#### Edgar Filing: WESTERN DIGITAL CORP - Form 3

#### WESTERN DIGITAL CORP

Form 3

November 05, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement WESTERN DIGITAL CORP [WDC] À DeMaria Jacqueline (Month/Day/Year) 11/03/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O WESTERN DIGITAL (Check all applicable) CORPORATION, Â 3355 MICHELSON DRIVE, SUITE 10% Owner Director 100 \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group EVP & Chief Human Res Officer Filing(Check Applicable Line) \_X\_ Form filed by One Reporting IRVINE, CAÂ 92612 Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Common Stock  $22,564 \frac{(1)}{}$ D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

3. Title and Amount of 6. Nature of 1. Title of Derivative 2. Date Exercisable and 5. Ownership Indirect Beneficial Security **Expiration Date** Securities Underlying Conversion (Month/Day/Year) (Instr. 4) Derivative Security or Exercise Form of Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Dividend Equivalent Rights	(2)	(2)	Common Stock	327.1658	\$ <u>(2)</u>	D	Â
Employee Stock Option (right to buy)	09/14/2012(3)	09/14/2018	Common Stock	2,793	\$ 29.6	D	Â
Employee Stock Option (right to buy)	05/16/2013(4)	05/16/2019	Common Stock	7,041	\$ 38.63	D	Â
Employee Stock Option (right to buy)	09/06/2013(5)	09/06/2019	Common Stock	11,576	\$ 43.11	D	Â
Employee Stock Option (right to buy)	08/14/2014(6)	08/14/2020	Common Stock	14,184	\$ 43.11	D	Â
Employee Stock Option (right to buy)	08/04/2016(7)	08/04/2022	Common Stock	15,958	\$ 84.39	D	Â
Employee Stock Option (right to buy)	09/11/2015(8)	09/11/2021	Common Stock	20,865	\$ 100.06	D	Â

## **Reporting Owners**

Reporting Owner Name / Address		Relationships				
<b></b>	Director	10% Owner	Officer	Other		
DeMaria Jacqueline C/O WESTERN DIGITAL CORPORATION 3355 MICHELSON DRIVE, SUITE 100 IRVINE, CA 92612	Â	Â	EVP & Chief Human Res Officer	Â		

### **Signatures**

By: /s/ Sandra Garcia Attorney-in-Fact For: Jacqueline
DeMaria

11/05/2015

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 15,409 unvested awards of restricted stock units. Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock.
- The dividend equivalent rights accrued on previously awarded restricted stock units (RSUs) which vest proportionately with the RSUs to (2) which they relate. Each dividend equivalent right represents a contingent right to receive one share of the Issuer's common stock or the cash value thereof.
- (3) The option vested 25% one year from the grant date of 9/14/2011, and an additional 6.25% vested at the end of each three-month period through 9/14/2015.

**(4)** 

Reporting Owners 2

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The option vested 25% one year from the grant date of 5/16/2012, and an additional 6.25% vested at the end of each three-month period through 8/16/2015. The remaining shares subject to the option will vest at 6.25% at the end of each three-month period until fully vested on 5/16/2016.

- The option vested 25% one year from the grant date of 9/6/2012, and an additional 6.25% vested at the end of each three-month period through 9/6/2015. The remaining shares subject to the option will vest at 6.25% at the end of each three-month period until fully vested on 9/6/2016.
- The option vested 25% one year from the grant date of 8/14/2013, and an additional 6.25% vested at the end of each three-month period (6) through 8/14/2015. The remaining shares subject to the option will vest at 6.25% at the end of each three-month period until fully vested on 8/14/2017.
- (7) The option vests 25% on the first anniversary of the grant date of 8/4/15 and 6.25% at the end of each three-month period thereafter.
- (8) The option vested 25% one year from the grant date of 9/11/14. The remaining shares subject to the option will vest at 6.25% at the end of each three-month period until fully vested on 9/11/18.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.