

NEWPARK RESOURCES INC  
Form 4  
June 02, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Howes Paul L

2. Issuer Name and Ticker or Trading Symbol  
NEWPARK RESOURCES INC  
[NR]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
9320 LAKESIDE  
BOULEVARD, SUITE 100  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/01/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President and CEO

THE WOODLANDS, TX 77381

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	06/01/2017		F		15,450 (1) 7.5356	D	487,175
Common Stock	06/01/2017		M		45,379	A	\$ 0 532,554
Common Stock	06/01/2017		F		19,036 (1) 7.5356	D	513,518
Common Stock	06/01/2017		M		83,240	A	\$ 0 596,758
Common Stock	06/01/2017		F		34,919 (1) 7.5356	D	561,839

Common Stock 25,000 I by Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.** SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Restricted Stock Units	\$ 0 <sup>(2)</sup>	06/01/2017		M	45,379	<sup>(3)</sup> <sup>(3)</sup>	Common Stock	45,379
Restricted Stock Units	\$ 0 <sup>(2)</sup>	06/01/2017		M	83,240	<sup>(3)</sup> <sup>(3)</sup>	Common Stock	83,240

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Howes Paul L 9320 LAKESIDE BOULEVARD SUITE 100 THE WOODLANDS, TX 77381	X		President and CEO	

## Signatures

By: Jennifer F. Wilson For: Paul L. Howes 06/02/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

## Edgar Filing: NEWPARK RESOURCES INC - Form 4

- (1) Represents shares withheld to satisfy tax withholding obligations upon the vesting of restricted shares.
- (2) Restricted stock units convert into common stock on a one-for-one basis.
- (3) The restricted stock units vest in increments of one-third of the shares on June 1 of each year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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