Alliance HealthCare Services, Inc Form 4 March 25, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

**ROSOW CHRISTIANNA S** Symbol

> (First) (Middle)

C/O ALLIANCE HEALTHCARE SERVICES, INC., SUITE 400

(Street)

NEWPORT BEACH, CA 92660

(State)

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

Alliance HealthCare Services, Inc [AIQ]

3. Date of Earliest Transaction

(Month/Day/Year) 03/23/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

(Check all applicable)

Principal Accounting Officer

below)

Applicable Line)

below)

Director

X\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership Form: Direct (I) (Instr. 4)

7. Nature of Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

10% Owner Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of

Conversion

Derivative

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

Transactionof

5. Number 6. Date Exercisable and **Expiration Date** 

7. Title and Amount of 8. I Underlying Securities Der

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, and 5)	S	Year)	(Instr. 3 and	4)
				Code V	(A) (I	D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 6.93	03/23/2016		A	886	<u>(1)</u>	03/23/2026	Common Stock	886
Restricted Stock Units	<u>(2)</u>	03/23/2016		A	534	<u>(2)</u>	(2)	Common Stock	534
Employee Stock Option (Right to Buy)	\$ 7.02	03/25/2016		A	130	<u>(3)</u>	03/25/2026	Common Stock	130
Restricted Stock Units	<u>(4)</u>	03/25/2016		A	79	<u>(4)</u>	<u>(4)</u>	Common Stock	79

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

**ROSOW CHRISTIANNA S** 

C/O ALLIANCE HEALTHCARE SERVICES, INC.

SUITE 400

NEWPORT BEACH, CA 92660

Principal Accounting Officer

(Ins

### **Signatures**

/s/ Christina Buresh, Attorney-in-Fact for Christianna S. Rosow 03/25/2016

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Reporting Owners 2

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Vests in three substantially equal installments on each of March 23, 2017, 2018 and 2019, subject to continued service through each applicable vesting date.

- Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock. The restricted stock units vest in (2) three substantially equal installments on each of March 23, 2017, 2018 and 2019, subject to continued service through each applicable vesting date.
- (3) Vests in three substantially equal installments on each of March 25, 2017, 2018 and 2019, subject to continued service through each applicable vesting date.
- Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock. The restricted stock units vest in three substantially equal installments on each of March 25, 2017, 2018 and 2019, subject to continued service through each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.