## Edgar Filing: STEIN MART INC - Form 4

STEIN MAR	T INC									
Form 4										
January 14, 2	016									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								r	PPROVAL	
	UNITEDS		shington,			NGE (	COMMISSION	OMB Number:	3235-0287	
Check this box if no longer								Expires:	January 31, 2005	
subject to	STATEMI	ENT OF CHAN	GES IN BENEFICIAL OW				NERSHIP OF	Estimated a		
Section 16		SECURITIES					burden hou	irs per		
Form 4 or Form 5		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,						response	0.5	
obligation	$^{18}$ Section 17(a)	) of the Public Ut						n		
may conti <i>See</i> Instru 1(b).	nue.	30(h) of the In	•	•	- ·					
(Print or Type R	esponses)									
FALK SUSAN Symbol			Issuer Name <b>and</b> Ticker or Trading bol EIN MART INC [SMRT]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			/Day/Year)				XDirector10% Owner			
1040 PARK	AVENUE, APT.	14 F 01/12/20	016				Officer (give below)	below)	er (specify	
			nendment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)			
NEW YORK	K, NY 10028						_X_ Form filed by 0 Form filed by N Person	One Reporting Pe More than One Re		
(City)	(State) (Z	Zip) Tabl	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
(Instr. 3) any		2A. Deemed Execution Date, if	ed3.4. SecuritiesDate, ifTransactionAcquired (A) or CodeDisposed of (D)			Securities Beneficially Owned		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	01/12/2016		A	6,240 (1)	A	\$ 0	49,981	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. 6. Date Exercisable iorNumber Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4. and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr	
			Code V	,	ĺ,	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships							
	Director	10% Owner	Officer	Other				
FALK SUSAN 1040 PARK AVENUE APT. 14 F NEW YORK, NY 10028	Х							
Signatures								
Greg L. Lohman, attorney-in-fact	0	1/14/2016						
**Signature of Reporting Person		Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted shares granted pursuant to the Issuer's 2001 Omnibus Plan. The shares vest 1/36 per month over three years, beginning January 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.