

Whaley Patricia M
Form 4
May 24, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Whaley Patricia M

(Last) (First) (Middle)

4701 W. GREENFIELD AVE

(Street)

MILWAUKEE, WI 53214

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Rexnord Corp [RXN]

3. Date of Earliest Transaction
(Month/Day/Year)
05/22/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title below) ____ Other (specify
below) below)

Vice Pres.-General Counsel

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	05/22/2018		M ⁽¹⁾		2,620	A	11
Common Stock	05/22/2018		F ⁽²⁾		820	D	\$ 28.94

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of
Performance Stock Units	<u>(1)</u>	05/22/2018		<u>A</u> ⁽¹⁾		258		<u>(1)</u>	<u>(1)</u>	Common Stock	
Performance Stock Units	<u>(1)</u>	05/22/2018		<u>M</u> ⁽¹⁾		2,620		<u>(1)</u>	<u>(1)</u>	Common Stock	2
Stock Option (right to buy)	\$ 8.888							<u>(3)</u>	07/29/2020	Common Stock	8
Stock Option (right to buy)	\$ 22.03							<u>(3)</u>	05/11/2022	Common Stock	4
Stock Option (right to buy)	\$ 19							<u>(3)</u>	05/23/2023	Common Stock	2
Stock Option (right to buy)	\$ 29.31							09/03/2015 ⁽⁴⁾	09/03/2024	Common Stock	1
Stock Option (right to buy)	\$ 25.77							<u>(3)</u>	05/22/2025	Common Stock	2
Stock Option (right to buy)	\$ 19.7							05/20/2017 ⁽⁵⁾	05/20/2026	Common Stock	3
Stock Option (right to buy)	\$ 23.13							05/19/2018 ⁽⁵⁾	05/19/2027	Common Stock	2

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Whaley Patricia M 4701 W. GREENFIELD AVE MILWAUKEE, WI 53214	Vice Pres.-General Counsel

Signatures

/s/ Jeffrey J. LaValle under Power of Attorney for Patricia M. Whaley

05/24/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Based on Rexnord Corporation's performance during the three-year performance period (fiscal 2016-fiscal 2018), the performance stock

- (1) units ("PSUs") vested at the level indicated above (the Reporting Person had the opportunity to earn up to 200% of the number originally reported, as previously disclosed).
- (2) Shares were withheld to satisfy tax withholding obligations in connection with the vesting of PSUs.
- (3) Option fully vested.
- (4) The original option vests in four annual installments beginning on the date listed above.
- (5) The original option vests in three annual installments beginning on the date listed above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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