ORAN STUART I

Form 4

February 08, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

SECURITIES

Expires:

January 31, 2005

0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

response...

Estimated average burden hours per

OMB APPROVAL

subject to Section 16. Form 4 or Form 5 obligations

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

value

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading ORAN STUART I Issuer Symbol FCB FINANCIAL HOLDINGS, (Check all applicable) INC. [FCB] (Last) (First) (Middle) 3. Date of Earliest Transaction _X__ Director 10% Owner X_ Officer (give title _ Other (specify (Month/Day/Year) below) below) C/O FCB FINANCIAL HOLDINGS, 02/06/2018 Secretary INC., 2500 WESTON ROAD, **SUITE 300**

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting Person

WESTON, FL 33331

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	ransaction Disposed of (D) ode (Instr. 3, 4 and 5) nstr. 8)			5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A			Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock, pa value \$.001 per share	02/06/2018		X	10,500	A	\$ 26.18	10,500	I	By Olivia Z Oran 1998 Trust	
Class A Common Stock, pa	02/06/2018		S(1)	5,163	D	\$ 53.25	5,337	I	By Olivia Z Oran 1998 Trust	

Edgar Filing: ORAN STUART I - Form 4

\$.001 per share								
Class A Common Stock, par value \$.001 per share	02/06/2018	S	5,337	D	\$ 52.9647 (2)	0	I	By Olivia Z Oran 1998 Trust
Class A Common Stock, par value \$.001 per share	02/06/2018	X	5,000	A	\$ 26.18	12,104	D	
Class A Common Stock, par value \$.001 per share	02/06/2018	S(3)	2,409	D	\$ 54.35	9,695	D	
Class A Common Stock, par value \$.001 per share	02/07/2018	S(4)	2,591	D	\$ 54.4458 (5)	7,104	D	
Class A Common Stock, par value \$.001 per share						315	I	By Bond Street Management, LLC (6)
Reminder: Re	eport on a separate line for each class of sec	curities be						
						nd to the collected in this form		SEC 1474 (9-02)

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. Number of orDerivative	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities
Security	or Exercise	•	any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)		
	Derivative				or Disposed of		
	Security				(D)		
					(Instr. 3, 4,		

number.

required to respond unless the form displays a currently valid OMB control

Edgar Filing: ORAN STUART I - Form 4

		and 5)								
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Warrants (right to buy)	\$ 26.18	02/06/2018	X			10,500	<u>(7)</u>	11/12/2019	Class A Common Stock	10,500
Common Stock Warrants (right to buy)	\$ 26.18	02/06/2018	X			5,000	<u>(7)</u>	11/12/2019	Class A Common Stock	5,000
Common Stock Warrants (right to	<u>(7)</u>						<u>(7)</u>	11/12/2019	Class A Common Stock	15,750

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ORAN STUART I C/O FCB FINANCIAL HOLDINGS, INC. 2500 WESTON ROAD, SUITE 300 WESTON, FL 33331	X		Secretary			

Signatures

buy)

/s/ Douglas Bates, as Attorney-in-Fact for Stuart
Oran

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 6, 2018, the Olivia Z. Oran 1998 Trust (the "Trust") exercised a warrant to purchase an aggregate of 10,500 shares of Class A Common Stock of FCB Financial Holdings, Inc. (the "Issuer") for \$26.18 a share. The Trust paid the exercise price on a cashless basis, resulting in the Issuer withholding 5,163 of the warrant shares to pay the exercise price and issuing to the Trust the remaining 5,337 shares. The Issuer also paid \$39.94 to the Trust in lieu of fractional shares.

02/08/2018

- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.80 to \$53.10, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the
- Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.
- (3) On February 6, 2018, the reporting person exercised a warrant to purchase an aggregate of 5,000 shares of Class A Common Stock of the Issuer for \$26.18 a share. The reporting person paid the exercise price on a cashless basis, resulting in the Issuer withholding 2,409 of the

Reporting Owners 3

Edgar Filing: ORAN STUART I - Form 4

warrant shares to pay the exercise price and issuing to the reporting person the remaining 2,591 shares. The Issuer also paid \$29.35 to the reporting person in lieu of fractional shares.

- (4) These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 11, 2017.
 - The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$53.90 to \$54.95, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the
- Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (5) to this Form 4.
- (6) This includes 315 shares of Class A Common Stock beneficially owned by the reporting person through Bond Street Management, LLC.
 - Exercisable in three substantially equal portions on each of the 6-month, 18-month and 30-month anniversaries of the consummation of
- (7) the initial public offering. The exercise price at the 6-month anniversary of the consummation of the initial public offering is \$24.24, the exercise price at the 18-month anniversary of the consummation of the initial public offering is \$26.18 and the exercise price at the 30-month anniversary of the consummation of the initial public offering is \$28.28.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.