

Edgar Filing: Duke Energy CORP - Form 8-K

Duke Energy CORP  
Form 8-K  
November 04, 2016  
UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 4, 2016

| Commission file number | Registrant, State of Incorporation or Organization, Address of Principal Executive Offices, and Telephone Number | IRS Employer Identification No. |
|------------------------|--|---------------------------------|
|------------------------|--|---------------------------------|

|         |   |            |
|---------|---|------------|
| 1-32853 | DUKE ENERGY CORPORATION<br>(a Delaware corporation)<br>550 South Tryon Street<br>Charlotte, North Carolina 28202-1803<br>704-382-3853 | 20-2777218 |
|---------|---|------------|

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
  - Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
  - Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
  - Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
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Item 2.02 Results of Operations and Financial Conditions.

On November 4, 2016, Duke Energy Corporation issued a news release announcing its financial results for the third quarter ended September 30, 2016. A copy of this news release is attached hereto as Exhibit 99.1. The information in Exhibit 99.1 is being furnished pursuant to this Item 2.02.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

99.1 News Release issued by Duke Energy Corporation on November 4, 2016

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SIGNATURE

Pursuant to the requirements of the Securities and Exchange Act of 1934, the registrant has duly caused this Report to be signed on its behalf by the undersigned hereunto duly authorized.

DUKE ENERGY CORPORATION  
/s/ WILLIAM E. CURRENS JR.  
William E. Currens Jr.  
Senior Vice President, Chief Accounting Officer and Controller

Dated: November 4, 2016

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EXHIBIT INDEX

Exhibit Description

99.1 News Release issued by Duke Energy Corporation on November 4, 2016