Edgar Filing: Dr Pepper Snapple Group, Inc. - Form 4

Dr Pepper Snapple Group, Inc. Form 4 March 09, 2017

Common

Common

Stock

Stock

03/08/2017

03/08/2017

March 09, 2	017										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
	UNITED	STATES		RITIES A shington			ANGE CO	OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject to Section 1 Form 4 of Form 5 obligation may con See Instr	F CHANGES IN BENEFICIAL OWNI SECURITIES Section 16(a) of the Securities Exchange A Public Utility Holding Company Act of 1 of the Investment Company Act of 1940					Act of 1934, 1935 or Section	Expires: Estimated a burden hour response				
1(b).											
(Print or Type	Responses)										
Hobson Derry L. S			Symbol]	5. Relationship of Reporting Person(s) to Issuer			
	Dr Pepper Snapple Group, Inc. [DPS]					(Check all applicable)					
(Last)	(First) (ACY DRIVE	Middle)		f Earliest T Day/Year) 017	ransaction		·	Director X Officer (give t below)	title Other below)	Owner r (specify	
5501 LLOI									e Vice Preside		
	(Street)			endment, Da nth/Day/Yea	-	al		6. Individual or Joi Applicable Line)	nt/Group Filing	g(Check	
PLANO, TZ	X 75070		× ×	2	,			_X_ Form filed by Or Form filed by Mo Person			
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secu	rities Acqu	ired, Disposed of,	or Beneficiall	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	ed Date, if	3. Transactic Code (Instr. 8) Code V	4. Securit for Dispos (Instr. 3,	ties Adsed of 4 and (A) or	cquired (A) (D)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/07/2017			M	7,104	A A	\$ 51.68	9,924	D		
Common Stock	03/07/2017			М	1,564	А	\$ 79.2	11,488	D		
Common Stock	03/07/2017			S	8,833	D	\$ 94.6011	2,655	D		

4,943 A \$79.2

\$

94.2768

4,943 D

7,598

2,655

D

D

М

S

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	vative Expiration Date ies (Month/Day/Year) ed ed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 51.68	03/07/2017		М	7,104	<u>(3)</u>	03/03/2024	Common Stock	7,104
Employee Stock Option (Right to Buy)	\$ 79.2	03/07/2017		М	1,564	<u>(4)</u>	03/03/2025	Common Stock	1,564
Employee Stock Option (Right to Buy)	\$ 79.2	03/08/2017		М	4,943	(4)	03/03/2025	Common Stock	4,943

Reporting Owners

Reporting Owner Name / Address			Relationships			
	Director	10% Owner	Officer	Other		
Hobson Derry L. 5301 LEGACY DRIVE PLANO, TX 75070			Executive Vice President			

8 I S ()

Signatures

Wayne R. Lewis, attorney 03/09/2017 in fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$94.60-\$94.61.(1) The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.

The price represents the weighted average sale price of the securities disposed of. The range of prices for the transaction is \$94.25-\$94.33.(2) The reporting person shall provide upon request by the SEC, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price.

- (3) This option was granted pursuant to Issuer's Omnibus Stock Incentive Plan of 2009 and is fully vested.
- (4) This option was granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vests in three equal annual installments on each anniversary date of the grant commencing on March 3, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.