

AMERICAN ELECTRIC POWER COMPANY INC
Form U-9C-3
May 30, 2001

File No. 074-00015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3
QUARTERLY REPORT

For the quarter ended March 31, 2001

Filed Pursuant to Rule 58 of the Public Utility Holding Company
Act of 1935

by
AMERICAN ELECTRIC POWER COMPANY, INC.
1 Riverside Plaza, Columbus, Ohio 43215

AMERICAN ELECTRIC POWER COMPANY, INC.
FORM U-9C-3
For the Quarter Ended March 31, 2001

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ITEM 1 - ORGANIZATION CHART

| Name of Reporting Company | Energy or gas-related Company | Date of Organization | State of Organization | Percentage Of Voting Securities Held |
|--|-------------------------------------|-------------------------|--------------------------|--|
| AEP Energy Services, Inc. (AEPES) | Energy | September 24, 1996 | Ohio | 100% |
| Polk Power Partners, L.P. (Polk Cogen) | Energy | February 1992 | Delaware | 46.25% |
| Orange Cogeneration Limited Partnership (Orange Cogen) | Energy | February 1993 | Delaware | 50% |
| Brush Cogeneration Partners (Brush Cogen) | Energy | November 1991 | Delaware | 47.25% |
| Eastex Cogeneration Limited Partnership (ownership through various intermediate Energy Inc./CSW) | Energy | September 1998 | Delaware | 50% |
| Thermo Cogeneration Partnership, L.P. (Thermo Cogen) (ownership through intermediate holding company CSW Ft. Lupton, Inc./CSW Energy Inc./CSW) | Energy | April 1993 | Delaware | 50% |
| Sweeny Cogeneration Limited Partnership (Sweeny Cogen) (ownership through various intermediate holding company subsidiaries originating with CSW Sweeny LP I, Inc./CSW Energy Inc./CSW) | Energy | October 1995 | Delaware | 50% |
| Diversified Energy Contractors LLC (DECCO) (CSW Energy Inc. / CSW) | Energy | July 1997 | Delaware | 100% |
| CSW Power Marketing, Inc. (CSW Energy Inc. / CSW) | Energy | March 1996 | Delaware | 100% |
| CSW Services International, Inc. (CSW Energy Inc. / CSW) | Energy | March 1997 | Delaware | 100% |
| Powerware Solutions, Inc. (PSO Investment) | Energy | February 1994 | Texas | 2.68% |

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| | | | | |
|---|--------|----------------|----------|-------|
| Utility Data Resources, Inc. (CSW Energy Services, Inc. Investment) | Energy | December 1997 | Delaware | 4.5% |
| Nuvest, LLC (ESI Investment) | Energy | March 1996 | Oklahoma | 93% |
| AEMT, Inc. (PSO Investment) | Energy | December 1997 | Florida | 0% |
| CSW Energy Services, Inc. (ESI) (CSW) | Energy | September 1997 | Delaware | 100% |
| EnerShop (CSW) | Energy | September 1995 | Delaware | 100% |
| Energy Trading Platform Holding Company, Inc. (AEP Energy Services) | Energy | April 2000 | Delaware | 16.7% |
| Power-span Corp (AEP Pro-Serv Inc) | Energy | | Delaware | 9.8% |
| PHPK Technologies Inc. (AEP Investments) | Energy | July 1991 | Ohio | 40.4% |
| Altra Energy Technology (AEP Investments) | Energy | December 1997 | Delaware | 5% |
| AEP C&I Company, LLC (AEP) | Energy | October 2000 | Delaware | 100% |
| AEP Gas Power GP, LLC (AEP C&I Company LLC) | Energy | December 2000 | Delaware | 100% |
| AEP Gas Power System GP, LLC (AEP Gas Power GP, LLC) | Energy | December 2000 | Delaware | 75% |
| AEP Ohio C&I Retail Company LLC (AEP C&I Company LLC) | Energy | October 2000 | Delaware | 100% |
| AEP Retail Energy, LLC (AEP) | Energy | June 2000 | Delaware | 100% |
| AEP T&D Services, LLC (AEP) | Energy | December 2000 | Delaware | 100% |
| AEP Texas C&I Retail GP, LLC (AEP C&I Company LLC) | Energy | December 2000 | Delaware | 100% |
| AEP Texas Retail GP, LLC (AEP) | Energy | December 2000 | Delaware | 100% |
| Mutual Energy LLC | Energy | December 1999 | Delaware | 100% |

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(AEP)

Mutual Energy Service Company LLC Energy September 2000 Delaware 100%
 (Mutual Energy LLC)

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

SECURITIES:

| Company Issuing Security | Type of Security Issued | Principal Amount of Security | Issue or Renewal | Cost of Capital | Person to Whom Security Was Issued | Collateral Given With Security |
|--------------------------|-------------------------|------------------------------|------------------|-----------------|------------------------------------|--------------------------------|
| ----- | ----- | ----- | ----- | ----- | ----- | ----- |
| (in thousands) | | | | | | |

