### PIPER JAFFRAY COMPANIES Form 3 March 18, 2014 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 OMB APPROVAL Washington, D.C. 20549

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### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB Number:	3235-0104
Expires:	January 31, 2005
Estimated av burden hour response	

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> FITZGERALD WILLIAM R		Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol PIPER JAFFRAY COMPANIES [PJC]								
(Last)	(First)	(Middle)	03/17/2014	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)				
800 NICOLL	ET MALL	4					· · · ·				
	(Street)			(Check all applicable)			6. Individual or Joint/Group				
MINNEAPO	LIS, MN	55402		X_Director10% Owner OfficerOther (give title below) (specify below)			Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned								
1.Title of Securit (Instr. 4)	ty		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	1				
Common Stor	ck		0		D	Â					
Reminder: Report owned directly of	-	te line for ea	ch class of securities benefic	ially S	EC 1473 (7-02	)					
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.											
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Expiration Date	Title	Amount or Number of Security Di	Security: Direct (D) or Indirect		

Shares

(I) (Instr. 5)

# **Reporting Owners**

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other FITZGERALD WILLIAM R ÂX Â Â Â 800 NICOLLET MALL MINNEAPOLIS, MNÂ 55402 Signatures /s/ John W. Geelan for William R. 03/18/2014 Fitzgerald \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.