Edgar Filing: CYPRESS SEMICONDUCTOR CORP /DE/ - Form 4

CYPRESS SEMICONDUCTOR CORP /DE/

Form 4

October 12, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

Form 4 or Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person *

Thad Trent

(Last) (First) (Middle)

198 CHAMPION COURT

(Street)

(State)

(Zip)

SAN JOSE, CA 95134

(City)

2. Issuer Name and Ticker or Trading

CYPRESS SEMICONDUCTOR CORP /DE/ [CY]

3. Date of Earliest Transaction (Month/Day/Year)

10/10/2016

Symbol

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

OMB

Number:

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January 31,

2005

0.5

____ Director ____ 10% Owner ____ Selfow) Other (give title below) Other (specify below)

EVP, Finance & CFO

6. Individual or Joint/Group Filing(Check

Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	10/10/2016		M(1)(2)	15,450 (1) (2)	A	\$ 3.9877	181,606	D	
Common Stock	10/10/2016		S(1)(2)(3)	11,390 (1) (2) (3)	D	\$ 11.8318 (4)	170,216	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and Am Underlying Sec (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	A or N
Employee Stock Option (right to buy) (2)	\$ 3.9877	10/10/2016		M(1)(2)	15,450 (1) (2)	(1)(2)	10/27/2016(1)(2)	Common Stock	1

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Thad Trent

198 CHAMPION COURT EVP, Finance & CFO

SAN JOSE, CA 95134

Signatures

/s/ Pamela Tondreau, at attorney-in-fact 10/12/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise and sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- (2) This option was due to expire on October 27, 2016. The option was awarded to the Reporting Person on October 27, 2006 and was fully vested on October 1, 2011.
- (3) Shares of Issuer common stock were sold for payment of the exercise price and tax liability incident to the exercise and subsequent sale of shares acquired pursuant to the exercise of the option.
- Represents a weighted average sales price per share. These shares were purchased in multiple transactions at prices ranging from \$11.81 to \$11.85 per share. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of shares purchased at each separate price within the range.

Remarks:

This stock option was due to expire October 27, 2016.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.