

Bottger Graeme
Form 3
August 09, 2010

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Bottger Graeme

(Last)

(First)

(Middle)

201 ISABELLA STREET

(Street)

PITTSBURGH,Â PAÂ 15212

(City)

(State)

(Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/01/2010

3. Issuer Name **and** Ticker or Trading Symbol
ALCOA INC [AA]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
Vice President and Controller

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

24,791

D

Â

Common Stock

8,279

I

By Company 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative
Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

4. Conversion
or Exercise
Price of
Derivative

5. Ownership
Form of
Derivative
Security:

6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	01/11/2003	01/11/2012	Common Stock	9,200	\$ 36.035	D	Â
Employee Stock Option (right to buy)	01/10/2004	01/10/2013	Common Stock	8,466	\$ 22.555	D	Â
Employee Stock Option (right to buy)	06/23/2004	01/12/2011	Common Stock	4,863	\$ 37.64	D	Â
Employee Stock Option (right to buy)	06/23/2005	01/10/2013	Common Stock	3,394	\$ 31.415	D	Â
Employee Stock Option (right to buy)	12/31/2005 ⁽¹⁾	01/13/2011	Common Stock	5,600	\$ 29.54	D	Â
Employee Stock Option (right to buy)	Â ⁽²⁾	01/12/2012	Common Stock	10,400	\$ 28.925	D	Â
Employee Stock Option (right to buy)	Â ⁽³⁾	01/12/2012	Common Stock	9,400	\$ 28.925	D	Â
Employee Stock Option (right to buy)	Â ⁽⁴⁾	01/18/2013	Common Stock	8,320	\$ 30.3	D	Â
Employee Stock Option (right to buy)	Â ⁽⁵⁾	01/18/2013	Common Stock	9,400	\$ 30.3	D	Â
Employee Stock Option (right to buy)	Â ⁽⁶⁾	01/23/2015	Common Stock	103,520	\$ 8.33	D	Â
Employee Stock Option (right to buy)	Â ⁽⁷⁾	01/26/2020	Common Stock	34,920	\$ 13.54	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bottger Graeme 201 ISABELLA STREET PITTSBURGH, PA 15212	Â	Â	Â Vice President and Controller	Â

Signatures

Brenda Hart (Assistant Secretary), by power of attorney

08/09/2010

 **Signature of Reporting Person

____ Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- On November 11, 2005, the Compensation and Benefits Committee of the Board of Directors of Alcoa Inc. approved accelerating the vesting to December 31, 2005 of the unvested stock options granted to employees in 2004 and on January 13, 2005, including these options reported for the reporting person. Under the original vesting schedule, the 2004 and 2005 stock options were to vest 1/3 on the first anniversary of the grant date, 1/3 on the second anniversary of the grant date, and 1/3 on the third anniversary of the grant date.
- (1) The option vests in three equal annual installments beginning January 12, 2007.
 - (2) The option vests in three equal annual installments as follows: 1/3 vests on March 12, 2007, 1/3 vests on January 12, 2008, and 1/3 vests on January 12, 2009.
 - (3) The option vests in three equal annual installments beginning January 18, 2008.
 - (4) The option vests in three equal annual installments as follows: 1/3 vests on March 18, 2008, 1/3 vests on January 18, 2009, and 1/3 vests on January 18, 2010.
 - (5) The option vests in three equal annual installments beginning January 23, 2010.
 - (6) The option vests in three equal annual installments beginning January 26, 2011.
 - (7)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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