

HOEKSEMA TIMOTHY E
Form 4
December 28, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
HOEKSEMA TIMOTHY E

(Last) (First) (Middle)

27421 HIDDEN RIVER COURT

(Street)

BONITA SPRINGS, FL 34134

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

MARCUS CORP [MCS]

3. Date of Earliest Transaction
(Month/Day/Year)

12/27/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/27/2018		A ⁽¹⁾	1,500 A \$ 0	19,167	D	
Common Stock					15,002	I	By Trust (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8.
				Code	V	(A)	(D)	Title	Amount or Number of Shares
Stock Option (Right to Buy) ⁽³⁾	\$ 38.51	12/27/2018		A		1,000		12/27/2018 12/27/2028	Common Stock 1,000
Stock Option (Right to Buy) ⁽³⁾	\$ 10.78							05/28/2009 05/28/2019	Common Stock 500
Stock Option (Right to Buy) ⁽³⁾	\$ 11.14							05/27/2010 05/27/2020	Common Stock 500
Stock Option (Right to Buy) ⁽³⁾	\$ 10.5							05/26/2011 05/26/2021	Common Stock 500
Stock Option (Right to Buy) ⁽³⁾	\$ 13.33							05/31/2012 05/31/2022	Common Stock 500
Stock Option (Right to Buy) ⁽³⁾	\$ 13.45							05/30/2013 05/30/2023	Common Stock 1,000
Stock Option (Right to Buy) ⁽³⁾	\$ 16.84							05/29/2014 05/29/2024	Common Stock 1,000
Stock Option (Right to Buy) ⁽³⁾	\$ 19.65							05/28/2015 05/28/2025	Common Stock 1,000
Stock Option	\$ 18.97							12/31/2015 12/31/2025	Common Stock 583

(Right to
Buy) (3)

Stock Option (Right to Buy) <u>(3)</u>	\$ 31.55	12/29/2016	12/29/2026	Common Stock	1,000
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Stock Option (Right to Buy) <u>(3)</u>	\$ 27.2	12/28/2017	12/28/2027	Common Stock	1,000
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOEKSEMA TIMOTHY E 27421 HIDDEN RIVER COURT BONITA SPRINGS, FL 34134		X		

Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Timothy E. Hoeksema	12/28/2018
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 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock granted December 27, 2018 vests and becomes exercisable as follows: 50% after 2nd anniversary of the date of grant and 100% after 4th anniversary of the date of grant.
- (2) By the Timothy and Janis Hoeksema Revocable Trust U/A Dated 01/04/2010.
- (3) Granted pursuant to The Marcus Corporation 2004 Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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