Edgar Filing: Kleffner Gregory W - Form 4

Form 4	gory W										
February 08,	2018										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										PROVAL	
	Washington, D.C. 20549						COMMISSION	OMB Number:	3235-0287		
Check the if no long									Expires:	January 31, 2005	
subject to STATEMENT OF CH				CHANGES IN BENEFICIAL OWNERSH				NERSHIP OF	Estimated a		
Section 1 Form 4 o				SECURITIES				burden hours per			
Form 5		irsuant to S	Section 1	6(a) of th	e Securi	ties F	Exchang	e Act of 1934,	response	0.5	
obligation	ns Section 17						•	f 1935 or Section	1		
may cont See Instru	inue.			vestment	•	· ·	•				
1(b).											
(Print or Type F	Responses)										
1. Name and Address of Reporting Person [*] _ 2. Issuer Name and Ticker or Trading 5. Relationship of							Reporting Pers	on(s) to			
Kleffner Gro	egory W		Symbol	-				Issuer			
			STEIN					(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	of Earliest Transaction							
				onth/Day/Year)			Director X Officer (give		Owner or (specify		
	MART, INC., 1 CE BOULEVA		02/06/2	018				below)	below)		
	CL DOOLL VI							Chief I	Financial Office	er	
			endment, Date Original			6. Individual or Joint/Group Filing(Check					
Filed(Mor				onth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
JACKSON	VILLE, FL 3220)7						Form filed by M Person			
(City)	(State)	(Zip)	Tab	le I - Non-D	Derivative	Secu	rities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Da		ned	3.	4. Securi	ties A	cquired	5. Amount of	6.	7. Nature of	
Security (Instr. 3)	(Month/Day/Year	any	on Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)					Securities Beneficially	Ownership Form: Direct	Indirect Beneficial	
(111511-0)		•	Day/Year)	(Instr. 8)	(1115417-0)	· uno	.,	Owned	(D) or	Ownership	
								Following Reported	Indirect (I) (Instr. 4)	(Instr. 4)	
						(A)		Transaction(s)	(111501.4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	02/06/2018			F	4,326 (1)	D	\$ 0.646	277,480.384 (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Edgar Filing: Kleffner Gregory W - Form 4

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Kleffner Gregory W C/O STEIN MART, INC. 1200 RIVERPLACE BOULEVARD JACKSONVILLE, FL 32207			Chief Financial Officer					
Signatures								
Chris Himebauch, attorney-in-fact	02/06/2	018						
<u>**</u> Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares were withheld for payment of tax liability in connection with vesting of restricted shares.
- (2) Includes 4,562.044 shares acquired under the SMRT employee stock purchase plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.