## Edgar Filing: Baines Creek Capital, LLC - Form 4

Baines Creek Capital, LLC Form 4 September 06, 2018

September 06	5, 2018										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL OMB Number: 3235-0287				
Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	er <b>STATEM</b> 5. Filed purs <sup>15</sup> Section 17(a	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type R	esponses)										
Baines Creek Capital, LLC Symbol			Symbol	er Name and Ticker or Trading CY RESERVES LP [LGCY]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Mor				ate of Earliest Transaction hth/Day/Year) Director 04/2018 Officer (g below)					X 10% Owner		
Filed(Mon				endment, Date Original nth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
AUSTIN, TX	X 78759							Person		porting	
(City)	(State) (2	Zip)	Table	e I - Non-D	erivative S	ecuri	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	ity (Month/Day/Year) Execution Date, if		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common (1) (2)	09/04/2018			Code V P	Amount 37,820 (3)	(D) A	Price \$ 5.42	(Instr. 3 and 4) 15,006,716 (4)	D		
$\underbrace{\text{Common } \underline{(1)}}_{(2)}$	09/06/2018			Р	47,168 (5)	A	\$ 5.06	15,053,884 (6)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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# **Reporting Owners**

Reporting Owner Name / Addr	ess	Relationships							
	Director	10% Owner	Officer	Other					
Baines Creek Capital, LLC 11940 JOLLYVILLE SUITE 210-S AUSTIN, TX 78759		Х							
Signatures									
/s/ Brian Williams	09/06/2018								
<u>**</u> Signature of	Date								

<u>\*\*</u>Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 is being jointly filed by Baines Creek Partners, L.P., a Delaware limited partnership (BCP), Baines Creek Special Purpose Partners, L.P., a Delaware limited partnership (BCSPP), Kevin Tracy, a United States citizen (KT), Jeremy Carter a United States citizen

(1) Tathets, E.T., a Delaware inniced participant (DeSFT), Revin Tracy, a Oniced states entrem (RT), below carefy a Oniced states entrem (I), or entry carefy a Oniced states entrem (II), or entry carefy a Oniced states entrem (III), or entry carefy a Onice

Baines Creek GP the general partner of BCP and BCSPP has the power to direct the affairs of BCP and BCSPP, including the voting and disposition of shares. As the discretionary investment manager of these Funds and certain other accounts, Baines Creek Capital has power to direct the voting and disposition of shares held by these Funds and such accounts. Messrs. Tracy, Carter, Schumacher and Williams are affiliated persons of Baines Creek Capital and in this capacity direct the operations of BCP and BCSPP and the voting and disposition of

(2) animated persons of Banes Creek Capital and in this capacity direct the operations of BCT and BCST rand the voling and disposition of shares held by these Funds and separate client accounts managed by Baines Creek Capital. Baines Creek Capital and Messrs. Tracy, Carter, Schumacher and Williams may also be deemed to beneficially own indirect pecuniary interest as the result of performance-based fees and profit allocations. Each of Baines Creek Capital and Messrs. Tracy, Carter, Schumacher and Williams disclaims such beneficial ownership except to the extent ultimately realized.

The aggregate number of shares of common stock purchased on September 4, 2018 was 37,820 shares and such shares were purchased by (3) the Reporting Persons in the following amounts: BCP = 27,820 shares; BCSPP = 10,000 shares; KT = 0 shares; JC = 0 shares; JS = 0 shares, and BW = 0 shares.

(4) 15,006,716 shares of common stock is the aggregate number of shares of common stock owned by the Reporting Persons and is owned as follows: BCP = 7,725,000 shares directly owned by it; BCSPP = 6,654,831 shares directly owned by it; KT = 6,510 shares directly owned

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by him; JC = 142,317 shares directly owned by him; JS = 4,686 shares directly owned by him, and BW = 473,372 shares directly owned by him.

The aggregate number of shares of common stock purchased on September 6, 2018 was 47,168 shares and such shares were purchased by (5) the Reporting Persons in the following amounts: BCP = 47,168 shares; BCSPP = 0 shares; KT = 0 shares; JC = 0 shares; JS = 0 shares, and BW = 0 shares.

15,053,884 shares of common stock is the aggregate number of shares of common stock owned by the Reporting Persons and is owned as follows: BCP = 7,772,168 shares directly owned by it; BCSPP = 6,654,831 shares directly owned by it; KT = 6,510 shares directly owned

(6) by him; JC = 142,317 shares directly owned by him; JS = 4,686 shares directly owned by him, and BW = 473,372 shares directly owned by him.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.