MINDBODY, Inc. Form 3 July 29, 2016

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

(Print or Type Responses)

1. Name and Address of Reporting Person \* Mittal Kunal

(Last) (First)

(Street)

(State)

4051 BROAD ST, SUITE 220

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

07/25/2016

4. Relationship of Reporting Person(s) to Issuer

MINDBODY, Inc. [MB]

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below) Chief Technology Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

#### SAN LUIS OBISPO, CAÂ 93401

Class A Common Stock

1. Title of Security (Instr. 4)

(City)

(Zip)

2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

D Â  $12,953 \frac{(1)}{2}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable Date

Expiration Title Amount or Number of

Direct (D) Security or Indirect

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				Shares		(I) (Instr. 5)	
Employee Stock Option (right to buy)	(2)	12/10/2025	Class A Common Stock	45,000	\$ 15.12	D	Â
Employee Stock Option (right to buy)	(3)	03/21/2026	Class A Common Stock	29,213	\$ 13.91	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Mittal Kunal 4051 BROAD ST, SUITE 220 SAN LUIS OBISPO, CA 93401	Â	Â	Chief Technology Officer	Â		

# **Signatures**

/s/ Kimberly G. Lytikainen, AttorneyinFact

07/29/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported securities represent an award of restricted stock units ("RSUs"). Each RSU represents a contingent right to receive one share of the Issuer's Class A Common Stock upon settlement. Subject to the Reporting Person's continuing to be a Service Provider (as defined in the 2015 Equity Incentive Plan) through each applicable vesting date, the RSUs subject to the award will vest as follows: one-fourth

- (1) In the 2013 Equity incentive Plan) through each applicable vesting date, the RSUs subject to the award will vest as follows: one-fourth (1/4th) of the RSUs will vest on May 22, 2017, and one-fourth (1/4th) of the RSUs will vest on each successive May 20 thereafter (or, if the 20th day of the month is not a market trading day, then the vesting date will be the first trading day following the 20th day of the month).
- One-fourth (1/4th) of the shares subject to the option will vest on November 30, 2016, and one forty-eighth (1/48th) of the total shares (2) subject to the option will vest monthly thereafter, subject to the Reporting Person continuing to be a Service Provider through each applicable vesting date.
- One-fourth (1/4th) of the shares subject to the option will vest on March 21, 2017, and one forty-eighth (1/48th) of the total shares subject (3) to the option will vest monthly thereafter, subject to the Reporting Person continuing to be a Service Provider through each applicable vesting date.

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#### **Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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