

NATIONAL HOLDINGS CORP  
Form 8-K  
December 27, 2017

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**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**WASHINGTON, D.C. 20549**

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**FORM 8-K**

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**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of the  
Securities Exchange Act of 1934**

Date of report (Date of earliest event reported): **December 22, 2017**

**National Holdings Corporation**

(Exact Name of Registrant as Specified in Charter)

**Delaware**

**001-12629**

**34-4128138**

(State or Other Jurisdiction (Commission File Number) (IRS Employer Identification No.))

of Incorporation)

**200 Vesey Street, 25<sup>th</sup> Floor**

**New York, New York 10281**

(Address of Principal Executive Offices)

**(212) 417-8000**

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act.

Soliciting material pursuant to Rule 14a-12 under the Exchange Act.

Pre-commencement communications pursuant to Rule 14d-2b under the Exchange Act.

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act.

Indicate by  
check mark  
whether the  
registrant is  
an emerging  
growth  
company as  
defined in  
Rule 405 of  
the  
Securities  
Act of 1933  
(§230.405 of  
this chapter)  
or Rule  
12b-2 of the  
Securities  
Exchange  
Act of 1934  
(§240.12b-2  
of this

chapter).

Emerging  
growth  
company

If an  
emerging  
growth  
company,  
indicate by  
check mark  
if the  
registrant  
has elected  
not to use  
the extended  
transition  
period for  
complying  
with any  
new or  
revised  
financial  
accounting  
standards  
provided  
pursuant to  
Section  
13(a) of the  
Exchange  
Act.

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**Item 2.02 Results of Operations and Financial Condition.**

On December 22, 2017, the Company issued a press release announcing its financial results for the fiscal year ended September 30, 2017. A copy of the press release is furnished as Exhibit 99.1 hereto.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits.

The following exhibit is furnished as part of this report:

| <b>Exhibit<br/>Number</b> | <b>Description</b>                                                                     |
|---------------------------|----------------------------------------------------------------------------------------|
| 99.1                      | <u>Press release issued by National Holdings Corporation, dated December 22, 2017.</u> |

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**NATIONAL HOLDINGS CORPORATION**

(Registrant)

Date: December 27, 2017

By: /s/ Michael Mullen  
Michael Mullen  
Chief Executive Officer