Edgar Filing: BANK OF THE OZARKS INC - Form 3

BANK OF THE OZARKS INC Form 3 January 14, 2016 **FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION** Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Carter John Crawford			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol BANK OF THE OZARKS INC [OZRK]			
(Last)	(First)	(Middle)	01/13/2016	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)	
17901 CHE	(Street)	KWAY		(Check all applicable)			6. Individual or Joint/Group
LITTLE RO	Director 10% Owner _X_ Officer Other (give title below) (specify below) Director Community Bank Lend			: ow)	Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned				neficially Owned
1.Title of Secu (Instr. 4)	ırity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr	•
Common Stock			7,891		D	Â	
Common Stock			6,440		Ι		es held in 401(k) at 3/2016

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)

OMB APPROVAL						
OMB Number:	3235-0104					
Expires:	January 31, 2005					
Estimated average						

0.5

burden hours per ct of 1934, response... 35 or Section

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	11/18/2018	11/18/2022	Common Stock	5,000	\$ 53.005	D	Â
Employee Stock Option (Right to Buy)	11/17/2017	11/17/2021	Common Stock	3,500	\$ 36.045	D	Â
Employee Stock Option (Right to Buy)	11/04/2016	11/04/2020	Common Stock	2,200	\$ 24.7925	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Carter John Crawford 17901 CHENAL PARKWAY LITTLE ROCK, AR 72223	Â	Â	Director Community Bank Lend	Â			
Signatures							
/s/ John Crawford 01/	14/2016						

Carter	01/14/2016		
**Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.