Crouse James D Form 4 April 05, 2019

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or

Expires: January 31, 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Common

Stock

04/03/2019

(Print or Type Responses)

1. Name and Address of Reporting Person *

Crouse James D			Symbol CAPSTONE TURBINE Corp [CPST]					(Check all applicable)			
(Last) 16640 STA	(First) (M	(3. Date of Earliest Transaction (Month/Day/Year) 04/03/2019					Director 10% Owner Officer (give title Other (specify below) EVP Sales & Marketing			
N/ A N/ N// N// N//	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting					
VAN NUYS	S, CA 91406							Person	fore than one re-	porting	
(City)	(State)	(Zip)	Table	I - Non-D	erivative Se	curitio	es Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			of (D)	5. Amount of Securities Form: Direct Indirect Indirect (D) or Beneficially Owned Indirect (I) Ownersl Following (Instr. 4) (Instr. 4) Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	04/03/2019			A	114,407	A	\$0	383,341	D		

0

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Α

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(9-02)

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\$0

1,000

By

Children

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of
Performance Restricted Stock Unit (PRSU)	\$ 0 (1)	04/03/2019		A	38,136	04/03/2019(2)	04/03/2022	Common Stock	38

Reporting Owners

Director 10% Owner Officer Other

Crouse James D

16640 STAGG STREET EVP Sales & Marketing VAN NUYS, CA 91406

Signatures

James Crouse, Reporting
Person
04/05/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each PRSU represents a contingent right to receive one share of CPST common stock.
- (2) Each PRSU is based on a three-year (3) performance period with the actual awards being determined as soon as practicable after the 3rd anniversary of the performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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