KAKAR PARVEEN

Form 4

March 12, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

Expires:

January 31,

2005

0.5

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(Street)

(State)

KAKAR PARVEEN

2. Issuer Name and Ticker or Trading

Symbol

SUPERIOR INDUSTRIES INTERNATIONAL INC [SUP]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Officer (give title Other (specify below)

26600 TELEGRAPH ROAD, SUITE 03/08/2019

(Zip)

400

(City)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Senior Vice President

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

#### SOUTHFIELD, MI 48033

|                                | Tubic 1 Tion Derivative Securities required, Disposed 61, or Beneficiary 6 whea |  |   |  |  |  |  |   |
|--------------------------------|---|--|---|--|--|--|--|---|
| 2. Transaction Date 2A. Deemed |   | 3.   | 4. Securities Acquired  |  | 5. Amount of   | 6. Ownership   | 7. Nature of   |   |
| (Month/Day/Year)               | Execution Date, if  | Transaction(A) or Disposed of  |   |  | Securities   | Form: Direct   | Indirect   |   |
|                                | any   | Code   | (D)   |  |  | Beneficially   | (D) or   | Beneficial  |
|                                | (Month/Day/Year)  | (Instr. 8)   | (Instr. 3, 4 and 5)   |  |  | Owned  | Indirect (I)   | Ownership   |
|                                | •   |  |   |  |  | Following  | (Instr. 4)   | (Instr. 4)  |
|                                |   |  |   |  |  | Reported   |  |   |
|                                |   |  |   |  |  | Transaction(s)   |  |   |
|                                |   |  |   |  | <b>.</b>   | (Instr. 3 and 4)   |  |   |
|                                |   | Code V   | Amount  | (D)  | Price  | ,  |  |   |
| 02/09/2010                     |   | М  | 2 227   | ٨  | Φ Λ  | 21 002   | D  |   |
| 03/06/2019                     |   | IVI  | 3,221   | A  | \$ 0   | 31,003   | D  |   |
|                                |   |  |   |  |  |  |  |   |
| 02/09/2010                     |   | <b>E</b> (1)   | 022   | D  | \$   | 20.060   | D  |   |
| 03/06/2019                     |   | <u> </u>   | 943   | ע  | 5.44   | 30,900   | D  |   |
|                                |   | 2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year)  03/08/2019 | 2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code (Instr. 8)  Code V  03/08/2019 M | 2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, Code V Amount 03/08/2019 M 3,227 | 2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and (A) or Code (D) (Month/Day/Year) (Ode (D) (A) Or Code (D) (A) OR COD | 2. Transaction Date (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   (Month/Day/Year)   Execution Date, if any (Code (D) (Instr. 8) (Instr. 3, 4 and 5)    (A) or Code V Amount (D) Price    M 3,227 A \$ 0 | 2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  (Instr. 8)  (Instr. 3, 4 and 5)  (A)  (A)  (Instr. 3 and 4)  (Instr. 3 and 4)  (Instr. 3 and 4)  (Instr. 3 and 4)  (Instr. 3 and 4) | 2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  2. Transaction(A) or Disposed of any (Month/Day/Year)  3. |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | onth/Day/Year) Execution Date, if Transactio<br>any Code<br>(Month/Day/Year) (Instr. 8) |        | 5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. P<br>Der<br>Sec<br>(Ins |
|---|---|---|---|--------|--|--|--------------------|---|--|----------------------------|
|   |   |   |   | Code V | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                            |
| Restricted<br>Stock Unit                            | (2)   | 03/08/2019                              |   | M      | 3,227  | (3)  | (3)                | Common<br>Stock   | 3,227                                  |                            |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KAKAR PARVEEN 26600 TELEGRAPH ROAD, SUITE 400 SOUTHFIELD, MI 48033

Senior Vice President

## **Signatures**

/s/ Joanne Finnorn as
Attorney-in-Fact
03/11/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were withheld solely for the purpose of paying taxes due upon the vesting and settlement of restricted stock units that were granted to the Reporting Person under the Company's Amended and Restated 2008 Equity Incentive Plan.
- (2) Each restricted stock unit represents a contingent right to receive one share of common stock of Superior Industries International, Inc.
- (3) The restricted stock units vest and settle in stock in three approximately equal installments on each of the first three anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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