

FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND

Form NSAR-B

January 28, 2016

PAGE 1
000 B000000 11/30/2015
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000 E000000 NF
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000 I000000 6.1
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001 B000000 811-22039
001 C000000 6307658000
002 A000000 120 E. Liberty Drive, Suite 400
002 B000000 Wheaton
002 C000000 IL
002 D010000 60187
003 000000 N
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005 000000 N
006 000000 N
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008 A000001 FIRST TRUST ADVISORS L.P.
008 B000001 A
008 C000001 801-39950
008 D010001 Wheaton
008 D020001 IL
008 D030001 60187
008 A000002 CONFLUENCE INVESTMENT MANAGEMENT LLC
008 B000002 S
008 C000002 801-68723
008 D010002 Saint Louis
008 D020002 MO
008 D030002 63119
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000001 84-1761
010 C010001 WILMINGTON
010 C020001 DE
010 C030001 19809
011 A000001 A.G. EDWARDS & SONS INC.
011 B000001 8-013580
011 C010001 ST. LOUIS
011 C020001 MO
011 C030001 63103
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013 A000001 DELOITTE & TOUCHE LLP
013 B010001 CHICAGO
PAGE 2
013 B020001 IL
013 B030001 60606
013 B040001 4301
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK

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PAGE 7

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087 A010000 COMMON STOCK

087 A020000 33733G109

087 A030000 FGB

088 A030000 N

088 B030000 N

PAGE 8

088 C030000 N

088 D030000 N

SIGNATURE DONALD P. SWADE

TITLE TREASURER

