Gevo, Inc. Form 4 July 19, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Last)

(City)

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ryan Christopher Michael

Symbol

(Middle)

(Zip)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

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January 31,

2005

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Number:

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response...

Gevo, Inc. [GEVO]

(Check all applicable)

GEVO, INC., 345 INVERNESS

(First)

DRIVE SOUTH, BUILDING C, **SUITE 310** 

3. Date of Earliest Transaction

(Month/Day/Year) 07/18/2016

Director 10% Owner Other (specify X\_ Officer (give title

below)

President and COO

(Street)

(State)

07/18/2016

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

 $S^{(1)}$ 

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

37,655

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

ENGLEWOOD, CO 80112

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties Acquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or D	isposed of	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)		Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and 5)	Owned	Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
						Reported		
					(A)	Transaction(s)		
			G 1 17		or	(Instr. 3 and 4)		
			Code V	Amount	(D) Price			
Common	07/10/0016		$\alpha(1)$	70	<b>S</b>	27.655	Ъ	

70

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Gevo, Inc. - Form 4

	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transact Code (Instr. 8)	orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>:</b>		Amou Under Securi (Instr.	lying	Derivative Security (Instr. 5)	Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Ryan Christopher Michael						
GEVO, INC., 345 INVERNESS DRIVE SOUTH			President			
BUILDING C, SUITE 310			and COO			
ENGLEWOOD CO 80112						

## **Signatures**

/s/ Geoff Williams, as Attorney o7/19/2016 in Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were sold to satisfy certain tax obligations of the reporting person triggered by the vesting of shares of restricted common stock. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted June 12, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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