

FISERV INC

Form 4

February 23, 2016

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hirsch Thomas J

(Last) (First) (Middle)

255 FISERV DRIVE

(Street)

BROOKFIELD, WI 53045

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
FISERV INC [FISV]

3. Date of Earliest Transaction
(Month/Day/Year)
02/19/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
X Officer (give title below) ____ Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/19/2016		A	(A) or (D) Amount 7,114 (1)	\$ 0	69,204	D
Common Stock	02/19/2016		F	(2) 1,355	\$ 96.65	67,849	D
Common Stock	02/20/2016		F	(2) 1,880	\$ 96.65	65,969	D
Common Stock	02/22/2016		F	(2) 3,041	\$ 97.92	62,928	D
Common Stock	02/22/2016		M	74,068	\$ 30.86	136,996	D

Edgar Filing: FISERV INC - Form 4

Common Stock	02/22/2016	F	48,510 (3)	D	\$ 97.86	88,486	D
Common Stock	02/22/2016	M	55,696	A	\$ 32.64	144,182	D
Common Stock	02/22/2016	F	36,980 (3)	D	\$ 97.95	107,202	D
Common Stock	02/22/2016	S	44,274 (4)	D	\$ 97.77 (5)	62,928	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Employee Stock Option (right to buy)	\$ 96.65	02/19/2016		A	21,944	02/19/2017 ⁽⁶⁾ 02/19/2026	Common Stock
Employee Stock Option (right to buy)	\$ 30.86	02/22/2016		M	74,068	02/23/2012 ⁽⁷⁾ 02/23/2021	Common Stock
Employee Stock Option (right to buy)	\$ 32.64	02/22/2016		M	55,696	02/22/2013 ⁽⁸⁾ 02/22/2022	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hirsch Thomas J 255 FISERV DRIVE BROOKFIELD, WI 53045			Chief Financial Officer	

Signatures

/s/ Lynn S. McCreary
(attorney-in-fact)

02/23/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) One-third of these restricted stock units vest on the second, third and fourth anniversaries of the grant date.
- (2) Reflects payment of tax liability by withholding securities incident to vesting of restricted stock units.
- (3) Reflects payment of exercise price and tax liability by withholding securities incident to exercise of stock options.
- (4) For estate and financial planning purposes in anticipation of previously announced retirement.

This transaction was executed in multiple trades at prices ranging from \$97.53 to \$97.99. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

- (6) One-third of these options vest on each anniversary of the grant date.
- (7) This option vested in three equal installments on each anniversary of the grant date, February 23, 2011.
- (8) This option vested in three equal installments on each anniversary of the grant date, February 22, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.