Nelson Bradley M. Form 4 November 14, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

Number:

3235-0287 January 31,

0.5

Expires:

2005 Estimated average burden hours per

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Nelson Bradley M.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) (Middle)

(Check all applicable)

C/O OSHKOSH CORPORATION, 2307 OREGON

**STREET** 

3. Date of Earliest Transaction

OSHKOSH CORP [OSK]

(Month/Day/Year) 11/10/2017

Director 10% Owner Other (specify \_X\_\_ Officer (give title

below) below)

SVP & Pres. Commercial Segment

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

OSHKOSH, WI 54902

(City)	(State)	(Zip) Tab	le I - Non-	Derivative Sec	curitie	s Acquire	ed, Disposed of, o	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Appr Disposed of (Instr. 3, 4 and	(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common			Code V	Amount	(D)	Price	(msu. 5 and 1)		
Stock	11/10/2017		M	1,691.032	A	<u>(1)</u>	18,907.601	D	
Common Stock	11/10/2017		F	569	D	\$ 84.9	18,338.601	D	
Common Stock	11/10/2017		M	10,000	A	\$ 19.24	28,338.601	D	
Common Stock	11/10/2017		D	10,000	D	\$ 83.99 (2)	18,338.601	D	
	11/10/2017		S	7,500	D		10,838.601	D	

 Common
 \$

 Stock
 83.86

 (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Sec (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	A N S
Restricted Stock Units	(1)	11/10/2017		M		1,691.032	11/12/2017	<u>(3)</u>	Common Stock	
Stock Appreciation Rights (4)	\$ 19.24	11/10/2017		M		10,000	(5)	09/19/2018	Common Stock	

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Nelson Bradley M. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902

SVP & Pres. Commercial Segment

## **Signatures**

Ignacio A. Cortina, for Bradley M.
Nelson

11/13/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.

**(2)** 

Reporting Owners 2

#### Edgar Filing: Nelson Bradley M. - Form 4

Represents weighted average price. Individual sales by lot ranged from \$83.66 to \$84.06. The Company will provide, upon request by the SEC staff, the full information regarding the number of shares purchased or sold at each separate price.

- (3) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/12/2016.
- (4) Stock Appreciation Rights Award granted pursuant to the Company's Stock Plan.
- (5) Stock Appreciation Rights Award vests in one-third (1/3) annual increments commencing on 9/19/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.