

Edgar Filing: NEWMONT MINING CORP - Form 5

NEWMONT MINING CORP

Form 5

February 14, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

( ) Form 3 Holdings Reported

( ) Form 4 Transactions Reported

1. Name and Address of Reporting Person

MULLIN, W JAMES  
 1700 LINCOLN STREET  
 DENVER, CO 80203  
 USA

2. Issuer Name and Ticker or Trading Symbol

NEWMONT MINING CORP  
 NEM

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

12-31-2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)

SENIOR VICE PRESIDENT

7. Individual or Joint/Group Reporting (Check Applicable Line)

(X) Form filed by One Reporting Person

( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | 5. Amount of Securities Beneficially Owned at End of Year |
|----------------------|---------------------|---------|---|---|
|----------------------|---------------------|---------|---|---|

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>Amount | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities<br>Title and Number of Shares | 8. Purchase or Sale Price of Underlying Security |
|---------------------------------|--|---------------------|---------|--|--|--|--|
|---------------------------------|--|---------------------|---------|--|--|--|--|

|                       |         |          |   |   |              |              |        |
|-----------------------|---------|----------|---|---|--------------|--------------|--------|
| Option (right to buy) | \$23.67 | 11/12/01 | A | A | (1) 11/12/11 | Common Stock | 15,000 |
|-----------------------|---------|----------|---|---|--------------|--------------|--------|

Explanation of Responses:

(1) The option vests in four equal installments beginning on November 12, 2002.

SIGNATURE OF REPORTING PERSON

Britt D. Banks, Attorney in Fact for W. James Mullin

DATE

February 14, 2002