None

FINANCING AMONG ASSOCIATE COMPANIES:

| Company Issuing Security | Type of Security Issued | Principal Amount of Security | Issue or Renewal | Cost of Capital | Person to Whom Security Was Issued |
|---------------------------|-------------------------|------------------------------|------------------|-----------------|------------------------------------|
| ----- | ----- | ----- | ----- | ----- | ----- |
| Eastex Cogeneration LP | Loan Note | 11,692 | - | - | CSW Energy, Inc. |
| CSW Power Marketing, Inc. | Loan Note | 224 | - | - | CSW Energy, Inc. |

Note: Above excludes short-term advances from affiliates. However, these advances are hereinafter included in the aggregate investment reported in Item 4.

CAPITAL CONTRIBUTIONS:

| Company Contributing Capital | Company Receiving Capital | Amount of Capital Contributions |
|------------------------------|---------------------------|---------------------------------|
| ----- | ----- | ----- |
| (in thousands) | | |

| | | |
|----------------------|----------------------------|-------|
| AEP Gas Power GP LLC | AEP Gas Power Systems, LLC | 1,261 |
|----------------------|----------------------------|-------|

ITEM 3 - ASSOCIATED TRANSACTIONS

Part I -- Transactions performed by reporting companies on behalf of associate companies

| Reporting | Associate |
|-----------|-----------|
|-----------|-----------|

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| Company Rendering Services | Company Receiving Services | Types of Services Rendered | Direct Costs Charged | Indirect Costs Charged | Cost of Capital | Total Amount Billed |
|---------------------------------|----------------------------|----------------------------|----------------------|------------------------|-----------------|---------------------|
| Nuvest, LLC | South Texas Project | Staff | \$ 2,080 | - | - | \$ 2,080 |
| Nuvest, LLC | D.C. Cook | Staff | 4,029 | - | - | 4,029 |
| CSW Services International Inc. | Frontera | Plant Services | 1,099 | 240 | - | 1,339 |

Part II -- Transactions performed by associate companies on behalf of reporting companies

| Associate Company Rendering Services | Reporting Company Receiving Services | Types of Services Rendered | Direct Costs Charged | Indirect Costs Charged |
|---|--------------------------------------|----------------------------|----------------------|------------------------|
| American Electric Power Service Corporation | AEPES | Administrative & Marketing | \$16,614 | \$1,797 |
| AEP Resources Gas Holding Company | AEPES | Administrative | 7,651 | - |
| AEP Pro Serv, Inc. | AEPES | Administrative | 65 | - |
| CSW Energy, Inc. | Polk Power Partners, L.P. | Plant Services | 410 | 175 |
| CSW Energy, Inc. | Orange Cogeneration L.P. | Plant Services | 410 | 171 |
| CSW Dev I, Inc. | Polk Power Partners, L.P. | Plant Services | 135 | - |
| CSW Energy, Inc. | Eastex Cogeneration L.P. | Plant Services | 1,594 | 152 |
| CSW Energy, Inc. | Frontera Cogeneration L.P. | Plant Services | 41 | - |
| CSW Services International Inc. | Sweeny Cogeneration L.P. | Plant Services | 684 | 209 |
| Total | | | \$27,604 | \$2,504 |

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

(in thousands)

| | | |
|--|------------------|--------|
| Total consolidated capitalization as of March 31, 2001 | \$23,000,365 (a) | Line 1 |
| Total capitalization multiplied by 15% (line 1 multiplied by 0.15) | 3,450,055 | Line 2 |
| Greater of \$50 million or line 2 | \$3,450,055 | Line 3 |
| Total current aggregate investment: | | |

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(categorized by major line of energy-related business)

| | | |
|---|---------|---------------------------|
| Energy-related Category 1: Energy Services | 17,889 | |
| Energy-related Category 5: Energy Marketing | 573,193 | |
| Energy-related Category 7: Maintenance Services | 52,513 | |
| Energy-related Category 8: Qualifying Facility | 349,780 | |
| Energy-related Category 2,3,4,6,9,10 | 20,000 | |
| Total current aggregate investment | | 1,013,375 Line 4 ----- |

| | | |
|--|--|-----------------------------|
| Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4) | | \$2,436,680 Line 5 ===== |
|--|--|-----------------------------|

(a) Includes short-term debt.

