   | ___ Form filed by More than One Reporting Person           |

**Table I - Non-Derivative Securities Beneficially Owned**

|                                 |   |  |   |
|---------------------------------|---|--|---|
| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

|  |  |   |  |   |   |
|--|--|---|--|---|---|
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|  | Date Exercisable   | Expiration Date   | Title  | Amount or Number of Shares  |   |

|  |                           |                           |                 |           |         |   |   |
|--|---------------------------|---------------------------|-----------------|-----------|---------|---|---|
| Secured Subordinated<br>Convertible Note | 09/04/2015 <sup>(1)</sup> | 09/04/2016 <sup>(1)</sup> | Common<br>Stock | 9,000,000 | \$ 0.12 | D | Â |
|--|---------------------------|---------------------------|-----------------|-----------|---------|---|---|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Global Ichiban Ltd<br>20 CROSS STREET #02-18<br>CHINA SQUARE CENTRAL, U0 048422 | Â             | Â X       | Â       | Â     |

## Signatures

/s/ James H. Carroll, Attorney  
in Fact

09/14/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Issuer issued a \$1,000,000 Secured Subordinated Convertible Note (the "Note") to the Reporting Person. The maximum principal and (1) 8% interest due on the Note at maturity would be \$1,080,000. The Note is convertible at any time, in whole or in part, at the option of the Reporting Person into shares of Common Stock of Issuer at a fixed conversion price of \$0.12 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.