OptimizeRx Corp Form 4 April 04, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BAKER DOUGLAS P** 

(First) (Middle) (Last)

400 WATER STREET, SUITE 200

(Street)

ROCHESTER, MI 48307

(State) (Zip)

(City)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount Underlying Securities (Instr. 3 and 4)

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31,

0.5

2005 Estimated average burden hours per

10% Owner

Other (specify

response...

Expires:

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer Symbol OptimizeRx Corp [OPRX]

3. Date of Earliest Transaction

(Month/Day/Year) 03/31/2017

3.

Code

(Instr. 8)

Execution Date, if

(Month/Day/Year)

4. If Amendment, Date Original Filed(Month/Day/Year)

TransactionAcquired (A) or

4. Securities

Disposed of (D)

(Instr. 3, 4 and 5)

(A)

Applicable Line)

below)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

Director

X\_ Officer (give title

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial

(Check all applicable)

CHIEF FINANCIAL OFFICER

6. Individual or Joint/Group Filing(Check

Ownership Owned (T) Following (Instr. 4) (Instr. 4) Reported

Transaction(s) (Instr. 3 and 4)

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#### Edgar Filing: OptimizeRx Corp - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A Disposed of (Instr. 3, 4, 5)	f (D)				
				Code V	(A)	(D) Date Exe	ercisable	Expiration Date	Title	Amous Number Shares
Stock Option	\$ 0.82	03/31/2017	03/31/2017	A	200,000	01/01/2	2018(1)	03/31/2022	Common Stock	200,0
Stock Option	\$ 0.82	03/31/2017	03/31/2017	A	100,000	09/30/2	2017 <u>(2)</u>	03/31/2022	Common	100,0

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
•	Director	10% Owner	Officer	Other			
BAKER DOUGLAS P			CHIEF				
400 WATER STREET, SUITE 200			FINANCIAL				
ROCHESTER MI 48307			OFFICER				

# **Signatures**

/s/ Doug Baker 04/04/2017

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Stock Options were issued based on performance criteria and only vest upon target revenue achieved in 2017.
- (2) The Stock Options will vest as to 50% of the shares on September 30, 2017 and 50% of the shares on March 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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