

FIRST CHARTER CORP /NC/

Form 4

May 08, 2008

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WARLICK L D JR**

2. Issuer Name **and** Ticker or Trading  
Symbol  
**FIRST CHARTER CORP /NC/  
[FCTR]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
**10200 DAVID TAYLOR DRIVE**  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
**05/06/2008**

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

**CHARLOTTE, NC 28262-2373**

(City) (State) (Zip)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)	119,847.044 (1)	D	
Common Stock					1,635.523	I	LD Warlick - Custodian Laura
Common Stock					1,635.523	I	LD Warlick - Custodian Sarah
Common Stock					1,531.3903	I	M. E. Warlick - Custodian

Common Stock	1,531.3903	I	Laura M. E. Warlick - Custodian Sarah
Common Stock	6,807	I	Souse IRA - M. E. Warlick
Common Stock	24,328.3719	I	Spouse - M. E. Warlick
Common Stock	135	I	Spouse - Mary E Goldsmith Warlick Trust
Common Stock	13,460.2686 (2)	I	Warlick Funeral Home, Inc.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. De
Phantom Stock	(3)	05/06/2008		A	49.66	(4) (4)	Common Stock	49.66
Stock Options (Right to buy)	\$ 15.75					(5) 01/17/2011	Common Stock	720

Stock Options (Right to buy)	\$ 17.37	(5)	01/16/2012	Common Stock	2,500
Stock Options (Right to buy)	\$ 18.81	(5)	01/22/2013	Common Stock	1,000
Stock Options (Right to buy)	\$ 20.02	(5)	01/21/2014	Common Stock	1,800
Stock Options (Right to buy)	\$ 23.66	(5)	01/19/2015	Common Stock	2,500

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WARLICK L D JR 10200 DAVID TAYLOR DRIVE CHARLOTTE, NC 28262-2373			X	

## Signatures

Stephen J Antal, by Power of Attorney  
05/07/2008

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (4) Non-Employee directors may withdraw common stock from the deferred comp plan the first day of the calendar month following retirement, death, or termination.
- (2) Includes 226.792 shares acquired under the First Charter Corporation dividend reinvestment plan since the date of the reporting person's last report.
- (5) All Options are currently exercisable
- (1) Includes 1085.044 shares acquired under the First Charter Corporation dividend reinvestment plan since the date of the reporting person's last report.
- (3) One for One

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.