

TriState Capital Holdings, Inc.
Form 4
September 16, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Casey Helen Hanna

(Last) (First) (Middle)

ONE OXFORD CENTRE, 301
GRANT STREET, SUITE 2700

(Street)

PITTSBURGH, PA 15219

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

TriState Capital Holdings, Inc. [TSC]

3. Date of Earliest Transaction (Month/Day/Year)

09/14/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 09/14/2016 | | M | | 5,000 | A | \$ 10 |
| | | | | | | | 31,055 |
| Common Stock | 09/14/2016 | | M | | 5,000 | A | \$ 10 |
| | | | | | | | 36,055 |
| Common Stock | | | | | | | 15,000 |
| | | | | | | | D ⁽¹⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|----------------------------|-------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Stock Options (Right to Buy) | \$ 10 | 09/14/2016 | | M | 5,000 | 06/18/2010 ⁽²⁾ | 12/18/2017 | Common Stock | 5,000 |
| Stock Options (Right to Buy) | \$ 10 | 09/14/2016 | | M | 5,000 | 07/22/2009 ⁽³⁾ | 01/22/2017 | Common Stock | 5,000 |
| Stock Options (Right to Buy) | \$ 12 | | | | | 06/18/2011 ⁽⁴⁾ | 12/18/2018 | Common Stock | 6,000 |
| Stock Options (Right to Buy) | \$ 8.75 | | | | | 06/15/2012 ⁽⁵⁾ | 12/15/2019 | Common Stock | 6,000 |
| Stock Options (Right to Buy) | \$ 8 | | | | | 06/30/2013 ⁽⁶⁾ | 12/31/2020 | Common Stock | 6,000 |
| Stock Options (Right to Buy) | \$ 10.25 | | | | | 06/30/2015 ⁽⁷⁾ | 12/31/2022 | Common Stock | 6,000 |
| Stock Options (Right to Buy) | \$ 11.78 | | | | | 06/13/2016 ⁽⁸⁾ | 12/13/2023 | Common Stock | 6,000 |
| Stock Options | \$ 9.32 | | | | | 06/30/2014 ⁽⁹⁾ | 12/31/2021 | Common Stock | 6,000 |

(Right to Buy)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Casey Helen Hanna ONE OXFORD CENTRE 301 GRANT STREET, SUITE 2700 PITTSBURGH, PA 15219 | X | | | |

Signatures

/s/ Keevican Weiss Bauerle & Hirsch LLC by David J. Hirsch,
Attorney-in-Fact

09/15/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held jointly of record by the Reporting Person and her spouse.
- (2) 2,500 of these options vested and became exercisable on or about 06/18/2010, and the remaining 2,500 options vested and became exercisable on 12/18/2012.
- (3) 2,500 of these options vested and became exercisable on or about 07/22/2009, and the remaining 2,500 options vested and became exercisable on 01/22/2012.
- (4) 3,000 of these options vested and became exercisable on or about 06/18/2011, and the remaining 3,000 options vested and became exercisable on 12/18/2013.
- (5) 3,000 of these options vested and became exercisable on or about 06/15/2012, and the remaining 3,000 options vested and became exercisable on 12/15/2014.
- (6) 3,000 of these options vested and became exercisable on or about 06/30/2013, and the remaining 3,000 options vested and became exercisable on 12/31/2015.
- (7) 3,000 of these options vested and became exercisable on or about 6/30/2015, and the remaining 3,000 options will vest and become exercisable on 12/31/2017.
- (8) 3,000 of these options vested and became exercisable on or about 6/13/2016, and the remaining 3,000 options will vest and become exercisable on 12/13/2018.
- (9) 3,000 of these options vested and became exercisable on or about 6/30/2014, and the remaining 3,000 options will vest and become exercisable on 12/31/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.