Edgar Filing: FIRST CHARTER CORP /NC/ - Form 4

FIRST CHARTER CORP /NC/

Form 4 April 03, 2008

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

3235-0287 Number:

Expires:

January 31, 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and A MESSINGE	Person * 2. Issue Symbol	2. Issuer Name and Ticker or Trading Symbol FIRST CHARTER CORP /NC/ [FCTR]			5. Relationship of Reporting Person(s) to Issuer				
					(Check all applicable)				
(Last)	(First) (M	Giddle) 3. Date o	f Earliest Tr	ransaction	_X_ Director		% Owner		
	*	(Month/Day/Year)			Officer (give title Other (spectibelow)				
10200 DAV	IVE 04/01/2	800		below)					
	4. If Amo	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
	Filed(Mo	Filed(Month/Day/Year)			Applicable Line)				
						X Form filed by One Reporting Person			
CHARLOT	73				Form filed by More than One Reporting Person				
(City)	(State)	Zip) Tab	le I - Non-D	Derivative Securities A	cquired, Disposed	l of, or Benefici	ally Owned		
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution Date, if	TransactionAcquired (A) or		Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial		
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership		
					Following	(Instr. 4)	(Instr. 4)		

1.111116 01	2. Transaction Date	ZA. Deemed	Э.	4. Securities	3. Alliount of	o. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired (A) or	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8) Code V	(Instr. 3, 4 and 5) (A) or Amount (D) Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Common Stock					9,092.1943 (1)	D	
Common Stock					784.8575 <u>(2)</u>	I	Son - Alexander
Common Stock					740.9306 (3)	I	Son - Maxwell
Common Stock					447.6267 (4)	I	Spouse - Timothy

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code	5. Number iorDerivative Securities Acquired (Disposed of (Instr. 3, 4, 5)	(A) or of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(5)</u>	04/01/2008		A	242.951		<u>(6)</u>	<u>(6)</u>	Common Stock	242.951
Stock Options (Right to buy)	\$ 14.5						<u>(7)</u>	01/20/2010	Common Stock	1,800
Stock Options (Right to buy)	\$ 15.75						<u>(7)</u>	01/17/2011	Common Stock	1,800
Stock Options (Right to buy)	\$ 17.37						<u>(7)</u>	01/16/2012	Common Stock	2,500
Stock Options (Right to buy)	\$ 18						<u>(7)</u>	10/14/2009	Common Stock	1,800
Stock Options (Right to buy)	\$ 18.81						<u>(7)</u>	01/22/2013	Common Stock	5,000
Stock Options (Right to buy)	\$ 20.02						<u>(7)</u>	01/21/2014	Common Stock	1,800
Stock Options (Right to buy)	\$ 23.66						<u>(7)</u>	01/19/2015	Common Stock	2,500

Stock Options (Right to

buy)

\$ 26.75

(7) 04/29/2008

Common Stock

1,000

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MESSINGER ELLEN L 10200 DAVID TAYLOR DRIVE X CHARLOTTE, NC 28262-2373

Signatures

Stephen J Antal, by Power of Attorney

04/03/2008

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (4) Includes 7.5421 shares acquired under the First Charter Corporation dividend reinvestment plan since the date of the reporting person's last report.
- (6) Non-Employee directors may withdraw common stock from the deferred comp plan the first day of the calendar month following retirement, death, or termination.
- (2) Includes 13.2242 shares acquired under the First Charter Corporation dividend reinvestment plan since the date of the reporting person's last report.
- (7) All Options are currently exercisable
- (3) Includes 12.4841 shares acquired under the First Charter Corporation dividend reinvestment plan since the date of the reporting person's last report.
- (1) Includes 153.1943 shares acquired under the First Charter Corporation dividend reinvestment plan since the date of the reporting person's last report.
- (5) One for One

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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