**EDDLEMAN ROY T** 

Form 4/A May 10, 2018

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **EDDLEMAN ROY T** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First)

(Street)

(Middle)

REPLIGEN CORP [RGEN]

(Check all applicable)

C/O TROYGOULD PC, 1801 CENTURY PARK E., 16TH

**FLOOR** 

3. Date of Earliest Transaction (Month/Day/Year)

05/08/2018

Director X\_\_ 10% Owner Officer (give title \_ Other (specify below)

4. If Amendment, Date Original

Filed(Month/Day/Year) 05/09/2018

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

LOS ANGELES, CA 90067

(City)	(State)	(Zip) Tabl	e I - Non-I	Derivative :	Securi	ties Acqu	iired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit or(A) or Dir (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/08/2018		S	49,227	D	\$ 40.77 (1)	850,773	I	As trustee (2)
Common Stock	05/08/2018		S	25,000	D	\$ 40.66 (3)	455,000	I	As trustee (4)
Common Stock	05/09/2018		S	20,773	D	\$ 40.52 (5)	830,000	I	As trustee (2)
Common	05/09/2018		S	25,000	D	\$	430,000	I	As trustee

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(4) Stock 40.37 (6) Common  $D^{(7)}$ 357,518 Stock Common As trustee 3,044,826 I Stock Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transac Code (Instr. 8	5. stionNumber of Securitic Acquire (A) or Dispose of (D) (Instr. 3, 4, and 5	(Month/Day/ ve es d	ate	7. Title and Amount of Underlying Securities (Instr. 3 an	f g	8. Price of Derivative Security (Instr. 5)
			Code	V (A) (D	Date Exercisable	Expiration Date	Ame or Title Num of Share		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
coporting of the Francisco	Director	10% Owner	Officer	Other		
EDDLEMAN ROY T C/O TROYGOULD PC 1801 CENTURY PARK E., 16TH FLOOR LOS ANGELES, CA 90067		X				
Signatures						

/s/ Istvan Benko, Attorney-in-fact for Roy T. Eddleman

05/10/2018

\*\*Signature of Reporting Person

Date

2 Reporting Owners

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price is a weighted-average price. The shares were sold in multiple transactions at prices ranging from \$40.5515 to \$41.0078, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the SEC, upon request, full information regarding the number of shares sold at each price within the price ranges set forth in this footnote (1) and in footnotes (3).
- full information regarding the number of shares sold at each price within the price ranges set forth in this footnote (1) and in footnotes (3)

   (5) to this Form 4.
- The shares shown are held, of record, by a charitable remainder unitrust of which the reporting person is the sole trustee and, as such, has investment and voting control over such shares, and is a lifetime beneficiary. The reporting person disclaims as beneficial ownership of the shares except to the extent of his pecuniary interest therein.
- (3) The price is a weighted-average price. The shares were sold in multiple transactions at prices ranging from \$40.5607 to \$41.0107, inclusive.
- The shares shown are held, of record, by another charitable remainder unitrust of which the reporting person is the sole trustee and, as such, has investment and voting control over such shares, and is a lifetime beneficiary. The reporting person disclaims as beneficial ownership of the shares except to the extent of his pecuniary interest therein.
- (5) The price is a weighted-average price. The shares were sold in multiple transactions at prices ranging from \$40.2401 to \$40.70, inclusive.
- (6) The price is a weighted-average price. The shares were sold in multiple transactions at prices ranging from \$40.2102 to \$40.6022, inclusive.
- The shares shown are held for the benefit of the reporting person in a 15-month escrow account established in connection with the (7) acquisition of Spectrum, Inc. by the issuer on August 1, 2017 and are subject to potential indemnification claims of the issuer relating to the acquisition.
- (8) The shares shown are held of record by the Roy T. Eddleman Living Trust UAD 8-7-2000, of which the reporting person is the sole trustee, and as such, has investment and voting control over such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.