Investments in gas-related companies:

NONE

ITEM 5 - OTHER INVESTMENTS

| Major Line of Energy-Related Business ----- | Other Investment in Last U-9C-3 Report ----- | Other Investment in This U-9C-3 Report ----- | Reason for Difference in Other Investment ----- |
|---|---|---|---|
| Energy services Invested prior to April 1, 1997 | \$ 2,696 | \$ 2,696 | Amounts are excluded from Item |
| Maintenance services | 0 | 0 | |
| Qualifying facility Invested prior to April 1, 1997 | \$194,644 | \$194,644 | Amounts are excluded from Item |

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

List all financial statements and exhibits filed as a part of this report.

Financial Statements:

Balance sheets for period ended March 31, 2001 are filed confidentially under a separate cover for:

- AEP Energy Services, Inc.
- AEP Retail Energy, LLC
- CSW Development-I, Inc. (consolidated)
- CSW Ft. Lupton, Inc.
- Sweeny Companies (consolidated)
- Enershop, Inc.
- CSW Energy Services, Inc.
- CSW Power Marketing, Inc.
- Diversified Energy Contractors Company (DECCO)
- CSW Services International
- Eastex Cogeneration LP
- Nuvest, LLC
- AEP C&I Company, LLC

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AEP Gas Power GP, LLC
AEP Gas Power System GP, LLC
AEP Ohio C&I Retail Company
AEP Retail Energy, LLC
AEP T&D Services, LLC
AEP Texas C&I Retail GP, LLC
AEP Texas Retail GP, LLC
Mutual Energy LLC
Mutual Energy Service Company

Income Statements as of the three months ended March 31, 2001 are filed confidentially under separate cover for:

AEP Energy Services, Inc.
AEP Retail Energy, LLC
CSW Development-I, Inc. (consolidated)
CSW Ft. Lupton, Inc.
Sweeny Companies (consolidated)
Enershop, Inc.
CSW Energy Services, Inc.
CSW Power Marketing, Inc.
Diversified Energy Contractors Company (DECCO)
CSW Services International
Eastex Cogeneration LP
Nuvest, LLC
AEP C&I Company, LLC
AEP Gas Power GP, LLC
AEP Gas Power System GP, LLC
AEP Ohio C&I Retail Company
AEP Retail Energy, LLC
AEP T&D Services, LLC
AEP Texas C&I Retail GP, LLC

AEP Texas Retail GP, LLC
Mutual Energy LLC
Mutual Energy Service Company

Exhibits:

1. Certificate stating that a copy of the U-9C-3 for the previous quarter has been filed with the Ohio, Kentucky, Virginia, West Virginia, Tennessee, Indiana, Arkansas, Louisiana, Oklahoma, Michigan and Texas State Commissions.
2. Copies of other contracts required by Item 3 - filed under confidential treatment pursuant to Rule 104(b).

CERTIFICATE

The undersigned certifies that he is the duly designated and acting Treasurer of American Electric Power Company, Inc., a New York corporation ("AEP"), and that:

AEP's Quarterly Report on Form U-9C-3 filed pursuant to Rule 58 for the quarter ended December 31, 2000 (the "Quarterly Report on Form U-9C-3") was filed with each state commission having jurisdiction over the retail rates of the public utility companies that are associate companies of any of the reporting companies (the "Specific State Commissions").

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The names and addresses of the Specific State Commissions are:

Indiana Utility Regulatory Commission
302 West Washington Street, E 306
Indianapolis, Indiana 46204

Kentucky Public Service Commission
730 Schenkel Lane
P.O. Box 615
Frankfort, Kentucky 40602

Michigan Public Service Commission
6545 Mercantile Way
P.O. Box 30221
Lansing, Michigan 48909

Public Service Commission of West Virginia
201 Brooks Street
P.O. Box 812
Charleston, West Virginia 25323

Tennessee Regulatory Authority
460 James Robertson Parkway
Nashville, Tennessee 37243-0505

The Public Utilities Commission of Ohio
180 East Broad Street
Columbus, Ohio 43215

Virginia State Corporation Commission
1300 East Main Street
P.O. Box 2118
Richmond, Virginia 23216

Arkansas Public Service Commission
1000 Center
P.O. Box 400
Little Rock, AR 72203-0400

Louisiana Public Service Commission
One American Place
P.O. Box 91154
Baton Rouge, LA 70821-9154

Oklahoma Corporation Commission
2102 N. Lincoln Blvd.
P.O. Box 52000-2000
Oklahoma City, OK 73152-2000

Public Utility Commission of Texas
1701 N. Congress Avenue
Austin, TX 78701

IN WITNESS WHEREOF, I have hereunto set my hand as of the 29th day of
May, 2001.

/s/ A. A. Pena

A. A. Pena

Treasurer

SIGNATURE

The undersigned system company has duly caused this quarterly report to be signed on its behalf by the undersigned, thereunto duly authorized, pursuant to the requirements of the Public Utility Holding Company Act of 1935.

AMERICAN ELECTRIC POWER COMPANY, INC.

By /s/ A. A. Pena

A.A. Pena
Treasurer

May 29, 2001