

FEDERAL SIGNAL CORP /DE/

Form 3

December 01, 2015

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â DuPre Daniel A.

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

11/18/2015

3. Issuer Name **and** Ticker or Trading Symbol
FEDERAL SIGNAL CORP /DE/ [FSS]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

VP, GC & Secretary

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person1415 WEST 22ND
STREET,Â SUITE 1100

(Street)

OAK BROOK,Â ILÂ 60523

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

25,224.4747 ⁽¹⁾

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title

4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Options (right-to-buy)	Â (2)	02/26/2017	Common Stock	3,400	\$ 16.1	D	Â
Stock Options (right-to-buy)	Â (2)	02/22/2018	Common Stock	9,800	\$ 10.59	D	Â
Stock Options (right-to-buy)	Â (2)	02/20/2019	Common Stock	10,500	\$ 6.68	D	Â
Stock Options (right-to-buy)	Â (2)	04/26/2020	Common Stock	6,800	\$ 10.04	D	Â
Stock Options (right-to-buy)	Â (2)	05/04/2021	Common Stock	10,267	\$ 6.52	D	Â
Stock Options (right-to-buy)	Â (2)	05/09/2022	Common Stock	8,227	\$ 5.5	D	Â
Stock Options (right-to-buy)	Â (3)	05/09/2023	Common Stock	5,427	\$ 8.4	D	Â
Stock Options (right-to-buy)	Â (4)	05/05/2024	Common Stock	3,477	\$ 14.48	D	Â
Stock Options (right-to-buy)	Â (5)	04/10/2025	Common Stock	4,356	\$ 16.09	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
DuPre Daniel A. 1415 WEST 22ND STREET SUITE 1100 OAK BROOK, IL 60523	Â	Â	Â VP, GC & Secretary	Â

Signatures

Jennifer L. Sherman, attorney-in-fact for Daniel A.
DuPre 12/01/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Some shares are subject to restrictions and forfeiture under Company Stock Benefit Plan.
- (2) Option is fully vested and exercisable as of the date hereof.
- (3) 3,618 options are vested and exercisable; the remaining 1,809 options will become exercisable on 5/9/2016.
- (4) 1,159 options are vested and exercisable; 1,159 options will become exercisable on 5/5/2016 and the remaining 1,159 options will become exercisable on 5/5/2017.

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- (5) 1,452 options will become exercisable on 4/10/2016; 1,452 options will become exercisable on 4/10/2017 and the remaining 1,452 options will become exercisable on 4/10/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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