

PIGOTT MARK C

Form 4

July 30, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
PIGOTT MARK C

(Last) (First) (Middle)

777 106TH AVENUE NE

(Street)

BELLEVUE, WA 98004

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
PACCAR INC [PCAR]

3. Date of Earliest Transaction
(Month/Day/Year)
06/25/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) below)

Executive Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
COMMON STOCK								2,886,445	D	
COMMON STOCK	06/25/2018		J ⁽¹⁾	V	1,308,892	D	<u>11</u>	0	I	EASCLIFFE COMPANY
COMMON STOCK								283,280	I	WIFE AND CHILDREN
COMMON STOCK (SIP) ⁽²⁾								85,491.376 ⁽³⁾	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount of Number of Shares
STOCK OPTION ⁽⁴⁾	\$ 30.81					01/01/2012 02/06/2019	COMMON STOCK 194,0
STOCK OPTION ⁽⁴⁾	\$ 36.12					01/01/2013 02/02/2020	COMMON STOCK 134,4
STOCK OPTION ⁽⁴⁾	\$ 50.5					01/01/2014 02/03/2021	COMMON STOCK 92,83
STOCK OPTION ⁽⁴⁾	\$ 43.24					01/01/2015 02/02/2022	COMMON STOCK 134,0
STOCK OPTION ⁽⁴⁾	\$ 47.81					01/01/2016 02/06/2023	COMMON STOCK 108,7
STOCK OPTION ⁽⁴⁾	\$ 59.15					01/01/2017 02/07/2024	COMMON STOCK 85,61
COMMON STOCK (LTIP) ⁽⁵⁾	⁽⁵⁾					⁽⁵⁾ ⁽⁵⁾	COMMON STOCK 44,553
COMMON STOCK (DICP) ⁽⁶⁾	⁽⁶⁾					⁽⁶⁾ ⁽⁶⁾	COMMON STOCK 111,038
COMMON STOCK (DCP) ⁽⁷⁾	⁽⁷⁾					⁽⁷⁾ ⁽⁷⁾	COMMON STOCK 33,946

Reporting Owners

Reporting Owner Name / Address

Relationships

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Director 10% Owner Officer Other

PIGOTT MARK C
777 106TH AVENUE NE
BELLEVUE, WA 98004

X

Executive Chairman

Signatures

Mark C. Pigott

07/30/2018

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Eascliffe Company redeemed all of the shares held by the Reporting Person, who is no longer a shareholder of Eascliffe Company.
- (2) Shares held in PACCAR Savings Investment Plan (SIP).
- (3) Balance includes shares awarded under SIP (Company match) since date of last report in a transaction that was exempt under both Rule 16b-3(d) and Rule 16b-3(c).
- (4) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).
- (5) Share units held in deferred phantom stock account under LTIP convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (6) Share units held in deferred phantom stock account under PACCAR Deferred Incentive Compensation Plan (DICP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions.
- (7) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one for one basis upon satisfaction of all applicable conditions

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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