#### **EDDLEMAN ROY T**

Form 4/A May 10, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

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**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* **EDDLEMAN ROY T** 

(First)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(Middle)

REPLIGEN CORP [RGEN]

(Check all applicable)

C/O TROYGOULD PC, 1801

3. Date of Earliest Transaction

(Month/Day/Year) 05/08/2018

Director X\_\_ 10% Owner Officer (give title \_ Other (specify below)

CENTURY PARK E., 16TH **FLOOR** 

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year) 05/09/2018

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

LOS ANGELES, CA 90067

| (City)                               | (State)                              | (Zip) <b>Tabl</b>   | e I - Non-I                             | Derivative (                                | Securi | ties Acqu          | iired, Disposed of   | , or Beneficial  | ly Owned  |
|--------------------------------------|--------------------------------------|---|---|---|--------|--------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securit<br>on(A) or Dia<br>(Instr. 3, 4) | sposed | of (D)             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 05/08/2018                           |   | S                                       | 49,227                                      | D      | \$<br>40.77<br>(1) | 850,773  | I  | As trustee (2)  |
| Common<br>Stock                      | 05/08/2018                           |   | S                                       | 25,000                                      | D      | \$ 40.66 (3)       | 455,000  | I  | As trustee (4)  |
| Common<br>Stock                      | 05/09/2018                           |   | S                                       | 20,773                                      | D      | \$ 40.52 (5)       | 830,000  | I  | As trustee (2)  |
| Common                               | 05/09/2018                           |   | S                                       | 25,000                                      | D      | \$                 | 430,000  | I  | As trustee  |

#### Edgar Filing: EDDLEMAN ROY T - Form 4/A

(4) Stock 40.37 (6) Common  $D^{(7)}$ 357,518 Stock Common As trustee 3,044,826 I Stock Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transac<br>Code<br>(Instr. 8 | 5. stionNumber of Securitic Acquire (A) or Dispose of (D) (Instr. 3, 4, and 5 | (Month/Day/<br>ve<br>es<br>d | ate                | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 an | f<br>g | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|---|------------------------------------|---|------------------------------|--------------------|---|--------|---|
|   |   |   | Code                               | V (A) (D  | Date<br>Exercisable          | Expiration<br>Date | Ame or Title Num of Share   |        |   |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| coporting of the Francisco  | Director      | 10% Owner | Officer | Other |  |  |
| EDDLEMAN ROY T<br>C/O TROYGOULD PC<br>1801 CENTURY PARK E., 16TH FLOOR<br>LOS ANGELES, CA 90067 |               | X         |         |       |  |  |
| Signatures  |               |           |         |       |  |  |

/s/ Istvan Benko, Attorney-in-fact for Roy T. Eddleman

05/10/2018

\*\*Signature of Reporting Person

Date

2 Reporting Owners

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price is a weighted-average price. The shares were sold in multiple transactions at prices ranging from \$40.5515 to \$41.0078, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the SEC, upon request, full information regarding the number of shares sold at each price within the price ranges set forth in this footnote (1) and in footnotes (3).
- full information regarding the number of shares sold at each price within the price ranges set forth in this footnote (1) and in footnotes (3)

   (5) to this Form 4.
- The shares shown are held, of record, by a charitable remainder unitrust of which the reporting person is the sole trustee and, as such, has investment and voting control over such shares, and is a lifetime beneficiary. The reporting person disclaims as beneficial ownership of the shares except to the extent of his pecuniary interest therein.
- (3) The price is a weighted-average price. The shares were sold in multiple transactions at prices ranging from \$40.5607 to \$41.0107, inclusive.
- The shares shown are held, of record, by another charitable remainder unitrust of which the reporting person is the sole trustee and, as such, has investment and voting control over such shares, and is a lifetime beneficiary. The reporting person disclaims as beneficial ownership of the shares except to the extent of his pecuniary interest therein.
- (5) The price is a weighted-average price. The shares were sold in multiple transactions at prices ranging from \$40.2401 to \$40.70, inclusive.
- (6) The price is a weighted-average price. The shares were sold in multiple transactions at prices ranging from \$40.2102 to \$40.6022, inclusive.
- The shares shown are held for the benefit of the reporting person in a 15-month escrow account established in connection with the (7) acquisition of Spectrum, Inc. by the issuer on August 1, 2017 and are subject to potential indemnification claims of the issuer relating to the acquisition.
- (8) The shares shown are held of record by the Roy T. Eddleman Living Trust UAD 8-7-2000, of which the reporting person is the sole trustee, and as such, has investment and voting control over such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.