#### Edgar Filing: SANFILIPPO ANTHONY MICHAEL - Form 4

SANFILIPPO ANTHONY MICHAEL Form 4 January 10, 2018 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading SANFILIPPO ANTHONY Issuer Symbol MICHAEL Pinnacle Entertainment, Inc. [PNK] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_ Director 10% Owner X\_Officer (give title Other (specify C/O PINNACLE 01/04/2018 below) below) ENTERTAINMENT, INC., 3980 Chairman and CEO HOWARD HUGHES PARKWAY (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LAS VEGAS, NV 89169 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 4. Securities Acquired 5. Amount of 2. Transaction Date 2A. Deemed 3. 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Ownership Indirect (Instr. 3) any Code (D) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Ownership (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) (D) Price Code V Amount By Grantor Common Retained 44,411 01/04/2018 G D \$0 388,070 I Stock (1)Annuity Trust By Grantor Common Retained 14,803 01/04/2018 D \$0 373,267 Ι G (2)Stock Annuity Trust Common By 14,803 01/04/2018 G A \$0 19.803 I

(2)

Stock

Daughter

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Common Stock	01/05/2018	G	V	40,000 (3)	D	\$0	762,298	D	
Common Stock	01/05/2018	G	v	40,000 (3)	A	\$ 0	662,554	I	By Sanfilippo Family Trust
Common Stock							1,666	Ι	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onNumber	Expiration Da	ate	Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date	Expiration		Number		
					Exe	Exercisable Date		of			
				Code V	(A) (D)				Shares		
				Coue v	$(\mathbf{A})$ $(\mathbf{D})$				Shares		

### **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
SANFILIPPO ANTHONY MICHAEL C/O PINNACLE ENTERTAINMENT, INC. 3980 HOWARD HUGHES PARKWAY LAS VEGAS, NV 89169	Х		Chairman and CEO				
Signatures							
/s/ Elliot D. Hoops, Attorney-In-Fact for Anthe Sanfilippo	ony M.		01/10/2018				
<b>**</b> Signature of Reporting Person			Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On January 4, 2018, a Grantor Retained Annuity Trust for the benefit of the reporting person and his children distributed 44,411 shares of
   (1) common stock, pursuant to the terms of the Grantor Retained Annuity Trust. These shares were previously reported as indirectly beneficially owned by the reporting person.

On January 4, 2018, a Grantor Retained Annuity Trust for the benefit of the reporting person and his children distributed 14,803 shares of common stock to the reporting person's daughter, who shares the reporting person's household, pursuant to the terms of the Grantor Retained Annuity Trust. The reporting person no longer has a reportable beneficial interest in 3,000 shares and 2,500 shares of common stock owned by his other daughters, which were included in the reporting person's prior ownership reports.

(3) This transaction involved a gift of shares by the reporting person to the Sanfilippo Family Trust, a living trust, on January 5, 2018. These shares were previously reported as directly beneficially owned by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.