

SWIFT ENERGY CO  
Form 4  
April 26, 2016

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Banks Robert J.

2. Issuer Name and Ticker or Trading Symbol  
SWIFT ENERGY CO [NONE]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
17001 NORTHCHASE DR., STE. 100  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
04/22/2016

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP AND COO

HOUSTON, TX 77060-6098

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	04/22/2016		A <sup>(1)</sup>	295 <sup>(1)</sup> A \$ 0 <sub>(1)</sub>	295	D	
Common Stock	04/22/2016		A <sup>(1)</sup>	298 <sup>(1)</sup> A \$ 0 <sub>(1)</sub>	298	I	GRAT
Common Stock	04/22/2016		A <sup>(1)</sup>	5 <sup>(1)</sup> A \$ 0 <sub>(1)</sub>	5	I	IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
				Code V (A) (D)		Date Exercisable Expiration Date	Title	
Warrants for Common Stock	\$ 80	04/22/2016		A <sup>(2)</sup>	1,581 (2)	04/22/2016 04/22/2019	Common Stock	1,581
Warrants for Common Stock	\$ 86.18	04/22/2016		A <sup>(2)</sup>	1,581 (2)	04/22/2016 04/22/2019	Common Stock	1,581
Warrants for Common Stock	\$ 80	04/22/2016		A <sup>(2)</sup>	1,598 (2)	04/22/2016 04/22/2019	Common Stock	1,598
Warrants for Common Stock	\$ 86.18	04/22/2016		A <sup>(2)</sup>	1,598 (2)	04/22/2016 04/22/2020	Common Stock	1,598
Warrants for Common Stock	\$ 80	04/22/2016		A <sup>(2)</sup>	27 (2)	04/22/2016 04/22/2019	Common Stock	27
Warrants for Common Stock	\$ 86.18	04/22/2016		A <sup>(2)</sup>	27 (2)	04/22/2016 04/22/2020	Common Stock	27

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Banks Robert J.  
17001 NORTHCHASE DR., STE. 100  
HOUSTON, TX 77060-6098

EVP AND COO

## Signatures

/s/ Christopher M. Abundis, POA for Robert J.  
Banks

04/26/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Received on the Emergence Date after shares of SFYWQ were cancelled, pursuant to the Plan of Reorganization approved by the Board of Directors and filed with the bankruptcy court.
  - (2) Received on the Emergence Date in connection with the receipt of the new common stock of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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