Edgar Filing: TriState Capital Holdings, Inc. - Form 4

TriState Capital Holdings, Inc. Form 4 October 14, 2015

October 14	, 2013										
FORM	ЛД								OMB APPROVAL		
Check t	SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					OMB Number:	3235-0287 January 31,				
if no lo subject Section Form 4	nger to STATE 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							2005 d average ours per e 0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Dewhurst E.H.			2. Issuer Name and Ticker or Trading Symbol TriState Capital Holdings, Inc. [TSC]				5. Relationship of Reporting Person(s) to Issuer				
							(Check all applicable)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			ion	X Director		0% Owner		
ONE OXFORD CENTRE, 301 GRANT STREET, SUITE 2700			(Month/Day/Year) 10/12/2015				Officer (give titleOther (specify below) below)				
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check				
PITTSBUI	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person										
(City)	(State)	(Zip)	Ta	ble I - Noi	n-Derivat	ive Securities Acq	uired, Disposed of	f, or Benefic	ially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Day	Date, if	3. Transacti Code (Instr. 8)	oror Disp	rities Acquired (A) osed of (D) 5, 4 and 5)	Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

	Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1) (Instr. 4)	
Common 10/12/2015 Stock	S <u>(1)</u>	1,232	D	\$ 12.2078 (2)	6,965	I	By Falcon Seaboard Holdings, LLC (3)
Common 10/12/2015 Stock	S <u>(1)</u>	1,871	D	\$ 12.2061 (4)	123,057	I	BY FALCON SEABOARD INVESTMENT COMPANY, L.P. (3)
Common 10/13/2015 Stock	S <u>(1)</u>	8,615	D	\$ 12.1587 (5)	114,442	Ι	BY FALCON SEABOARD INVESTMENT

Reminder: F	teport on a sep	parate line for each cla	ss of securities bene:	icially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.							
			ative Securities Acq outs, calls, warrants				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)		
						Date Exercisable	Expiration Date	of			
Repo	rting O	wners		Code V	(A) (D)			Shares			
			Rela	tionships							
Reporting Owner Name / Address		Director 10% Owner	Officer	• Other							
301 GRA	FORD CEN	T, SUITE 2700	Х								
Signa	tures										
/s/ Keevican Weiss Bauerle & Hirsch LLC by David J. H Attorney-in-Fact						10/14/2015					
<u>**</u> Signature of Reporting Person							Date				
Expla	nation	of Respo	nses:								
* If the f	form is filed by	more than one report	ting person, see Instr	uction 4(b)	(v).						
** Intenti	onal misstatem	nents or omissions of	facts constitute Fede	ral Criminal	Violations	. <i>See</i> 18 U.S.C	C. 1001 and	15 U.S.C. 78ff(a)).		
Reporting	Owners								2		

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Common

Stock

COMPANY, L.P. (3)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr

4,000

D

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(1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 9, 2015.

The price reported in Column 4 is the average price. The shares were sold in multiple transactions at prices ranging from \$12.00 to

- (2) \$12.38, inclusive. The Reporting Person undertakes to provide to any security holder of TriState Capital Holdings, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (3) The Reporting Person is Vice President-Finance, Treasurer and Authorized Representative of this entity.

The price reported in Column 4 is the average price. The shares were sold in multiple transactions at prices ranging from \$12.05 to \$12.33, inclusive. The Reporting Person undertakes to provide to any security holder of TriState Capital Holdings, Inc. or the staff of the

(4) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

The price reported in Column 4 is the average price. The shares were sold in multiple transactions at prices ranging from \$12.13 to \$12.25, inclusive. The Reporting Person undertakes to provide to any security holder of TriState Capital Holdings, Inc. or the staff of the

(5) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.