

OSHKOSH CORP

Form 4

November 14, 2016

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KIMMITT JOSEPH H**

(Last) (First) (Middle)

**C/O OSHKOSH  
CORPORATION, 2307 OREGON  
STREET**

(Street)

OSHKOSH, WI 54902

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

OSHKOSH CORP [OSK]

3. Date of Earliest Transaction  
(Month/Day/Year)

11/10/2016

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Executive Vice President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/10/2016		M	18,400	(A) or (D) A \$ 54.63	64,789.579	D
Common Stock	11/10/2016		S	18,400	D \$ 63.83 (1)	46,389.579	D
Common Stock	11/10/2016		M	29,322	A \$ 28.73	75,711.579	D
Common Stock	11/10/2016		S	29,322	D \$ 63.84 (2)	46,389.579	D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Options (3)	\$ 54.63	11/10/2016		M	18,400	(4) 10/17/2017	Common Stock	18,400
Options (3)	\$ 28.73	11/10/2016		M	29,322	(5) 09/20/2017	Common Stock	29,322

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

KIMMITT JOSEPH H  
C/O OSHKOSH CORPORATION  
2307 OREGON STREET  
OSHKOSH, WI 54902

Executive Vice President

## Signatures

Ignacio A. Cortina, for Joseph H.  
Kimmitt

11/11/2016

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Represents weighted average price. Individual sales by lot ranged from \$63.74 to \$63.97. The Company will provide, upon request by the SEC staff, the full information regarding the number of shares purchased or sold at each separate price.
- Represents weighted average price. Individual sales by lot ranged from \$63.74 to \$64.00. The Company will provide, upon request by the SEC staff, the full information regarding the number of shares purchased or sold at each separate price.
- Option (right to buy) granted pursuant to the Company's Stock Plan.

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(4) Options vest in one-third (1/3) annual increments commencing on 9/17/2008.

(5) Options vest in one-third (1/3) annual increments commencing on 09/20/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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